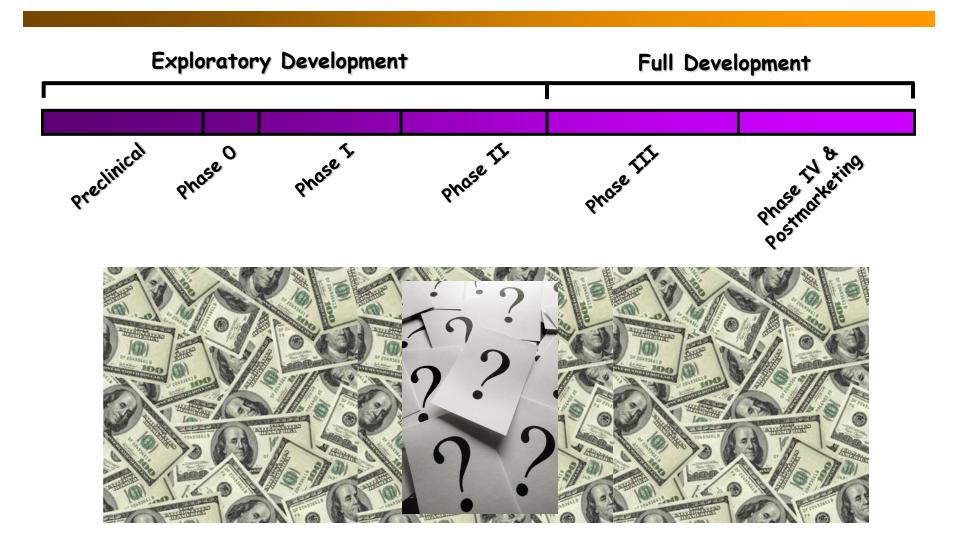
Drug Discovery & Development: Preclinical & Clinical Study

Minkui Luo, Ph.D.
Chemical Biology Program
Memorial Sloan Kettering Cancer Center

Contact: luom@mskcc.org, 646-888-3066, ZRC 1901

From test tubes to patients



Preclinical Study: Proof of Principle for Efficacy, Safety & Feasibility

Objectives of preclinical study:

- Support for human pharmacology
- Support for human toxicology
- Prediction of human pharmacokinetics
- Dose & formulation assessment
- Feasibility for large scale production
- (1) What does the drug do to the body (pharmacodynamics/PD)?
- (2) What does the body do to the drug (pharmacokinetics/PK)?
- (3) Feasibility for clinic trails?

Objectives & Approaches of Preclinical Study

Preclinical Pharmacology & Toxicology → Safety & Efficacy

Specific objectives:

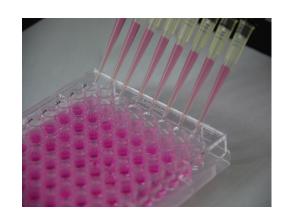
- Molecular targets, action mechanisms & Pharmacodynamic
- Short-term & long-term toxicity
- Pharmacokinetic properties: ADME

Approaches:

■ Extensive in vitro, in vivo, in situ & ex vivo experiments

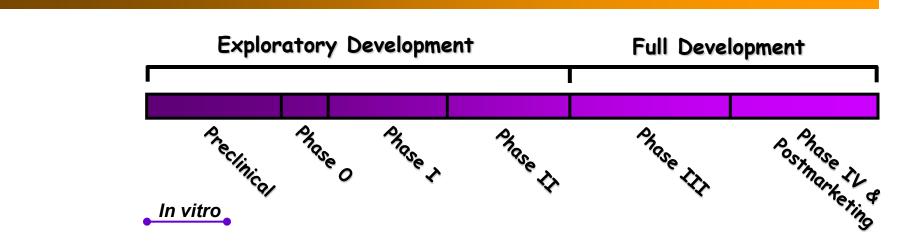
Components of Preclinical Pharmacology & Toxicology: In vitro Experiments

- In vitro studies: macromolecules, cell cultures & isolated tissues/organs
 - ► Molecular targets & action mechanisms
 - ► Molecular pharmacology
 - ► Intracellular pharmacodynamics
 - **▶** Toxicology
 - ► In vitro drug metabolism
 - ► Efficacy, dose response & drug resistance



