

BH3-dependent and independent activation of BAX and BAK in mitochondrial apoptosis

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Mitochondria play key roles in mammalian apoptosis, a highly regulated genetic program of cell suicide. Multiple apoptotic signals culminate in mitochondrial outer membrane permeabilization (MOMP), which not only couples the mitochondria to the activation of caspases but also initiates caspase-independent mitochondrial dysfunction. The BCL-2 family proteins are central regulators of MOMP. Multidomain pro-apoptotic BAX and BAK are essential effectors responsible for MOMP, whereas anti-apoptotic BCL-2, BCL-X_L, and MCL-1 preserve mitochondrial integrity. The third BCL-2 subfamily of proteins, BH3-only molecules, promotes apoptosis by either activating BAX and BAK or inactivating BCL-2, BCL-X_L, and MCL-1. Through an interconnected hierarchical network of interactions, the BCL-2 family proteins integrate developmental and environmental cues to dictate the survival versus death decision of cells by regulating the integrity of the mitochondrial outer membrane. Over the past 30 years, research on the BCL-2-regulated apoptotic pathway has not only revealed its importance in both normal physiological and disease processes, but has also resulted in the first anti-cancer drug targeting protein-protein interactions.

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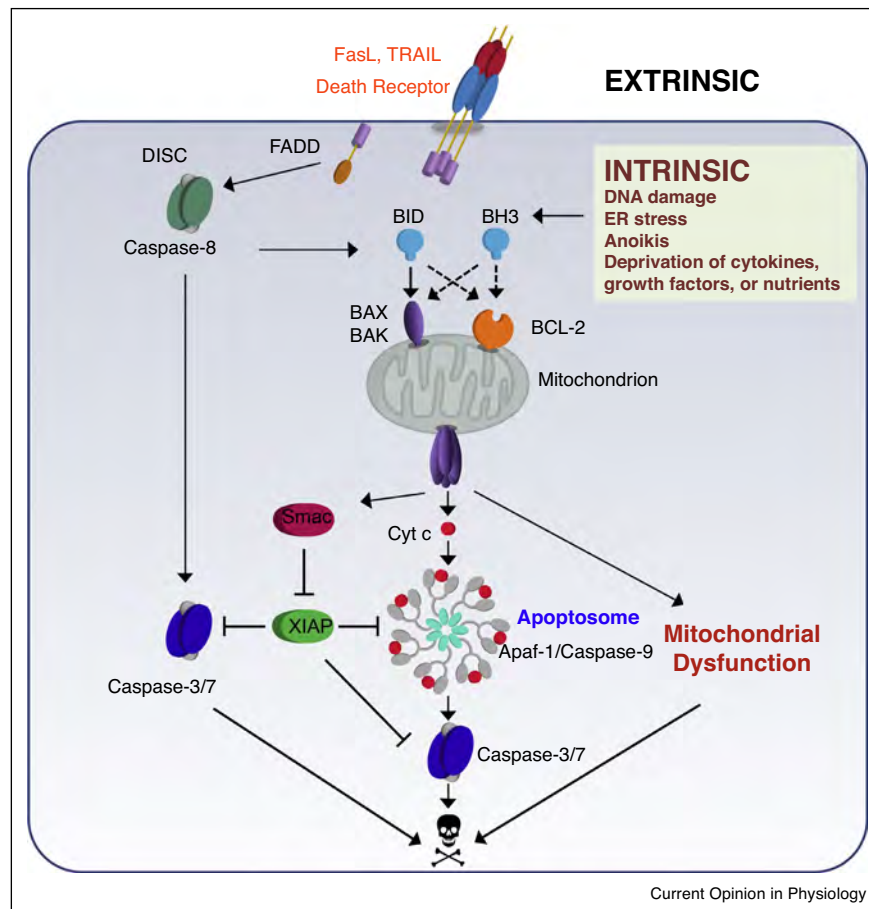
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1. Introduction

Apoptosis is the best-studied form of programmed cell death, which is indispensable for the development and maintenance of homeostasis within multicellular organisms [1]. Dysregulation of apoptosis incurs a wide variety of human illness ranging from neurodegenerative disorders to cancer [1]. Apoptosis can be initiated through either intrinsic or extrinsic pathways, both of which activate caspases that are executioners of apoptosis; processing of cellular substrates by these enzymes leads to the characteristic morphological and biochemical features of apoptosis [1]. The intrinsic pathway is activated by a wide variety of cellular stresses including DNA damage, endoplasmic reticulum (ER) stress, and deprivation of cytokines, growth factors, or nutrients, whereas the extrinsic pathway is initiated by the engagement of cell surface ‘death receptors’ such as FAS and TRAIL receptors (Figure 1). The intrinsic death signals culminate in MOMP, resulting in the release of apoptogenic factors including cytochrome c and SMAC [2]. Upon binding to cytochrome c and dATP, APAF-1 oligomerizes into a heptameric complex known as the apoptosome, which recruits and activates caspases [3]. Death receptor engagement can lead to initiator caspase-8 and subsequent effector caspase-3/7 activation in so-called ‘type I’ cells, such as T-lymphocytes. However, in ‘type II’ cells, such as hepatocytes, effector caspase activation requires a mitochondrial amplification loop to alleviate XIAP-mediated caspase inhibition through mitochondrial release of SMAC (Figure 1).

