## Introduction to Clinical Research (at MSK)

(\*NIH Definition: "Patient Oriented Research" involving human participants requiring direct interaction)

Paul Sabbatini, MD
SVP Clinical Research

Oct 15, 2025



## **Objectives**

Brief history of clinical research

Challenges and opportunities in modern trials

MSK clinical research program

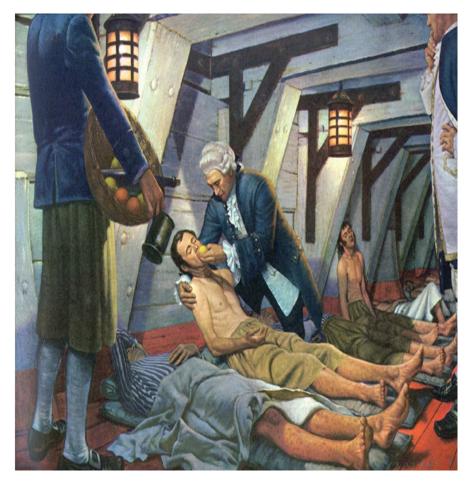
The Principal Investigator and oversight

## Canon of Medicine: 980 AD

#### Seven Principles

- Drug must be prepared and stored consistently; "pure"
- Experiment on a "single" not "composite" malady
- Test on at least 2 contrary conditions
- Potency sufficient for the disease "minimum effective dose"
- Timing of observation should rule out "natural healing"
- Results should be reproducible
- Animal testing is important, but human testing is required.

## "Father of Clinical Trials": James Lind



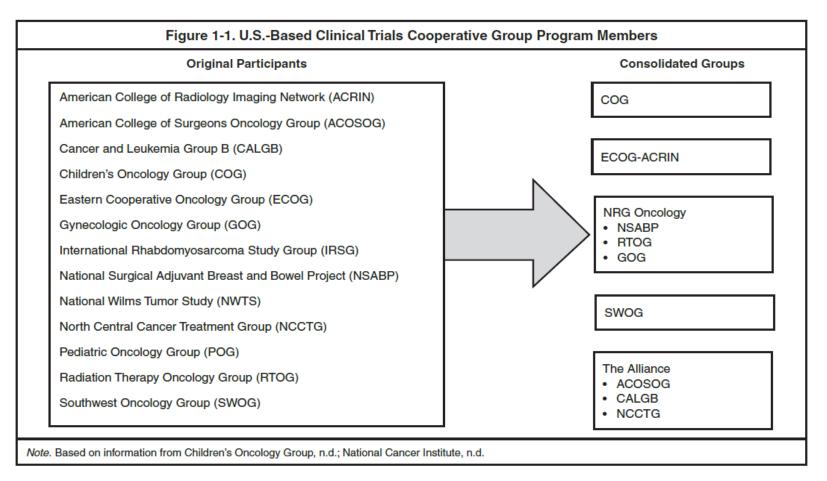
"They all in general had putrid gums, lassitude and weakness of the knees"

- Isolation
- Groups of 2
- 6 therapeutic interventions
- documentation

Treatise on Scurvy 1753

## **Cooperative Groups and Clinical Trials**

1950's 2010 +



## **Events in Human Subjects Protection**

- Nuremberg Doctors Trial
  - Nuremberg Code (1947)
    - Voluntary consent essential
  - Declaration of Helsinki (1964)
    - Risks not exceed benefits
- Tuskegee Syphilis Study (1932-1974)
  - National Research Act 1974
  - Belmont Report (1979)
    - Respect for persons, justice, beneficence

- DHHS regulations
  - 45 CFR Part 46 "the common rule" (1991)
  - Subparts for vulnerable populations
    - Pregnant women, prisoners, children
  - Last updates implementation over 2022)
    - Single IRB review for multi-center studies
    - · Informed consents posted

Green JM et al. Implementation of single IRB review for multisite human subjects research J Clin Transl Sci. 2023 Apr 4;7(1)

## **Objectives**

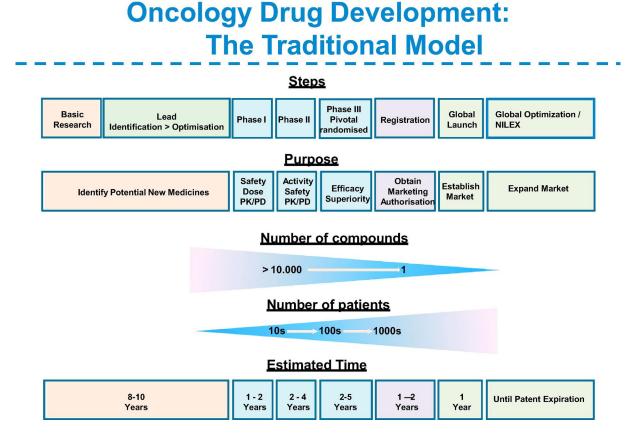
Brief history of clinical research

Challenges and opportunities in modern trials

MSK Clinical Research Program

The Principal Investigator and oversight

## **Historical Drug Development**



Zhang JY et al. Synthesis and clinical application of new drugs approved by FDA in 2022. Mol Biomed. 2023 Sep 4;4(1):26.

## Innovation in Clinical Research Needed

**More Drugs** 

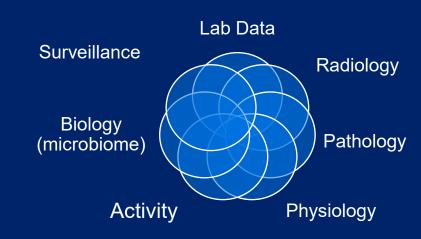
**More Patient Cohorts** 

**More Tumor Cohorts** 

40%\*

% of global R and D pipeline is cancer- related

34% 2018



The "Digital" Patient

Kravis Center
Molecular Oncology
/MSK IMPACT/OncoKB

100K+ patients, > 96K tumors, 30+ % clinically relevant alteration

Mutations differ in metastasis from same tumor

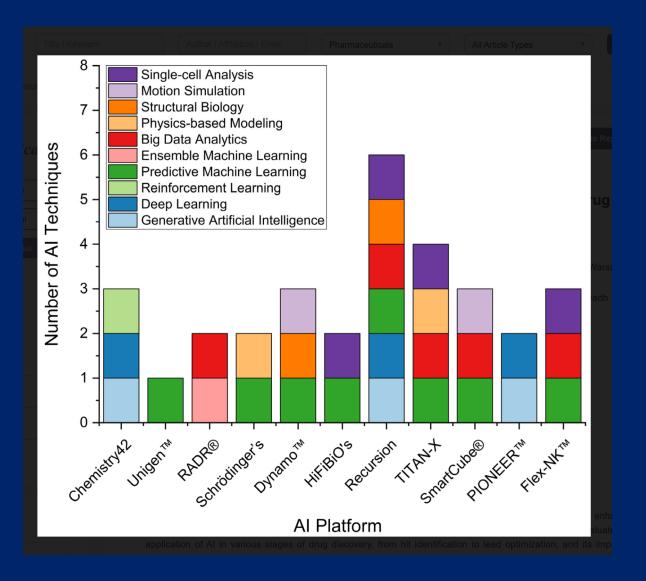
Nguyen et al. Cell 2022

\*Source: Informa. R&D Review 2019, McKensie 2022

## **More Technology**

From Lab to Clinic: How AI is Reshaping Drug Discovery Timelines and Industry Outcomes

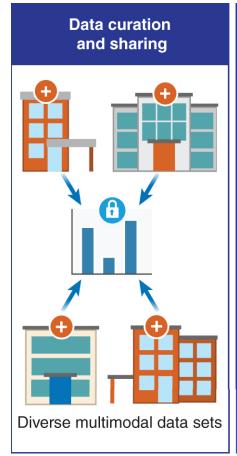
Dermawan et al. Pharmaceuticals. 2025.