In vitro Approaches for Preclinical Pharmacology & Toxicology

- Ames bacterial mutation & mouse lymphoma assay → genotoxicity
 In vitro human peripheral lymphocytes for chromosome aberration
- hERG-K+ conductance assay using CHO cells → cardiovascular toxicity
 Drugs intended for prolonged or life-time use in human
- Human Caco-2 cell permeability assay → intestinal drug absorption
 Madin-Darby canine kidney cell or parallel artificial membrane permeability assays
- Cytochrome P450 superfamily enzymes → drug metabolism
 In vitro human liver microsomes, heptatocytes, liver slices



Toxicology

ADME :

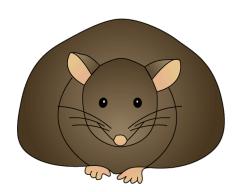
Dose & Formulation

Production :

Components of Preclinical Pharmacology & Toxicology: In vivo Studies

- In vivo studies: animal models and xenograft
 - ► Proof of therapeutic principle & pharmacodynamics
 - ► Animal toxicity
 - ► Animal pharmacokinetics: ADME
 - ► Starting dose and safety schedule

Usually 1/10 LD10 used as starting point and empirical choices for schedule such as Bolus, 24 H infusion, weekly, 5 d infusion, daily x 5.



Approaches of Preclinical Toxicology: Animal Models

- Two mammalian species: rodent & nonrodent (primate?)
- Single-dose escalation and short-duration multiple-dose studies
- Equal or exceed the duration of the human clinical trials proposed
 - ► 5-day dosing or longer than proposed treatment
 - ▶ 6 mon in rodents and > 9 mon in nonrodents for chronic treatments
- Physiological functions (life, cardiovascular, respiratory, and central nervous system).
- Special consideration such as reproductive age, unmet medical need or little alternative therapy.

Safety Margin: maximal safety dose or exposure > 10 expected pharmacological exposure in rodents (> 6 in nonrodents).



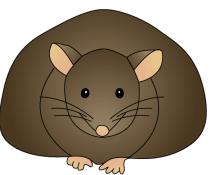
Objectives of Preclinical Toxicology

- ► Determine LD10 for 2 species (mouse, dog)

 Single dose of a compound that causes 10% mortality. If discrepancy, then third species.
- Examine LD10 for specific organ toxicity
 28 day observation of mice, 60 day observation for dogs.
 - ➤ Subchronic vs chronic dosing toxicity

 Repeated daily dose for rodent & non-rodent species, for instance 3

 mon vs 2 yr for rat.
 - Histopathology of all animals



From Preclinical Study to Clinical Trail

Limitations of Preclinical Study:

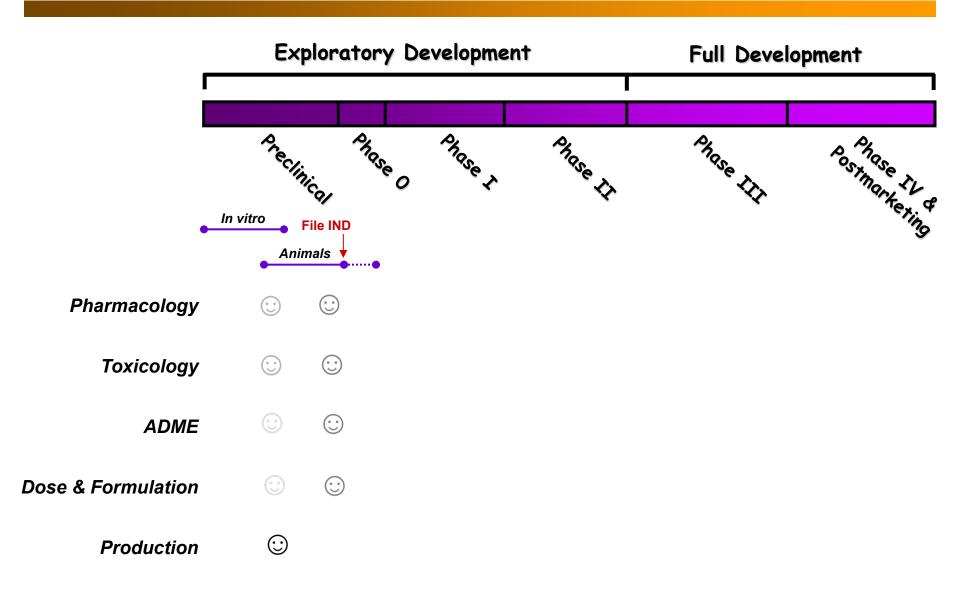
- Toxicity testing is time-consuming & expensive
- Large numbers of animals must be used
- Rare adverse effects are unlikely to be detected



Extrapolation of ADME & toxicity data from animals to humans?