The BCL-2 family proteins are central regulators of MOMP [1]. The founding member BCL-2 was cloned from the t(14;18)(q32;q21) breakpoint, pathognomonic of human follicular lymphoma. The discovery that BCL-2 promotes cellular survival rather than proliferation initiated a new category of oncogenes [4]. Over the years, at least 15 members of the BCL-2 family have been identified that either prevent or promote apoptosis. They are now divided into three subfamilies: first, multidomain anti-apoptotic BCL-2, BCL-X_L (BCL2L1), MCL-1, BCL-W (BCL2L2), and A1 (BCL2A1); second, multidomain pro-apoptotic BAX and BAK; and third, pro-apoptotic BH3-only molecules (BH3s). Multidomain members share sequence homology with all four conserved BCL-2 homology domains (BH1-4), whereas BH3s only contain the BH3 domain. Most BCL-2 family members also harbor a C-terminal transmembrane anchor

Figure 1



The intrinsic and extrinsic pathways of apoptosis. The intrinsic pathway is initiated by death stimuli including DNA damage, ER stress, anoikis, and deprivation of cytokines, growth factors or nutrients, resulting in transcriptional or post-translational activation of BH3-only molecules (BH3s). Activator BH3s, including BID, BIM, PUMA, and NOXA, directly activate BAX and BAK to induce the homo-oligomerization of BAX and BAK, leading to mitochondrial outer membrane permeabilization (MOMP) and release of cytochrome c and SMAC from the mitochondrial intermembrane space to the cytosol. Upon binding to cytochrome c and dATP, APAF-1 oligomerizes into a heptameric complex known as the apoptosome, resulting in the recruitment and activation of caspase-9 and subsequent activation of effector caspase-3/7. The extrinsic pathway of apoptosis is initiated by engagement of cell surface death receptors, such as FAS or TRAIL receptors, resulting in the recruitment of adaptor proteins such as FAS-associated death domain (FADD). FADD then dimerizes with procaspase-8 to form the death-inducing signaling complex (DISC) and promote the auto-activation of procaspase-8. In 'type I' cells with low expression of the caspase inhibitor XIAP, such as T-lymphocytes, death receptor mediated caspase-8 activation is sufficient to activate effector caspase-3/7. In 'type II' cells with high expression of XIAP, such as hepatocytes, effector caspase activation requires a mitochondrial amplification loop to alleviate XIAP-mediated caspase inhibition through mitochondrial release of SMAC. Caspase-8 mediated proteolytic cleavage of cytosolic BID into truncated BID (tBID) activates BAX and BAK-dependent MOMP, connecting the extrinsic pathway to the intrinsic mitochondrial apoptosis pathway.

that targets these proteins to the mitochondrial outer membrane (MOM). Although BOK shares significant sequence homology with BAX and BAK, it neither rescues the apoptotic defects of *Bax*^{-/-}*Bak*^{-/-} double knockout (DKO) cells nor is regulated by other BCL-2 members, and is considered as a non-canonical BCL-2 member [5].

Since the discovery of BAX and BAK, it was known that anti-apoptotic and pro-apoptotic BCL-2 members form heterodimers [1]. This led to a major debate in 1990s regarding whether multidomain anti-apoptotic or pro-

apoptotic BCL-2 members are downstream effectors in controlling apoptosis. The generation of *Bax*^{-/-}*Bak*^{-/-} DKO mice provided convincing evidence that BAX and BAK are the essential downstream effectors of mitochondrial apoptosis [6–8]. However, BAX and BAK are kept inactive in viable cells and need to be activated upon death signaling to trigger MOMP. Hence, the next major debate was how BAX and BAK are activated and whether the 'activator' subgroup of BH3s are required for their activation. The generation of *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-}*Noxa*^{-/-} quadruple knockout (QKO) mice deficient for all activator BH3s provided

in vivo evidence supporting direct activation of BAX and BAK by BID, BIM, PUMA, and NOXA. It also concurrently revealed that BH3-independent autoactivation of BAX and BAK can occur when BCL-2, BCL-X_L, and MCL-1 are simultaneously downregulated, but with slower kinetics compared to BH3-mediated activation [9^{••}]. Here, we summarize recent advances in how the BAX and BAK-dependent mitochondrion-dependent cell death program is regulated.

2. BH3s relay death signals to multidomain BCL-2 members to initiate mitochondrial apoptosis

BH3s are sentinels for cellular stress and function as initiator cell death signaling molecules with each BH3 coupled to a specific death signal. Their activity is regulated transcriptionally or by post-translational modifications. For example, genotoxic stress activates p53 to induce PUMA and NOXA, while cytokine/growth factor deprivation triggers nuclear translocation of FOXO1/3 to transactivate PUMA or BIM in a cell type-specific manner [1,10]. ER stress activates BIM through CHOP-mediated transcription as well as protein phosphatase 2A-mediated dephosphorylation [11]. In contrast, phosphorylation of BIM by the kinases ERK and RSK targets BIM for β -TRCP-mediated ubiquitination and subsequent proteasome-dependent degradation [12]. Death receptor ligation results in caspase-8 mediated proteolytic cleavage of cytosolic BID into truncated BID (tBID), which then targets to the mitochondria to activate BAX and BAK, connecting the extrinsic pathway to the intrinsic mitochondrial apoptosis pathway [1]. BH3s interconnect signal transduction and multidomain BCL-2 family checkpoints by either activating BAX/BAK or inactivating anti-apoptotic BCL-2 members through direct binding [13,14]. Accordingly, BH3s have been divided into two classes, ‘activator’ and ‘inactivator’ (or ‘sensitizer’) [13,14].