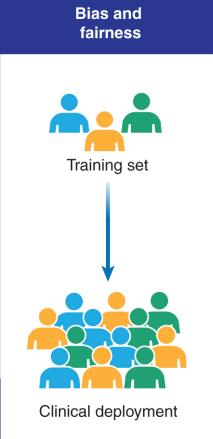


## Al: Challenges / Opportunities for Clinic

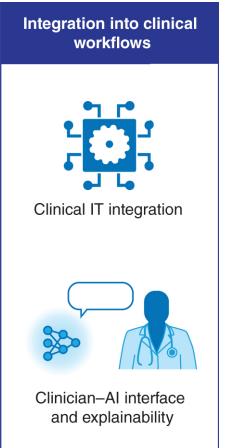
From: Artificial Intelligence in Oncology: Current Landscape, Challenges, and Future Directions





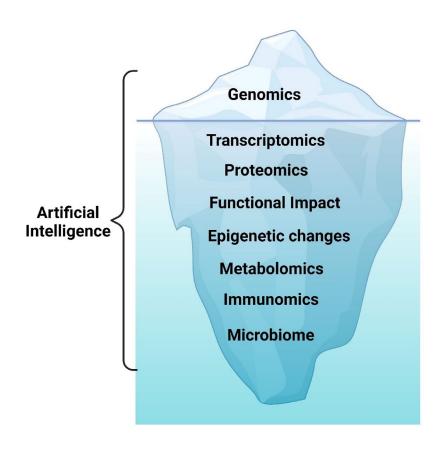


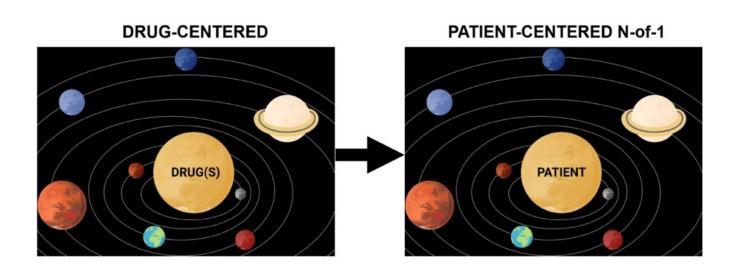




Cancer Discov. 2024;14(5):711-726. doi:10.1158/2159-8290.CD-23-1199

### **Multi-modal and Patient Centered Trials**





## Challenges: Design versus Speed

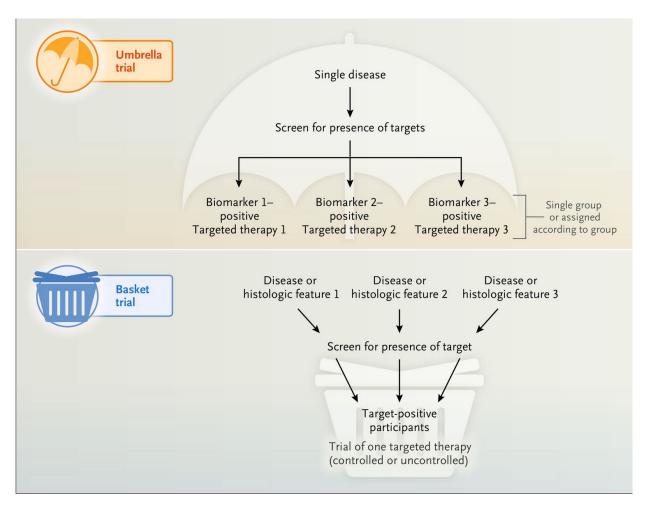
#### **Traditional**

- Phase I (3+3 design)
  - Dose
  - Safety
  - Pharmacokinetics
- Phase II (n = 35)
  - Single disease
  - Efficacy
- Phase III
  - Is it more effective than the standard?

#### Today

- Phase I (3 + 3, adaptive, other)
  - Dose
  - Safety
  - Pharmacokinetics
  - Expansion / efficacy
- Real World Evidence
- Pragmatic
- Umbrella
- Basket

## Challenges: Design versus Speed



# Challenges in Modern Trials: Standard of Care or Clinical Research?

"..... something is either research or standard care; it cannot be both" (e.g., Miller and Rosenstein, NEJM 348: 2003)

#### Versus

"Enrollment on an investigational study is state-of-the-art care for many patients in oncology today" 2025 (ASCO, NCCN, advocacy organizations, etc.)

Much of the treatment-related research we do here is performed in a caredelivery context with characteristics of both care and research.

From a legal, regulatory, and procedural point of view, it is all research.

## Innovation Requires Distributed Access

Decentralized Clinical Trials



**Clinical Trials** 

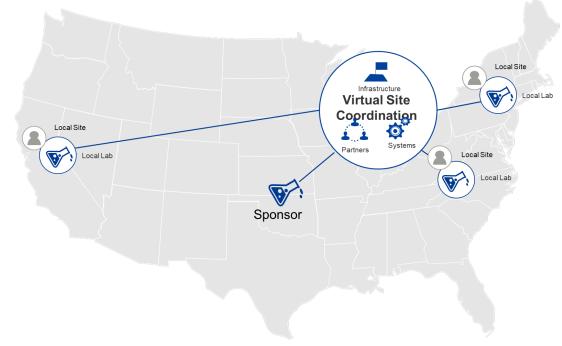


## **Innovation Requires Distributed Access**

#### **Traditional Model**



#### **Decentralized Model**





## Integrating Stakeholders

#### Tools and Processes





#### **PATIENTS**

Trial availability and access



#### **PHARMA**

Scalable approach that shortens development time



#### © CLINICAL PROVIDERS

Integrated workflows and clinical decision support



#### REGULATORS

Partners with experience to streamline



#### **PAYORS**

Cost versus benefit



#### **SITES**

Decreased overhead costs, less operational burden, more throughput

# Optimization for Decentralized Trials

Tools and Processes







TELEHEALTH and mHealth applications (eConsent)