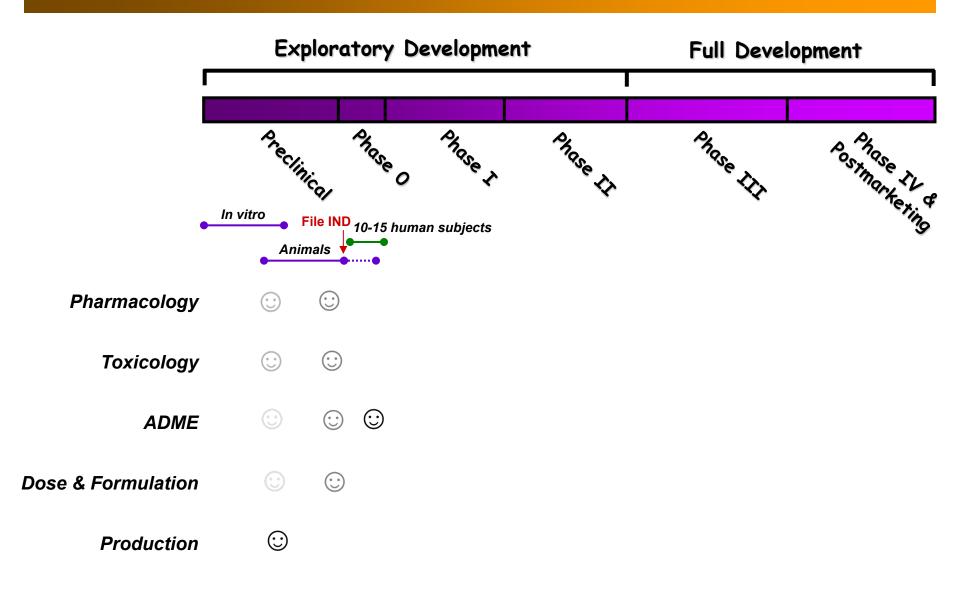
Investigational New Drug (IND):

- Composition & source of drug, manufacturing information
- All preclinical data.
- Protocols for clinical studies
- Names & qualifications of physicians



Clinical Trail: Phase 0

- Microdosing studies in a very early stage to establish whether a drug behaves in human subjects as was expected from preclinical studies.
 - ► Single subtherapeutic doses
 - ► A small number of subjects (10-15)
 - ► Data of pharmacokinetictics and pharmacodynamics
 - ► No data on safety or efficacy
- Questions: useful, ethically acceptable, feasible, speed up the process or save money, whether there is room for improvement?



Clinical Trail: Phase I for Safety

- Assess the safety, tolerability, pharmacokinetics, and pharmacodynamics of a drug in human subjects.
 - ► Open design
 - ► A small number of subjects(20-50)
 - ► Healthy volunteers (real patients who have terminal diseases)
 - ► Dose-ranging or dose escalation
 - ► A surrogate endpoint