3. BH3-in-Groove: a structural basis of heterodimerization between BCL-2 members and homodimerization of BAX or BAK

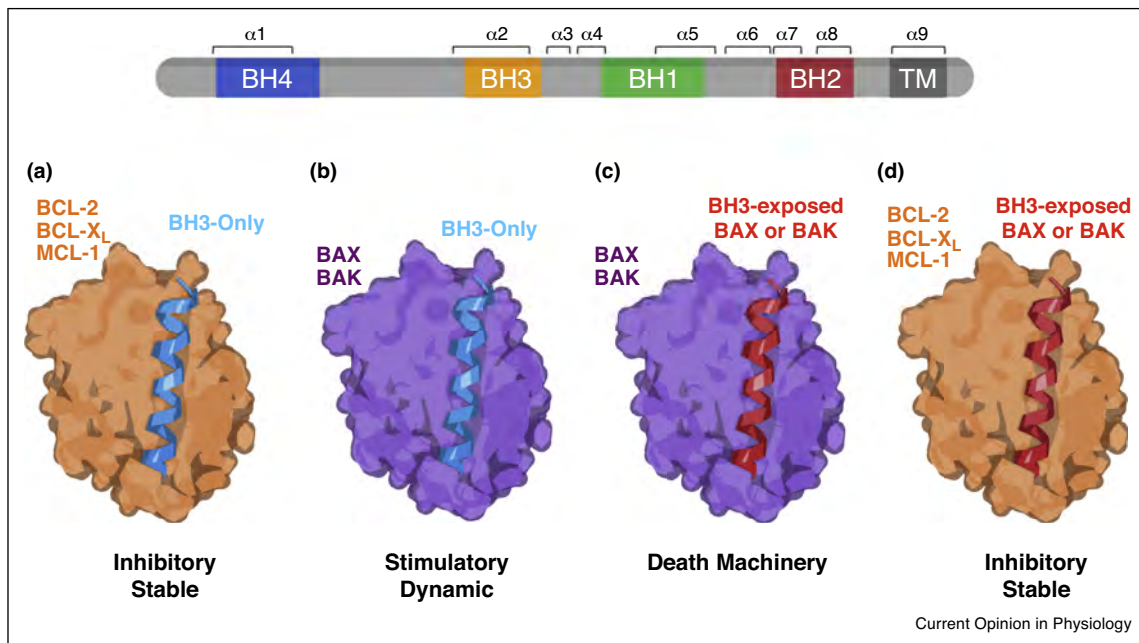
The BCL-2 family proteins regulate mitochondrial apoptosis through protein–protein interactions, all involving the same BH3 helix-in-groove structure [15] (Figure 2). The BH1, BH2, and BH3 domains of multidomain anti-apoptotic and pro-apoptotic members can form a hydrophobic binding groove (or canonical dimerization groove) that accommodates the amphipathic α -helical BH3 domain in BH3s. The interaction between activator BH3s and multidomain BAX or BAK has been debated for decades due to low binding affinity. Recent biophysical demonstration of BID, BIM or PUMA bound to BAX or BAK by NMR or crystal structures have helped resolve this controversy [16–20]. The binding of BH3s to multidomain anti-apoptotic members is inhibitory and stable (Figure 2A), whereas the binding of activator BH3s to

BAX/BAK is stimulatory and dynamic (Figure 2B). The major purpose of the latter interaction is to induce the exposure of the BH3 domain in BAX or BAK such that the ‘BH3-exposed’ BAX or BAK monomer can bind to the hydrophobic dimerization groove of another BAX or BAK molecule, forming symmetric homo-dimers and subsequent homo-oligomers (Figure 2C). Accordingly, the interaction between activator BH3 and BAX or BAK must be ‘hit-and-run’, consistent with the low binding affinity between activator BH3s and BAX or BAK. In contrast, BH3s bind tightly to anti-apoptotic BCL-2 members. By analogy, activator BH3s are death ligands, BAX/BAK are death receptors, and the anti-apoptotic BCL-2 members function like ‘decoy’ death receptors that form inert stable complexes with BH3s but are unable to assemble the homo-oligomerized death machinery. Notably, the BH3 domain of most BAX or BAK present in viable cells is not exposed [9^{••},15,16,20–23]. Only partially activated BAX or BAK will expose the BH3 domain and as a result can bind to anti-apoptotic BCL-2 members (Figure 2D).