WEARABLES for continuous data collection



**APPLICATIONS** for monitoring adherence

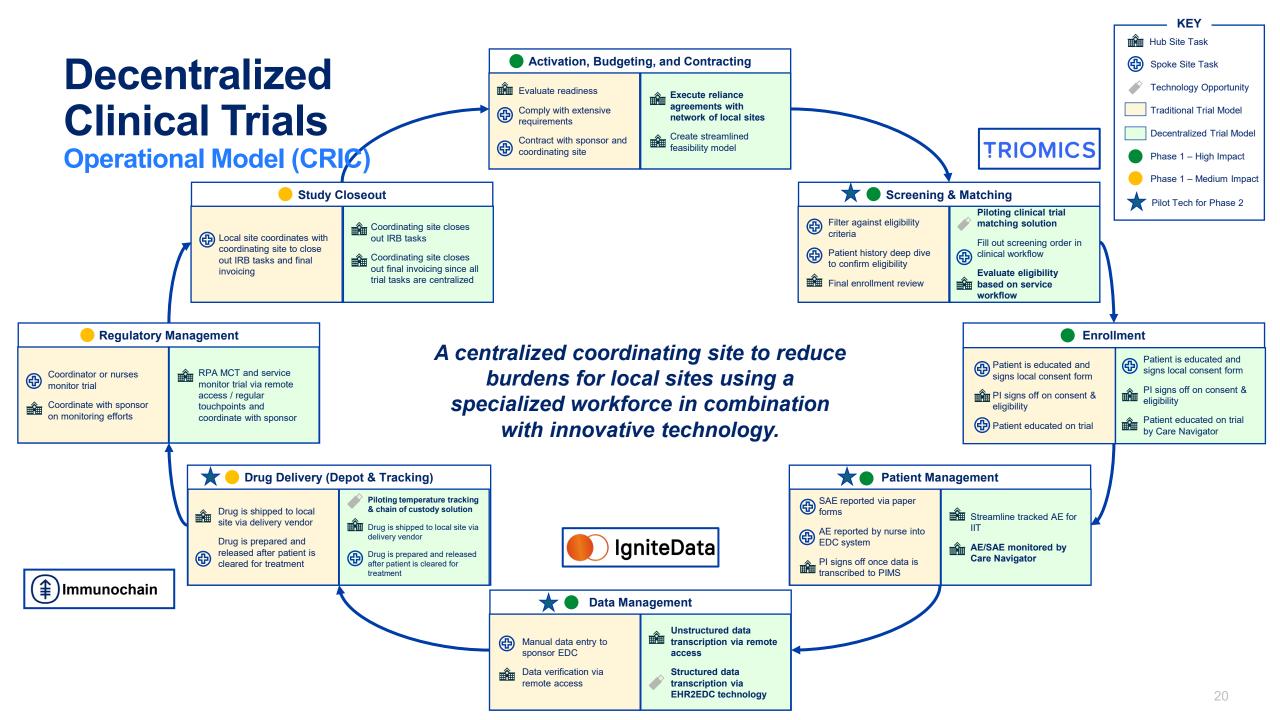


ELECTRONIC patient reported outcomes



EPIC EHR Transition

Technology Partnerships: Clinical Research Innovation Consortium (CRIC)



**Technology Solutions** 

Clinical Research Innovation Consortium (CRIC)

## **Ambient Listening Technology**

**Ignite Data Platform** 

iHUB/DigITs/OTD

iHub Challenge

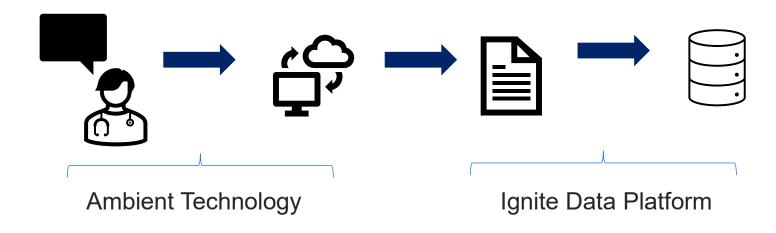
10 vendors / MSK mentors

#### **CRIC**

- Working with 2 ambient technology providers
- Evaluating data EHR to EDC platform

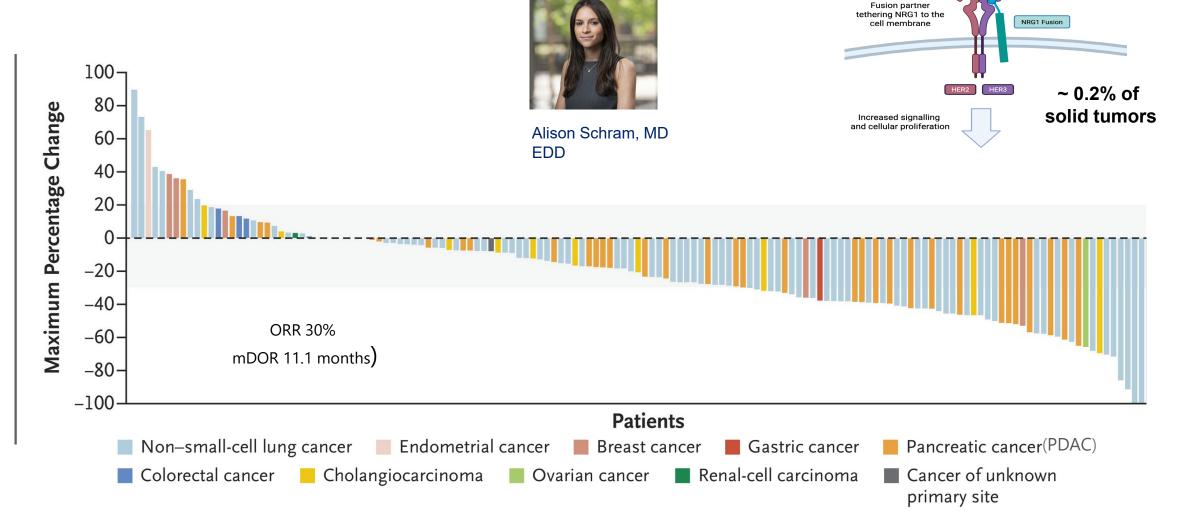
#### Research use-cases include:

- Capturing adverse events in real time
- Capturing structured, coded data at point of care, reducing research coordinator manual abstraction
- Patient reported outcomes via speech
- Moving data electronically from EHR to EDC



High Impact Example: Zenocutuzumab in NRG1 Fusion+ Solid Tumors FDA Approval

Screening, Access and Logistics



## **Objectives**

Brief history of clinical research

Challenges and opportunities in modern trials

MSK Clinical Research Program

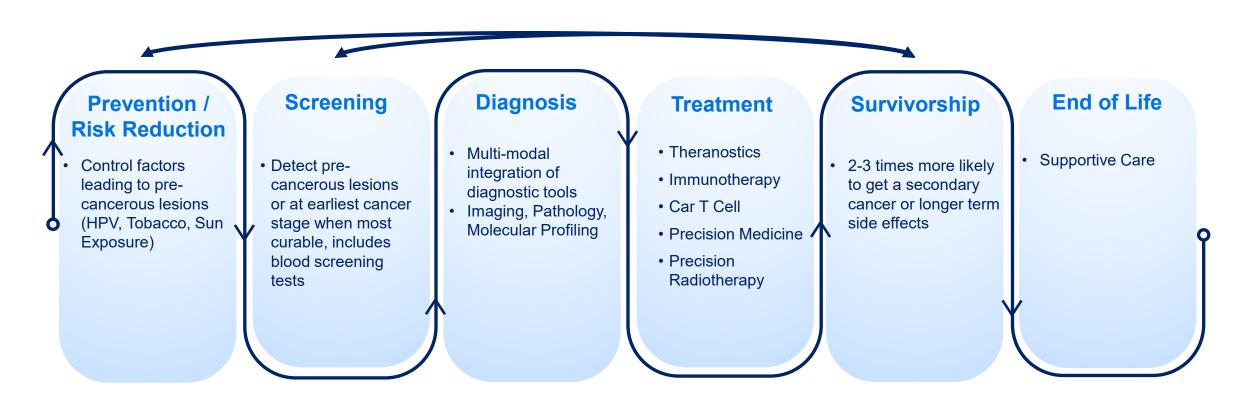
• The Principal Investigator and oversight

# Scientific Discovery at MSK: Clinical Context

Pre-Clinical Translational Research Clinical Research

- Exceptional strength across research landscape from fundamental discovery to clinical investigation- talent, institutional resources, and freedom to discover
- Maximized impact by seeing scientific discoveries all the way to clinical use

### MSK Programs Address Biologic Continuum of Cancer



TRANSLATIONAL SCIENCE

PATIENT CENTERED DISCOVERY (CLINICAL RESEARCH PROGRAM)