Phase I Clinical Trail: Dose Escalation Schemes

Challenge: too slow vs too fast

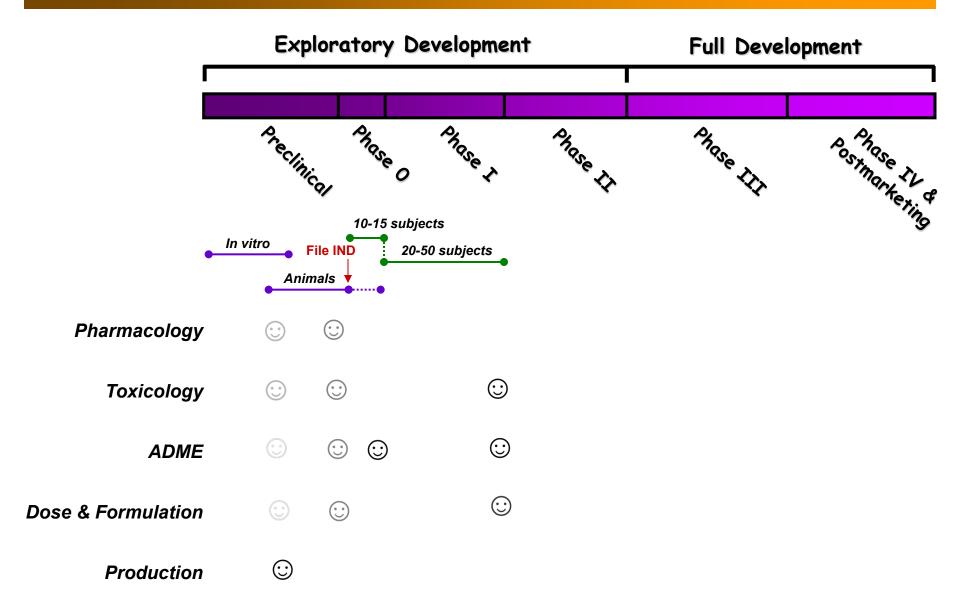
- Many patients
- Many patients receive ineffective doses
- Too much time elapses
- Poor estimation of actual MTD

VS

Too much risk of toxic dosing

Approach:

- ▶ Modified Fibonacci, $100\% \rightarrow 67\% \rightarrow 50\% \rightarrow 40\% \rightarrow 33\% \rightarrow 33\%$
- ► Pharmacokinetically Guided (LD10, Cmax or AUC → MTD)
- ► Pharmacodynamic Guidance
- ► Statistical Simulations (Accelerated Schemes or Bayesian Schemes)

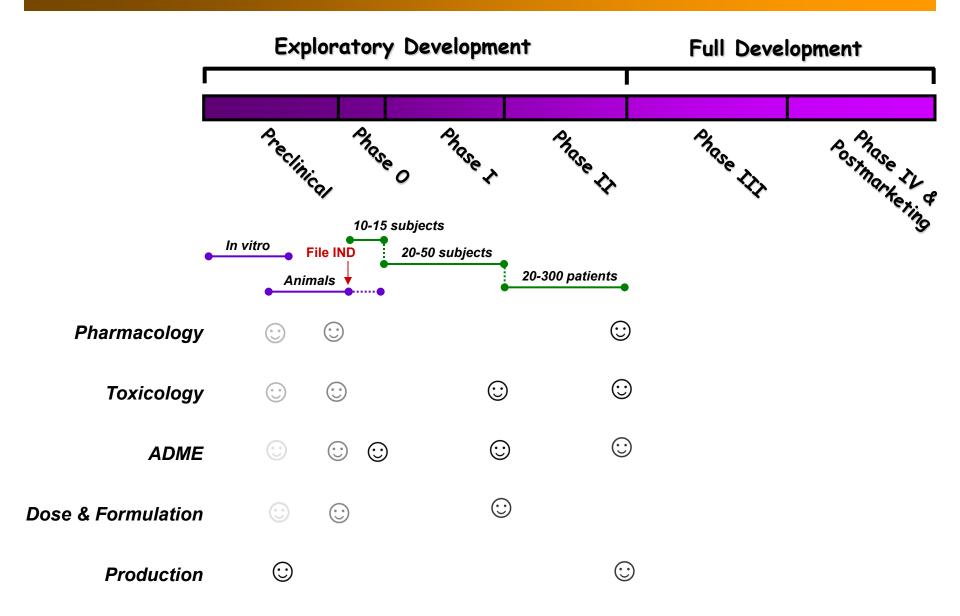


Clinical Trail: Phase II for Efficacy

- Assess efficacy and safety in patients.
 - ► A number of patients (20-300)
 - ► Randomized design, double blind and placebo-controlled
 - ► Dose or dose range for Phase III clinical trail
 - ► Pharmacokinetics, metabolism & safety

Expected outcome: the minimal dose that is maximally or sufficiently effective and free of significant toxicity.