4. Direct activation of BAX and BAK by activator BH3s

Among BH3s, BID, BIM, PUMA, and NOXA are ‘activator BH3s’ that directly interact with and induce the stepwise structural reorganization of BAX and BAK [7,8,9^{••},13,18,24–26] (Figure 3). Notably, only the BH3 peptides derived from BID and BIM, but not PUMA and NOXA, can consistently recapitulate the full-length proteins in activating BAX and BAK [27–29]. Hence, early classification of BH3s based on the activity of BH3 peptides has inherent limitations. In viable cells, BAX exists in the cytosol as a monomer with its α 1 helix keeping the C-terminal α 9 helix engaged in the dimerization groove [21,24]. This auto-inhibited BAX monomer may be further stabilized by forming an asymmetric dimer with the α 9 helix of one BAX molecule binding to the α 1/ α 6 trigger site of the other BAX [30[•]]. In contrast, BAK is constitutively inserted in the MOM via its C-terminal α 9 helix and maintained as an inactive monomer by VDAC2 [31]. Activation of BAX involves two distinct steps, mitochondrial targeting and homo-oligomerization, whereas that of BAK only involves the latter (Figure 3). To induce mitochondrial targeting of BAX, the activator BH3s bind to the α 1 helix or the α 1/ α 6 trigger site of BAX, resulting in the exposure of the α 1 helix and secondary disengagement of the α 9 helix that inserts into the MOM [24,32,33]. Activator BH3s remain associated with the N-terminally exposed BAX through the canonical dimerization groove to drive the exposure of the BH3 domain and ensuing homo-dimerization of BAX [16,18,24]. Binding of activator BH3s to the canonical dimerization groove of BAK also induces the exposure of the α 1 helix and the BH3 domain [9^{••},17,19,20,22,34[•]]. X-ray crystallography has shown the unfolding of BAX or BAK into an N-terminal ‘core’ (α 2– α 5) and a C-terminal ‘latch’ (α 6– α 8) upon activation by BH3 peptides [15,16,20], which

Figure 2



BH3-in-groove: a structural basis of BCL-2 family interactions that control survival or death decisions. A schematic depicts conceptual modeling of the different interactions among the BCL-2 family proteins. The BCL-2 family proteins regulate mitochondrial apoptosis through protein-protein interactions, all involving the same BH3 helix-in-groove structure. Multidomain BCL-2 family members have four BCL-2 homology (BH) domains and a C-terminus hydrophobic transmembrane domain ($\alpha 9$ helix). The BH1, BH2, and BH3 domains of multidomain anti-apoptotic and pro-apoptotic members form a hydrophobic binding groove (or canonical dimerization groove) that accommodates the amphipathic alpha helical BH3 domain of BH3-only molecules as well as the BH3 domains of 'BH3-exposed' BAX or BAK. The binding of BH3s to multidomain anti-apoptotic members is inhibitory and stable (a), whereas the binding of activator BH3s to BAX/BAK is stimulatory and dynamic (b). The BH3-in-groove interaction also forms the structural basis for the formation of symmetric BAX or BAK homo-dimers (c), the minimal unit for the assembly of higher-order homo-oligomers that permeabilize the mitochondrial outer membrane. The BH3 domain of most BAX or BAK present in viable cells is not exposed. Only partially activated BAX or BAK will expose the BH3 domain and as a result can bind to anti-apoptotic BCL-2 members (d).

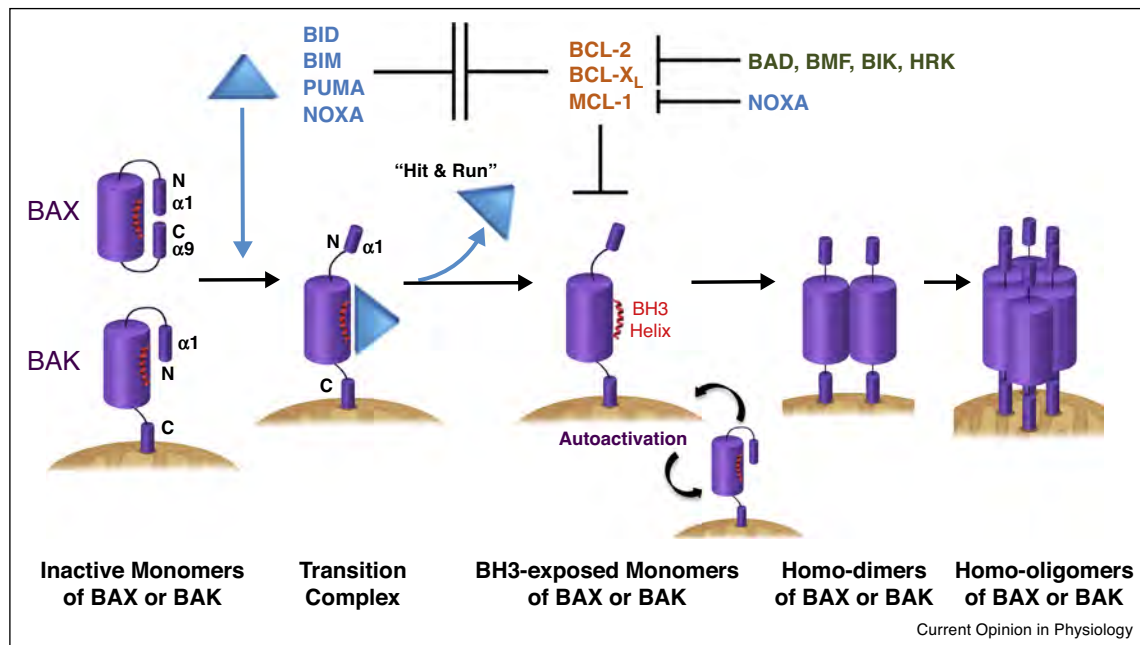
may help eject activator BH3s. Whether this occurs in the MOM remains to be determined. The symmetric homo-dimers of BAX or BAK further assemble into homo-oligomers through either the $\alpha 6/\alpha 6$ or $\alpha 3/\alpha 5$ interface [35,36]. Deciphering how the homo-oligomers of BAX or BAK permeabilize the MOM is currently an area of intense investigation. BAX or BAK homo-oligomers have been proposed to form either proteinaceous or lipidic pores in the MOM [37–39], and visualization of the BAX oligomers in the MOM recently became feasible through super-resolution imaging [40,41].