Requires Strategic Investment

# Clinical Research and Patient Care is Bidrectional.

Clinical Application of New Knowledge Access

Generate New Knowledge

## High Impact Example: Rare Disease Drug Development (DMT)

Evolution of T cell directed Therapies In Sarcoma at MSK

2013

2016

2019

2020

Protocol 13:236

Lete-cel (NYESO-1) TCR in synovial sarcoma

ORR 20-50% DOR 15-30 weeks

Higher LDR impacts efficacy (Flu 120mg/m2 + Cy 2700mg/m2)

- Correlates of response: expansion, persistence, IL15 levels, depletion of lymphocytes
- Correlates of resistance: loss of HLA expression & Ag presenting machinery

**Protocol 16:1406** 

Lete-cel (NYESO-1) TCR in myxoid round cell liposarcoma

ORR 20-40% DOR 5-7m Protocol 19:316

Afami-cel (MAGE-A4 TCR) in synovial sarcoma + MRCLS

ORR 39% (SS), 25% (MRCLS)

DOR 12m (SS), 4m MRCLS)

Correlates of response:

Expansion, persistence, IL15 levels, depletion of lymphocytes

Tocilizumab doesn't appear to impact efficacy Clinical correlates: Lower disease burden, higher MAGEA4 expression, lack of bridging therapy

- Higher cell dose may impact efficacy
- Integrating cells early is likely better

FDA approved in 2024

Ref: D'Angelo SP, Lancet 2024

Protocol 20:055
Lete-cel (NYESO-1) TCR in

synovial sarcoma + MRCLS

ORR 43%

Primary endpoint met

#### **BLA planned for 2025**

Ref: D'Angelo SP, ASCO 2024 (manuscript

in preparation)



Sandra D'Angelo, MD Sarcoma Service

Ref: D'Angelo SP, Cancer Discovery 2018

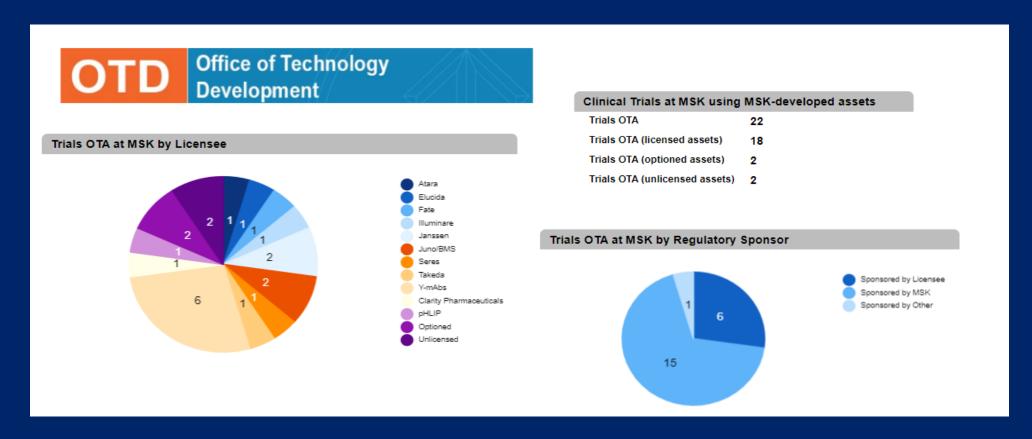
Ref: D'Angelo SP, JCO in press

## A specific objective of the MSK Clinical Research Program is to support research across the continuum.

Pre-Clinical SKI (Fundamental Discovery)

Translational Research (HOPP)

Clinical Research (Effector Arm)



### Innovation Requires Core Services: MSK Biobank





Hikmat Al-Ahmadie, MD

Ross Levine, MD

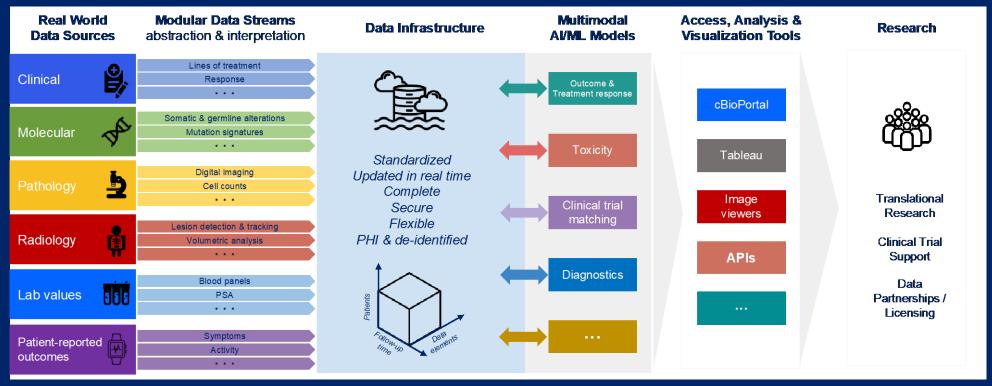
- Nearly 1 million specimens
- Work is underway to optimize:
  - increase collections in networks
  - samples needed for key discovery/translational research (organoids and single cell studies)
  - collaborations with academic and industry partners
- Key facilitator of next steps understanding response and resistance and biomarker development



## Innovation Requires Core Services: Cancer Data Science Initiative (CDSI)



Niki Schultz PhD



Working to optimize:

- Automated data abstraction
- Access to all relevant investigators

- Providing analysis and visualization tools

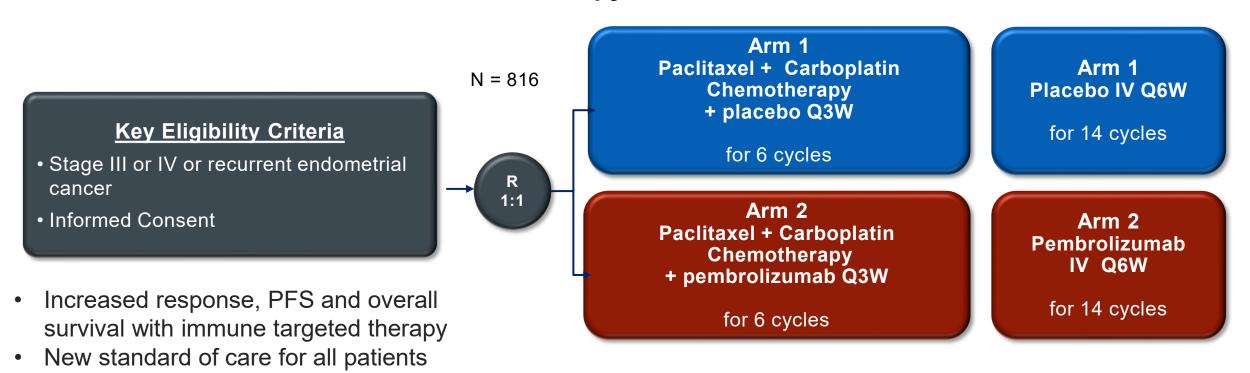
Additional Support: Computational Oncology/ Shah et al.