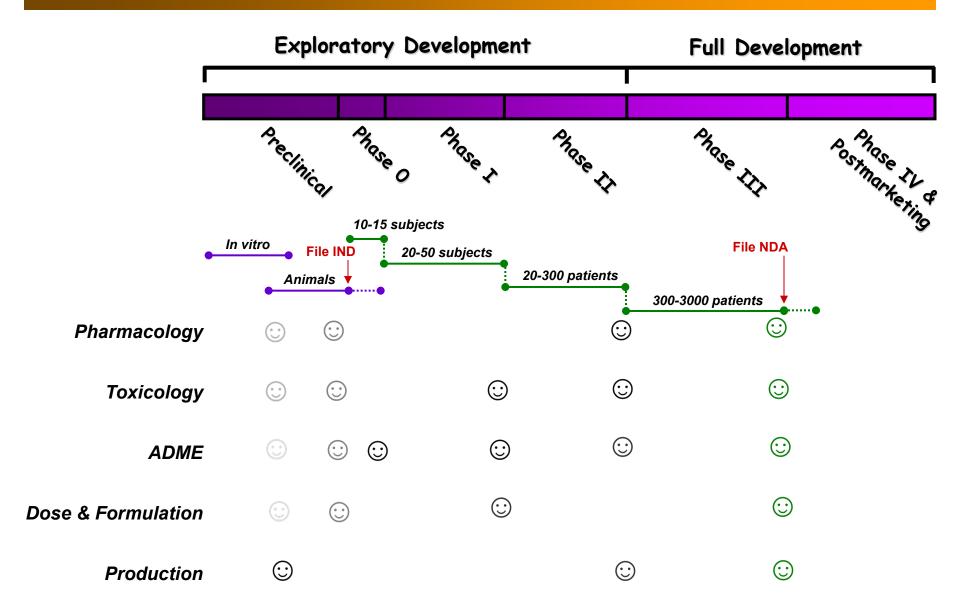


Clinical Trail: Phase III

- Definitively assess efficacy and safety in patients.
 - ► A large number of patients (300-3000)
 - ► Randomized & cross design and double blind
 - ► Placebo-controlled or compared with "gold standard" treatment
 - ► Pharmacokinetics, metabolism & safety
 - ► "Label expansion" (drug works for additional types of patients)

Provide basis for a New Drug Application (NDA)

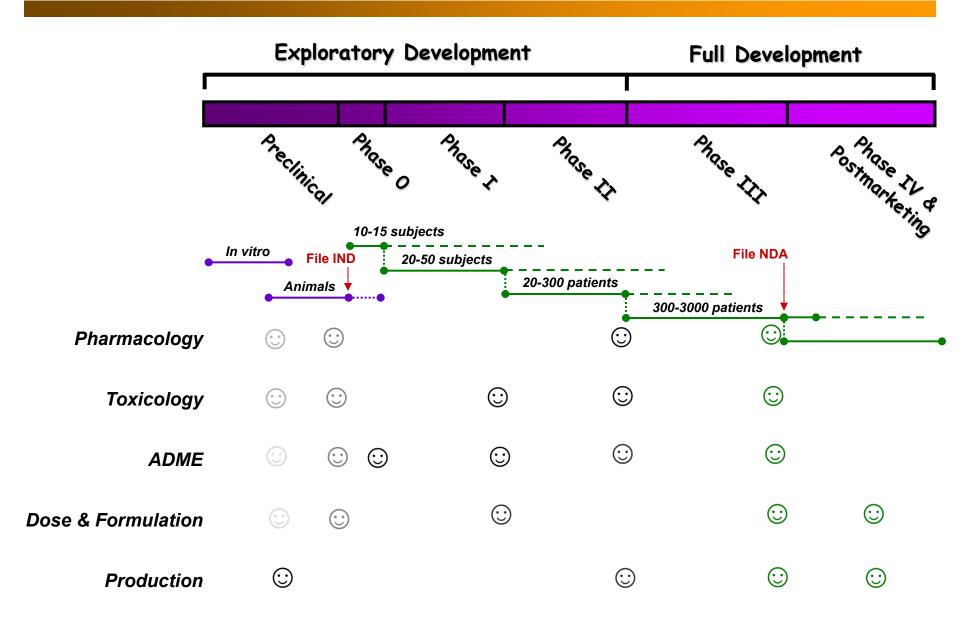




Phase IV or Post Marketing Surveillance Trail

- Safety surveillance and ongoing technical support
 - ► Relative efficacy compared to alternative drugs
 - ► Cost-effectiveness of the drug
 - ► Assessment of the improvement in the patient's quality of life
 - ► Safety assessment in an unselected patient population
 - ► Opportunities for additional indications
 - ► Detecting any rare or long-term adverse effects





Timeline of Drug Discovery and Development

From test tubes to Market