5. Anti-apoptotic BCL-2 members prevent apoptosis through the sequestration of activator BH3s or BH3-exposed BAX/BAK monomers

Anti-apoptotic BCL-2, BCL-X_L, and MCL-1 sequester activator BH3s to prevent the initiation of BAX and BAK activation [8,13], providing frontline protection (Figures 2A and 3). As the second line of defense, the anti-apoptotic BCL-2 members can also sequester 'BH3-

exposed' BAX and BAK monomers to prevent the homo-oligomerization of BAX and BAK [9^{••},15] (Figures 2D and 3). The interaction between activator BH3s and anti-apoptotic BCL-2 members confers mutual inhibition because it not only prevents activator BH3s from activating BAX or BAK but also restrains the anti-apoptotics from sequestering 'BH3-exposed' BAX or BAK monomers. BID, BIM, and PUMA can prevent BCL-2, BCL-X_L, and MCL-1 from sequestering BAX and BAK whereas NOXA can only inhibit MCL-1. This difference may contribute to the lower death-inducing activity of NOXA in comparison with BID, BIM, and PUMA. Consequently, *Noxa* deficiency only confers resistance to apoptosis in tissues or cell types that highly express NOXA, such as mouse embryonic fibroblasts (MEFs) and the small intestines [9^{••}]. Notably, BCL-X_L is superior to BCL-2 and MCL-1 in preventing apoptosis due to its dual inhibition of BAX and BAK and higher protein stability [9^{••},42^{••}]. BCL-2 can only inhibit BAX but not BAK [9^{••},42^{••}] whereas MCL-1 is prone to degradation upon death signals [43].

Figure 3



Interconnected hierarchical regulation of BAX-dependent and BAK-dependent mitochondrial apoptosis. Activator BH3s, including BID, BIM, PUMA, and NOXA, directly interact with BAX and BAK to induce the stepwise structural reorganization of BAX and BAK. In viable cells, BAX exists as a cytosolic monomer with its $\alpha 1$ helix keeping the C-terminal $\alpha 9$ helix engaged in the dimerization groove, while BAK is constitutively inserted in the MOM via its C-terminal $\alpha 9$ helix. The binding of activator BH3s drives the dissociation of an N-terminal $\alpha 1$ helix of BAX or BAK and mobilizes the C-terminal $\alpha 9$ of BAX for translocation to the MOM. Activator BH3s remain associated with the N-terminally exposed BAX or BAK through the canonical dimerization groove to drive the exposure of the BH3 domain. Partially activated, BH3-exposed BAX or BAK monomers then can bind to the hydrophobic dimerization groove of another BAX or BAK molecule to initiate homo-dimerization and subsequent homo-oligomerization. The interaction between activator BH3s and BAX or BAK is 'hit-and-run' because the same binding interface of BAX and BAK is used for homo-dimerization. Anti-apoptotic BCL-2, BCL-X_L, and MCL-1 sequester activator BH3s to prevent the initiation of BAX and BAK activation, providing frontline protection. As the second line of defense, anti-apoptotic BCL-2 members can also sequester 'BH3-exposed' BAX and BAK monomers to prevent the homo-oligomerization of BAX and BAK. Autoactivation of BAX and BAK can occur independently of activator BH3s when BCL-2, BCL-X_L, and MCL-1 are simultaneously downregulated, albeit with slower kinetics compared to BH3-mediated activation. BH3-exposed BAX or BAK monomers can serve as activators of BAX and BAK to induce a 'feed-forward' amplification loop for the initiation of mitochondrial apoptosis, bypassing the need for activator BH3s.