CDSI Director: Niki Schultz PhD

### High Impact Example: Using MSK Biobank and CDSI

#### Interventional Studies (NCI Cooperative Groups)

Pembrolizumab Plus Chemotherapy in Patients with Advanced Endometrial Cancer



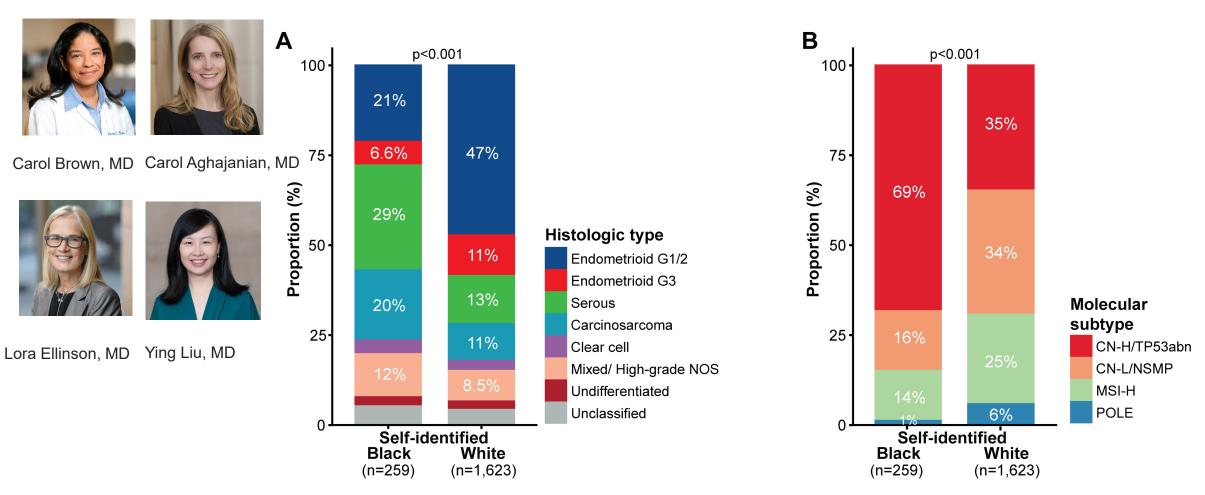
Disparities Group
© 2023 Memorial Sloan Kettering Cancer Center, et al. All rights reserved.

Collaboration with MSK Biobank, Cancer

Data Science Initiative and Health Care

Aghajanian et al. New England J Med: 2023

### High Impact Example: Using MSK Biobank and CDSI



N = 1182. Significantly higher prevalence of high-risk histologic and molecular subtypes Higher frequency of CCNE1 amplification (WEE1 and ATR inhibitors)

## Clinical Research: Value to Patients

#### FDA DRUG APPROVALS

40+

## MSK investigator significant\* supporting role 2019-current

\* = global PI, lead accrual, MSK developed product

Other Metrics: Non-Drug Approval Related (Surgical, Modality based, Non-Interventional, Device) Research

## Clinical Research: Value to MSK



• Clinical Trials are recommended as preferred options in multi-disciplinary Cancer Treatment Guidelines (NCCN, ASCO, others) – integral part of care

30% of patients participate across life cycle at MSK



• Clinical research is our most desired asset in partnership and talent discussions



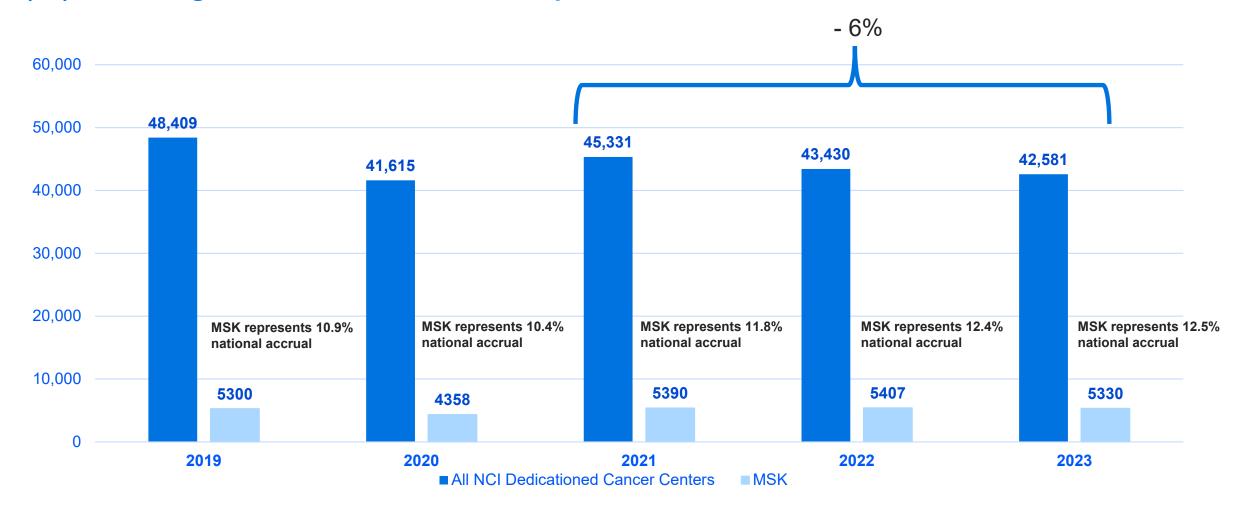
Mechanism to move MSK developed projects from bench to bedside



Generates clinical revenue

## **Interventional Treatment Trial Enrollment**

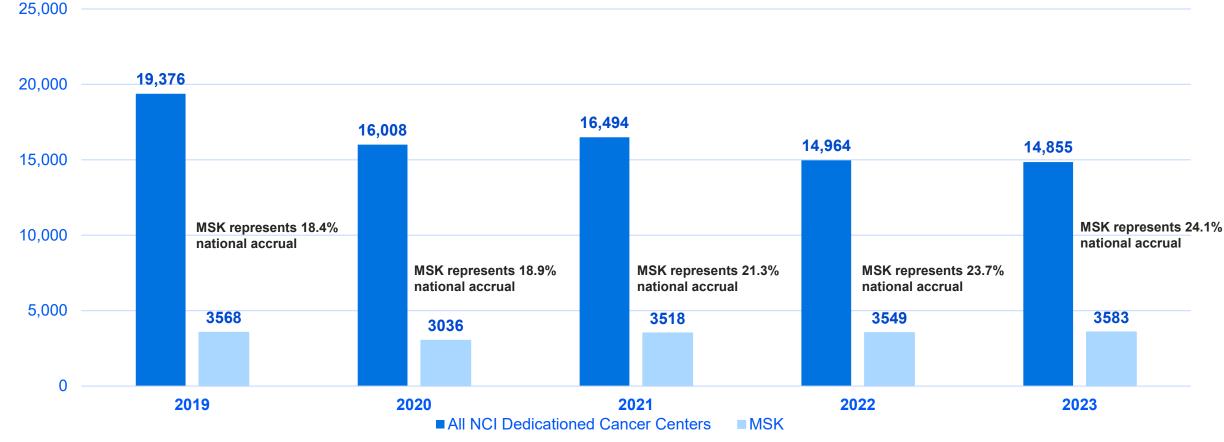
(72) NCI-Designated Cancer Centers\* compared to MSK Enrollment



<sup>\*</sup> Interventional Treatment Trial Data from NCI's Clinical Trials Reporting Program (CTRP) as of 4/23/24

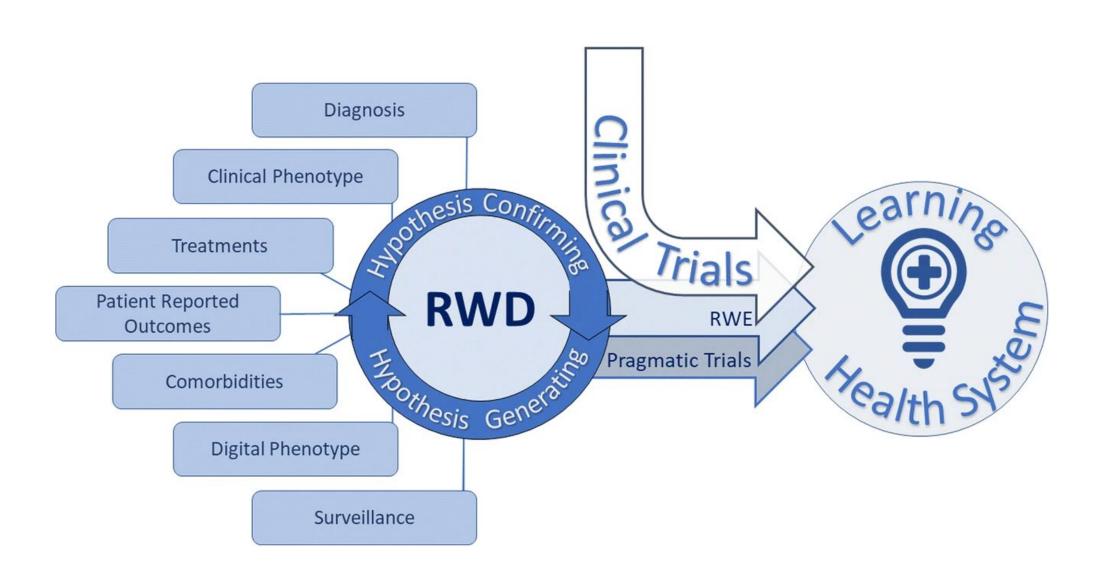
## Memorial Sloan Kettering Cancer Center Investigator Initiated (MSK Sponsored) Interventional Accruals

(72) NCI-Designated Cancer Centers\* compared to MSK Enrollment



<sup>36</sup> 

#### Next Steps With Data Aggregation: "Real World Evidence"



# Streamlining Clinical Trials Working Group (SCTWG): Support for Decentralized Trials

Convened July 2022

November 9, 2022 (interim report)

https://deainfo.nci.nih.gov/advisory/ctac/1122/Meropol-Mandrekar2.pdf

March 13, 2024 (final report)

https://deainfo.nci.nih.gov/advisory/ctac/0324/Mandrekar2.pdf

MSK leads: Carol Aghajanian, Isabel Preeshagul, Roy Cambria, Ann Rodavich, Sara Hanley



# Pragmatic Clinical Trials

Defining the role of pragmatic clinical trials in cancer clinical research: outcomes of a collaborative workshop hosted by the European Organization for Research and Treatment of Cancer

Borges et al. Lancet Oncology (26)): 2025

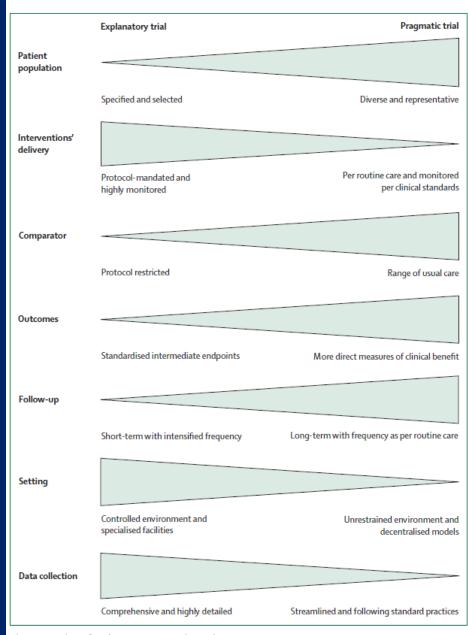


Figure: Overview of explanatory-pragmatic continuum Wedge width indicates increased focus on each study characteristic.

### **High Impact Example: Reducing Toxicity**



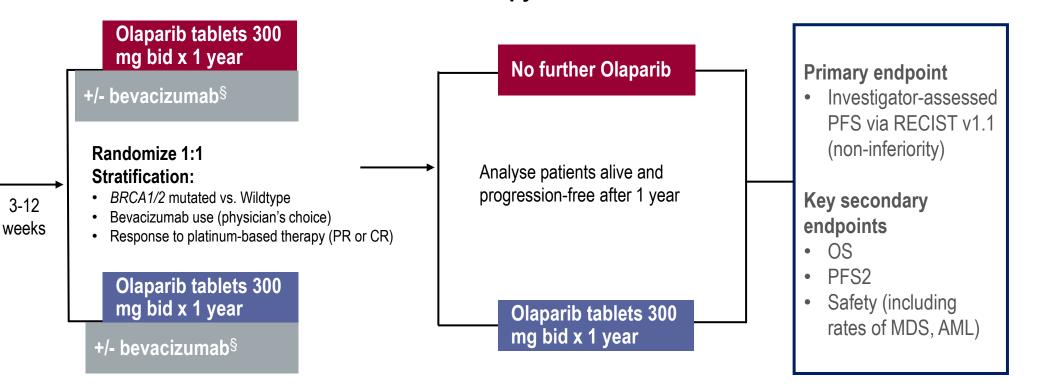
Ying Liu, MD GYN and Clinical Genetics

#### **Eligibility**

- Newly diagnosed, FIGO stage III–IV Ovarian cancer
- BRCA1/2 mutation (germline or somatic) or Homologous Recombination deficient
- Cytoreductive surgery
- Response to platinum-based chemotherapy†

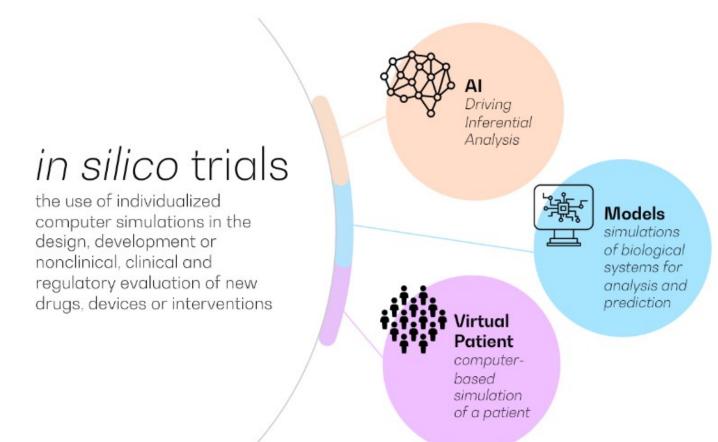
Maintenance therapy

Leading Cooperative Groups / Pragmatic Trial Design (1/25)



#### What is an in silico trial?

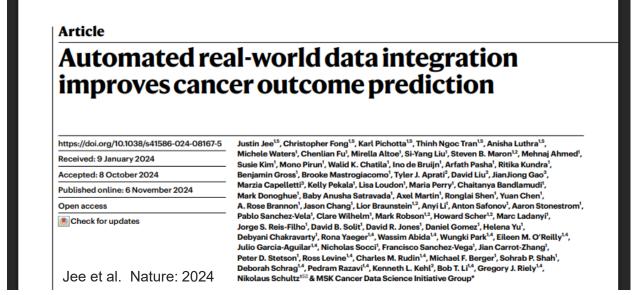
Mainstream definition by scientists and regulators



### Main advantages of conducting in silico trials:

- Cost Reduction
- Time Efficiency
- · Reduced Ethical Concerns
- Exploration of Complex Systems
- Prediction and Optimization