6. Indirect activation of BAX and BAK by inactivator BH3s

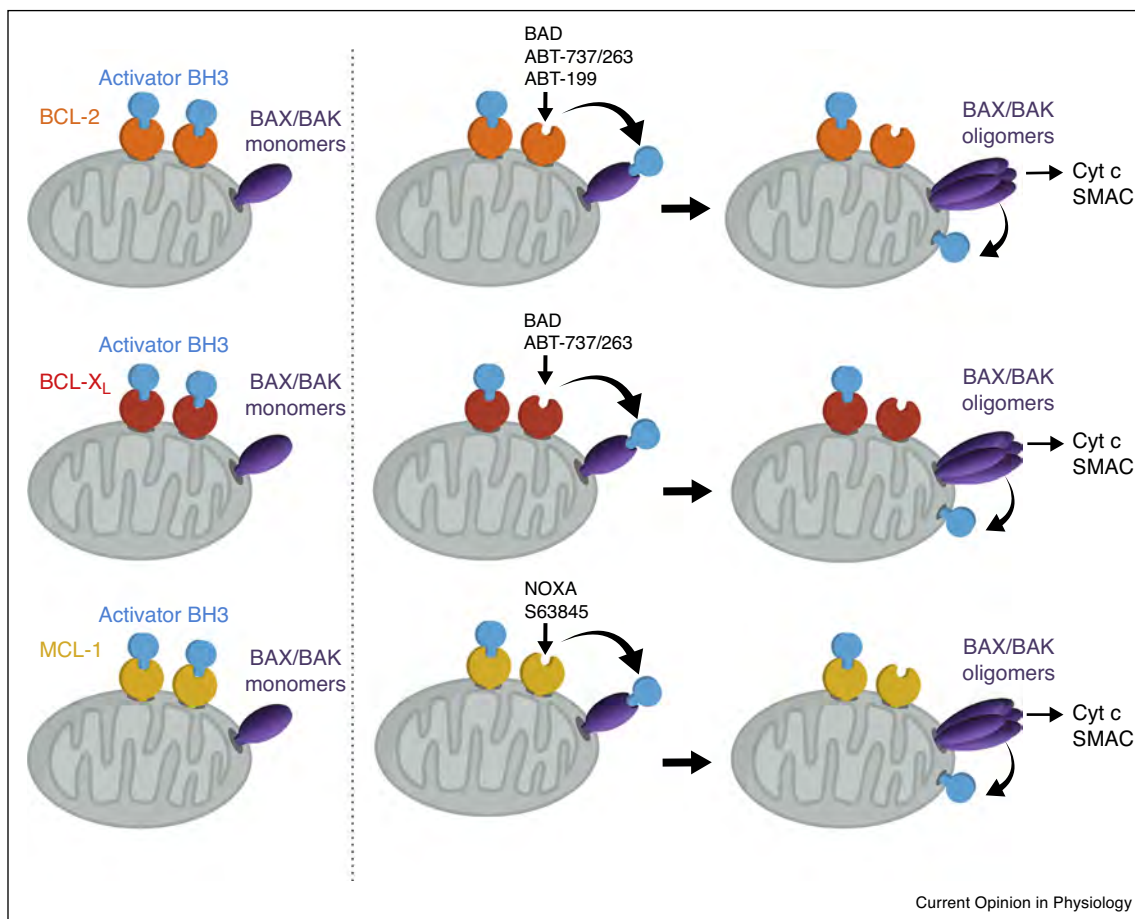
The ability of BCL-2, BCL-X_L, and MCL-1 to sequester tBID/BIM/PUMA is further modulated by 'inactivator' BH3s through high-affinity, competitive binding (Figures 3 and 4). Specifically, BAD, BMF, BIK, and HRK (DP5) displace sequestered BID/BIM/PUMA from BCL-2/BCL-X_L and thereby activate BAX/BAK indirectly [9^{••},13]. NOXA is unique among all BH3s in that it can prevent MCL-1 from sequestering BID, BIM, and PUMA due to its high binding affinity to MCL-1 [13]. Hence, NOXA is both an activator and inactivator BH3. Notably, deficiency of *Bid*, *Bim*, *Puma*, and *Noxa* abrogates apoptosis triggered by overexpression of BAD, BMF, BIK or HRK [9^{••}], supporting the BH3 hierarchy in which activator BH3s function downstream of inactivator BH3s. Hence, the observation that BH3 peptides of some inactivator BH3s can induce BAX-dependent or

BAK-dependent liposome permeabilization is a purely *in vitro* phenomenon [44].

7. BH3-independent autoactivation of BAX and BAK

The first unequivocal evidence of BH3-independent activation of BAX and BAK was not revealed until the generation of *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-}*Noxa*^{-/-} QKO mice [9^{••}]. Autoactivation of BAX and BAK can occur in QKO cells when BCL-2, BCL-X_L, and MCL-1 are simultaneously decreased upon DNA damage or silenced by siRNA, albeit with slower kinetics compared to BH3-mediated activation [9^{••}]. In fact, silencing both BCL-X_L and MCL-1 is sufficient to trigger BAK autoactivation due to the inability of BCL-2 to bind BAK. Similar findings were shown in cells deficient for eight canonical BH3s created by genome editing [45^{••}]. The MOM appears to provide an important platform for the

Figure 4



Indirect activation of BAX or BAK by inactivator BH3s and BH3 mimetics. Anti-apoptotic BCL-2, BCL-X_L, and MCL-1 preserve mitochondrial integrity by sequestering activator BH3s to prevent activation of BAX and BAK. Pro-apoptotic 'inactivator' BH3s, including BAD, BMF, BIK, and HRK, displace sequestered BID/BIM/PUMA from anti-apoptotic BCL-2 and BCL-X_L, thereby activating BAX and BAK indirectly. NOXA is unique among all BH3s in that it can prevent MCL-1 from sequestering BID, BIM, and PUMA due to its high binding affinity to MCL-1. Hence, NOXA is both an activator and inactivator BH3. The BH3-mimetic small molecules ABT-737 and ABT-263 (navitoclax) activate BAX and BAK indirectly through displacing activator BH3s from BCL-2 and BCL-X_L, whereas ABT-199 (venetoclax) selectively targets BCL-2. Selective inhibitors for BCL-X_L or MCL-1 (S63845) with preclinical activity have also been generated.