# MSK CHORD: A Clinicogenomic, Harmonized, Oncologic Real-World Database



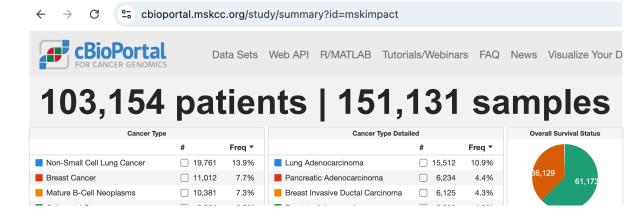
Deeply annotated clinical and genomic data for >100,000 patients with cancer

Updated daily. Available to all with MSK email

Demonstrates feasibility of automated annotation from unstructured notes

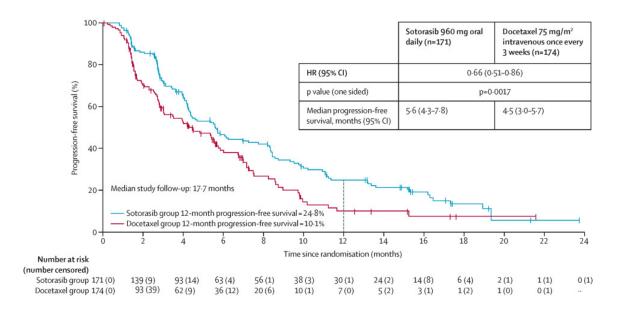
Shows those that include features extracted with natural language processing (such as sites of disease) outperform predictive models solely based on stage or genomic characteristics

Supports in silico trial development

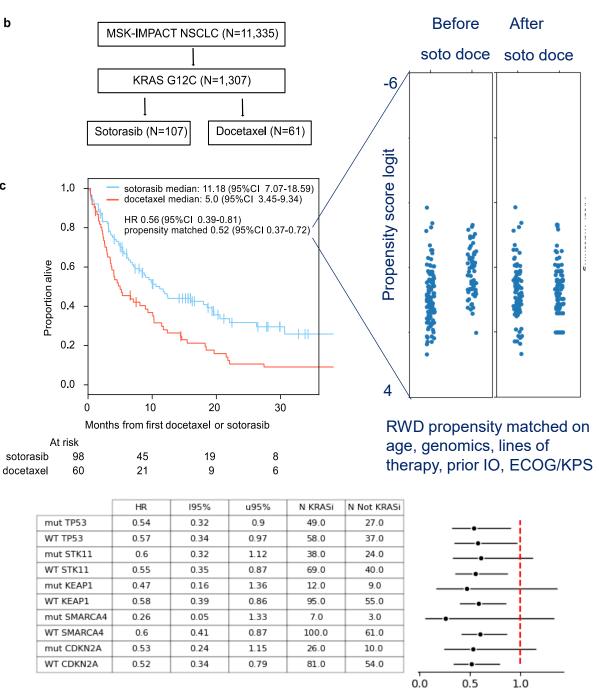


# Example at MSK: Replicating CODEBREAK 200 trial with real-world data (RWD) from MSK CHORD

Allows for OS and genomic subgroup analysis not possible in original trial c



CodeBreak 200 Trial. De Langen et al Lancet 2023



soto better

HR

doce better

MSK-CHORD real-world data

# Infrastructure: Manage Life Cycles of Clinical Research Projects (Protocols)

#### **DEVELOPMENT**

- Iterative process with sponsor (MSK, pharma, national cooperative group)
- Protocol development template
- •Budgets / contracts

#### **ACTIVATION**

- Departmental review (science and plan)
- Institutional review (priority / competing studies)
- •IRB (Human Subjects Protection)

#### **ACCRUAL**

- Evaluate for performance
- Identify problems and amend

#### **MONITORING/AUDIT**

- Compliance with study plan and patient safety
- Informed consent procedures

#### CLOSEOUT

- Clean / lock data
- Biostatistical analysis
- Manuscript preparation

### **CRA Infrastructure: Supports Highly Regulated Activity**

Quality Assurance

Regulatory
Oversight and
Product
Development

Multi-Site Compliance Protocol
Activation and
Human Research
Protections

Protocol Operations

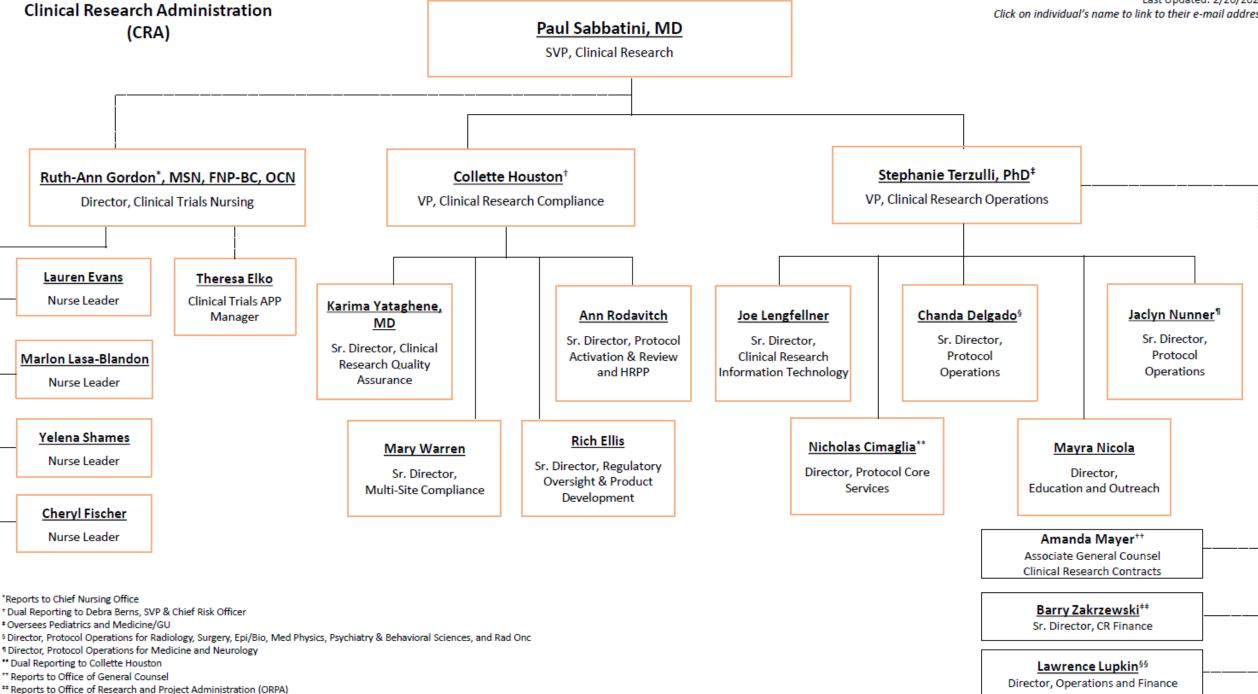
Clinical Research Informatics and Technology

Administrative Operations

Education and Outreach

Clinical Research
Contracting

Clinical Research Finance Clinical Research Innovation Consortium (CRIC)



55 Reports to Office of Technology Development (OTD)

### When is a clinical trial "best"?

 Integrated into planning from screening to diagnosis to treatment to survivorship