autoactivation of BAX and BAK, which is consistent with the 'embedded together' model that emphasizes the influence of the membrane milieu on BCL-2 family interactions [46]. However, autoactivation of BAX and BAK appears less efficient in part because only a small fraction of BAX and BAK expose their BH3 domain, which is sequestered by anti-apoptotic BCL-2 members in viable cells. Liberation of the small fraction, 'BH3-exposed' BAX or BAK monomers from the anti-apoptotic BCL-2 members is sufficient to induce a 'feed-forward' amplification loop for the initiation of mitochondrial apoptosis. Therefore, activator BH3s function as catalysts for BAX and BAK activation by inducing the BH3 exposure of BAX and BAK while simultaneously restraining anti-apoptotic BCL-2 members. The presence of heterodimers between multidomain anti-apoptotic and pro-apoptotic members in QKO cells suggests that exposure of

the BH3 domain in BAX and BAK can be generated independently of activator BH3s. Potential mechanisms include protein misfolding, post-translational modifications, oxidative stress, and physical stress such as heat or changes in intracellular pH [23]. It is possible that these heterodimers also have non-apoptotic functions in the maintenance of cellular homeostasis, such as calcium homeostasis and mitochondrial fission and fusion [47,48]. Retrotranslocation of BAX from the mitochondria to the cytosol mediated by BCL-X_L appears to offer a means to reduce the BAX/BCL-X_L heterodimers in the MOM [49].

8. Mouse genetic studies support the interconnected hierarchical model

Over the years, the mouse genetic studies of BCL-2 family proteins have provided the ultimate validations

for *in vitro* mechanistic studies. Consistent with the higher and broader expression of *Bim* than other BH3s as well as its activator BH3 activity, *Bim*^{-/-} mice display the most severe phenotypes compared to other single BH3 KOs, developing lymphoid hyperplasia and fatal autoimmune diseases [50]. *Puma* deficiency exacerbates the lymphoid hyperplasia and apoptotic defects of *Bim* KO mice [51], and mice lacking *Bid*, *Bim*, and *Puma* display even more severe defects and recapitulate the developmental defects of *Bax*^{-/-}*Bak*^{-/-} mice, including perinatal embryonic lethality, persistent interdigital webs and imperforate vagina [6,52]. Triple deficiency of *Bid*, *Bim*, and *Puma* also completely abrogates BAX/BAK-dependent apoptosis in cerebellar granule neurons [52]. Due to the unique high expression of NOXA in MEFs, *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-}*Noxa*^{-/-} but not *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-} MEFs are as resistant as *Bax*^{-/-}*Bak*^{-/-} MEFs to apoptosis triggered by ER stress and deprivation of growth factors or nutrients [9**]. However, genotoxic stress can induce autoactivation of BAX/BAK through downregulation of BCL-2, BCL-X_L, and MCL-1 in *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-}*Noxa*^{-/-} MEFs [9**]. Interestingly, DNA damage-induced downregulation of BCL-2 and BCL-X_L is not observed in T-cells or the small intestine. Consequently, quadruple deficiency of *Bid*, *Bim*, *Puma*, and *Noxa* provides comparable protection as double deficiency of *Bax* and *Bak* against irradiation-induced apoptosis in the small intestine [9**]. Consistent with the low expression of NOXA in lymphocytes and the absence of BAX/BAK activation detected in *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-} T-cells [52], *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-} T-cells are as resistant as *Bid*^{-/-}*Bim*^{-/-}*Puma*^{-/-}*Noxa*^{-/-} T-cells to various apoptotic signals [9**]. However, double deficiency of *Bax* and *Bak* incurs more severe embryonic lethality than quadruple deficiency of *Bid*, *Bim*, *Puma*, and *Noxa* [6,9**], likely reflecting the presence of BAX/BAK autoactivation in certain tissues in response to developmental cues. Alternatively, non-apoptotic functions of BAX and BAK, such as regulation of mitochondrial fission/fusion or ER calcium homeostasis [47,48], may account for the more severe embryonic lethality of *Bax*^{-/-}*Bak*^{-/-} mice.

The findings that *Bim* deficiency prevents the development of polycystic kidney disease and loss of melanocytes in *Bcl-2*^{-/-} mice [53] support the concept that sequestration of BIM by BCL-2 prevents apoptosis. In addition, mice harboring the death-competent *Bak*^{Q75L} mutation that abrogates the BCL-X_L/BAK but not MCL-1/BAK or BAK/BAK interaction display reduced T-cell and platelet survival and increased sensitivity to various apoptotic stimuli [54**], providing *in vivo* evidence that inhibition of BAK by BCL-X_L contributes to apoptosis regulation. Overall, the mouse genetic studies substantiate the interconnected hierarchical regulation of apoptosis by the BCL-2 family.

9. Therapeutic targeting of BCL-2 family interactions

To abrogate apoptotic checkpoints, cancer cells often overexpress anti-apoptotic BCL-2 family proteins through genetic mutations such as chromosomal translocations involving *BCL-2* or amplification of *BCL-X_L* and *MCL-1* [4,55]. Counterintuitively, cancer cells also commonly express higher levels of BIM and PUMA that are transcriptionally activated by E2F1 upon malignant transformation [56] and sequestered by anti-apoptotic BCL-2 members as inert complexes. Hence, many cancer cells are likely 'primed' to undergo apoptosis upon the administration of BAD and NOXA mimetics that displace BIM/PUMA from BCL-2/BCL-X_L and MCL-1, respectively, to activate the BAX/BAK apoptotic gateway (Figure 4).

Structure-based screening efforts targeting the hydrophobic dimerization groove of BCL-X_L have led to the development of the first specific small molecule inhibitor of the BCL-2 family, ABT-737 [57]. ABT-737 and its orally bioavailable analog ABT-263 (navitoclax) function like BAD mimetics that bind and inhibit BCL-2, BCL-X_L, and BCL-W, but not MCL-1 or A1 [57,58]. Although navitoclax shows promising clinical activity, it induces a dose-dependent rapid thrombocytopenia as an on-target result of BCL-X_L inhibition. This spurred the development of ABT-199 (venetoclax or GDC-0199), a platelet-sparing, selective BCL-2 inhibitor [59]. Venetoclax has exhibited remarkable therapeutic efficacy for relapsed/refractory chronic lymphocytic leukemia (CLL) [60**], resulting in its approval by the FDA for the treatment of CLL patients with 17p deletion. Similar to inactivator BH3s, ABT-263 activates BAX/BAK indirectly through the displacement of activator BH3s from BCL-2 and BCL-X_L (Figure 4). Hence, low expression of activator BH3s in cancers, particularly BIM, confers resistance to ABT-263 [42**]. Another therapeutic limitation of ABT-263 is its inability to disrupt the BCL-X_L/BAK interaction such that overexpression of BCL-X_L confers resistance to ABT-263 [42**]. Selective inhibitors of BCL-X_L (A-1331852) or MCL-1 (S63845) with robust preclinical activity have also been generated [61,62*]. The current progress of development of non-canonical inhibitors of anti-apoptotic BCL-2 members, along with emerging approaches to target pro-apoptotic BAX and BAK, are discussed in detail elsewhere [63].

With BH3 mimetics entering the clinic, one major challenge is how to identify patients who will respond to a specific BCL-2 family inhibitor. Differential addiction of cancer cells to anti-apoptotic BCL-2, BCL-X_L, or MCL-1 was reported in a panel of small cell lung cancer (SCLC) cell lines and found to correlate with the respective protein expression ratio [42**]. If a given cell predominantly expresses a specific anti-apoptotic

BCL-2 member, it will be addicted to that specific anti-apoptotic BCL-2 member for survival. BH3 profiling is a powerful tool to assess the baseline ‘mitochondrial priming’ or apoptotic sensitivity of cancer cells to cancer therapeutics [64], whereas dynamic BH3 profiling can be used to measure the changes in apoptotic priming in response to therapeutic agents [65]. However, both predict the overall apoptotic sensitivity rather than the addiction to individual anti-apoptotic BCL-2 members. The development of protein expression-based biomarkers to predict the differential addiction of human tumors to individual anti-apoptotic BCL-2 members will guide the future practice of precision cancer medicine targeting the BCL-2 family.

The applications of BH3 mimetics as therapeutics extend beyond cancer treatment. ABT-263 was identified as a potent senolytic agent that selectively eliminates senescent cells [66], potentially expanding its utility to treating age-related pathologies. Given that some BCL-2 family proteins can regulate autophagy, mitophagy, mitochondrial metabolism, mitochondrial dynamics, calcium homeostasis, and peroxisomal membrane permeability [47,48,67,68,69], BH3 mimetics may provide useful tools for manipulating these non-apoptotic processes for future studies and therapeutic interventions. For example, stapled peptides modeled after the phospho-BAD BH3 helix can enhance insulin secretion and β cell survival, and improve functional β cell mass in diabetes due to activation of glucokinase by phosphorylation of the BAD BH3 domain at Ser155 [70].

10. Conclusions

Three decades after the term ‘apoptosis or programmed cell death’ first captured the world’s attention, intense research efforts encompassing molecular biology, biochemistry, structural biology, and genetically engineered mouse models have unveiled an intricately wired, interacting, regulatory network centered on the BCL-2 family proteins that adjudicate cell survival or death decisions. Importantly, this comprehensive knowledge has not only satiated humanity’s curiosity for the unknown but also laid the foundations and provided the much needed roadmaps for the continuous development and mechanism-based application of small-molecule BH3 mimetics in cancer therapy. In the next 10 years, we envision that many long unresolved questions will be answered with new pharmacological tools and research technologies, yet novel opportunities will arise to challenge existing and inspire new cell death researchers.

Conflict of interest

The authors declare no conflict of interest.

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