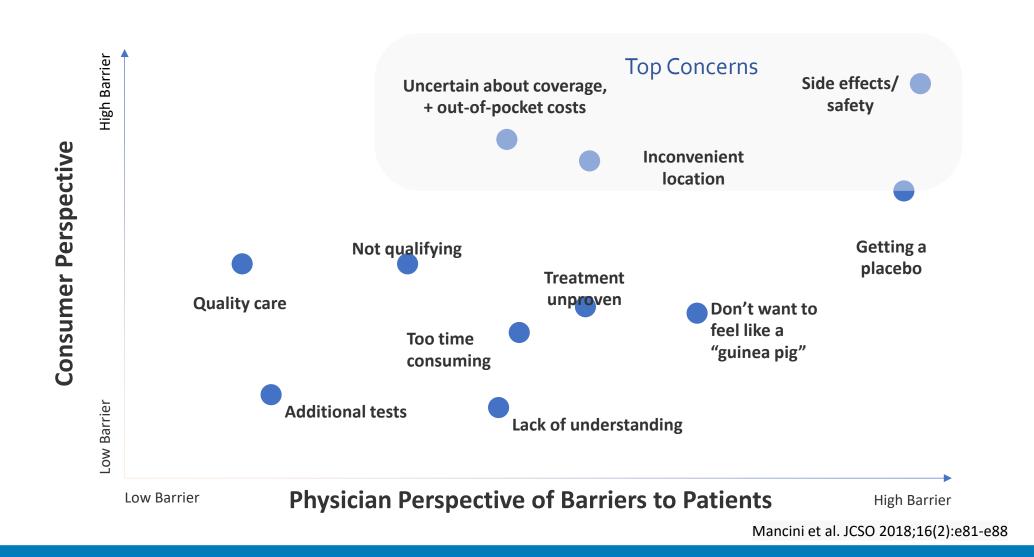
• Care decision (s)

Standard treatment

Vs.

Investigational Treatment

### **Barriers to Enrollment**



# **Objectives**

Brief history of clinical research

Challenges and opportunities in modern trials

MSK Clinical Research Program

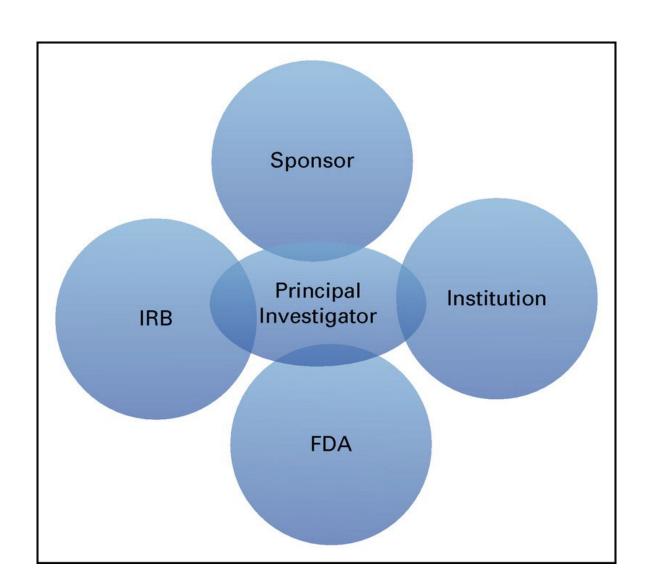
The Principal Investigator and oversight

# The Principal Investigator (PI)

**Abuse Endangered Veterans in Cancer Drug Experiments – New York Times** 

- "...were systemic weaknesses in the human research protections program, especially in studies funded by industry...."
- "...was a research culture where rules weren't followed, protocols were not strictly applied, and supervision was non-existent...."
- The FDA started proceedings to disqualify Dr. X (lead investigator) from conducting further research because he had failed to protect patients under his care in X.

## Responsibilities of the Pl



## Responsibilities of the PI

- Design of study (the intellectual leader)
- Coordinates functioning of the research team
- Oversees data acquisition, analysis, and reporting
- Ensure that all regulatory and reporting requirements are observed

Supported by Institutional Infrastructure

# Challenges of the Pl

- Time and financial demands of clinic practice
- Increasing complexity of regulations
- Increasing complexity of contracts ("promises")
- Potential lack of supporting infrastructure
- Inadequate research training
- Data collection issues (world of EHR)
- Globalization

# **Oversight and Federal Agencies**

#### Office of Human Research Protection (OHRP)

• 45CFR46 (the "common rule") – applies to all research using US federal funding, including indirect or partial support such as funding of infrastructure. Oversees IRB system and investigates allegations of substandard human subjects protection or IRB performance.

#### Food and Drug Administration (FDA)

 21CFR – regulations are implementations of the Food Drug and Cosmetic Act and apply to research with all investigational substances or devices being tested in humans under an "IND" or an "IDE" whether federally funded or not.

#### Office of Research Integrity (ORI)

 Oversees investigations by institutions of alleged research misconduct involving federal research funds



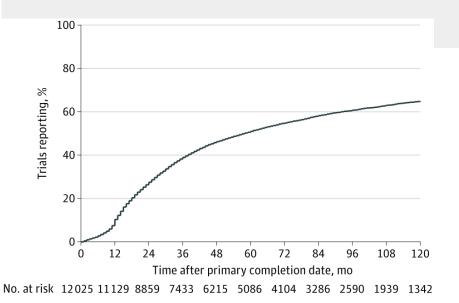
## Oversight and Federal Agencies

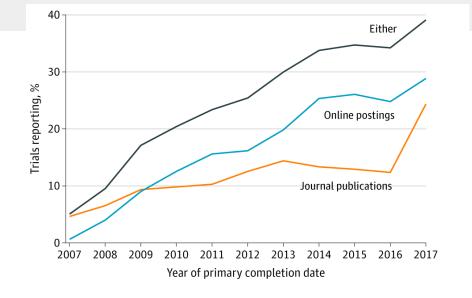
- National Institutes of Health (NIH)
  - use of federal funds according to a grant's terms of award
  - minority representation on clinical trials
  - data safety monitoring
  - education and training of clinical researchers
  - data audits
  - animal welfare
- Office of Civil Rights (OCR)
  - HIPAA and Health Information Privacy
- Center for Medicare and Medicaid Services (CMS)
  - not a research agency
  - monitoring programs to assure that Medicare funds are used only for services that are "reasonable and necessary"
  - routine care costs may be billed if trials are "qualifying".

# **Oversight and Reporting**

JAMA Netw Open. 2021;4(5):e2110438. doi:10.1001/jamanetworkopen.2021.10438

From: Evaluation of Oncology Trial Results Reporting Over a 10-Year Period





Cumulative % reported on ClinicalTrials.gov or in Journal Articles

Change in % reported within 24 months of primary completion date

## Oversight of Commitment and Conflict

# Commitment to patients best interest

- Access to most novel therapy
- Optimal Care

# Commitment to pursuit of new knowledge

- Professional Recognition
- <u>+</u> Financial Benefit
- Desire for rapid enrollment

#### Commitment to MSK vs Outside Organizations

- Drug or device sponsor
- Speaker programs
- Professional Societies

AAMC: https://www.aamc.org/initiatives/research/coi/

MSKCC See "Policy on Conflict of Interest and Conflict of Commitment" at MSK Intranet>TOC>Conflicts of Interest

## Support for the Investigator

- Clinical Trials Training Courses (CITI, Gerstner Clinical Research Study Management and Compliance)
- Clinical Research Administration
  - Data
  - Regulatory
  - Compliance
  - Clinic Support Staff
  - Clinical Trials Nursing
- Mentors (Co-PI, other investigators)

### **Clinical Research at MSK**

Identifies MSK as World's Leading Authority on Cancer (Set Care Standards)

Innovation is the primary differentiator which attracts patients

Key to increase the value of MSK IP, strategic asset for partners / faculty talent

New research opportunities expand our reach (Decentralized Trials, Data Services [Al integration], Translational Research [bi directional learning])

Key Issue: Sustainability, Funding and Optimal Size (Resources, Technology, Priority)