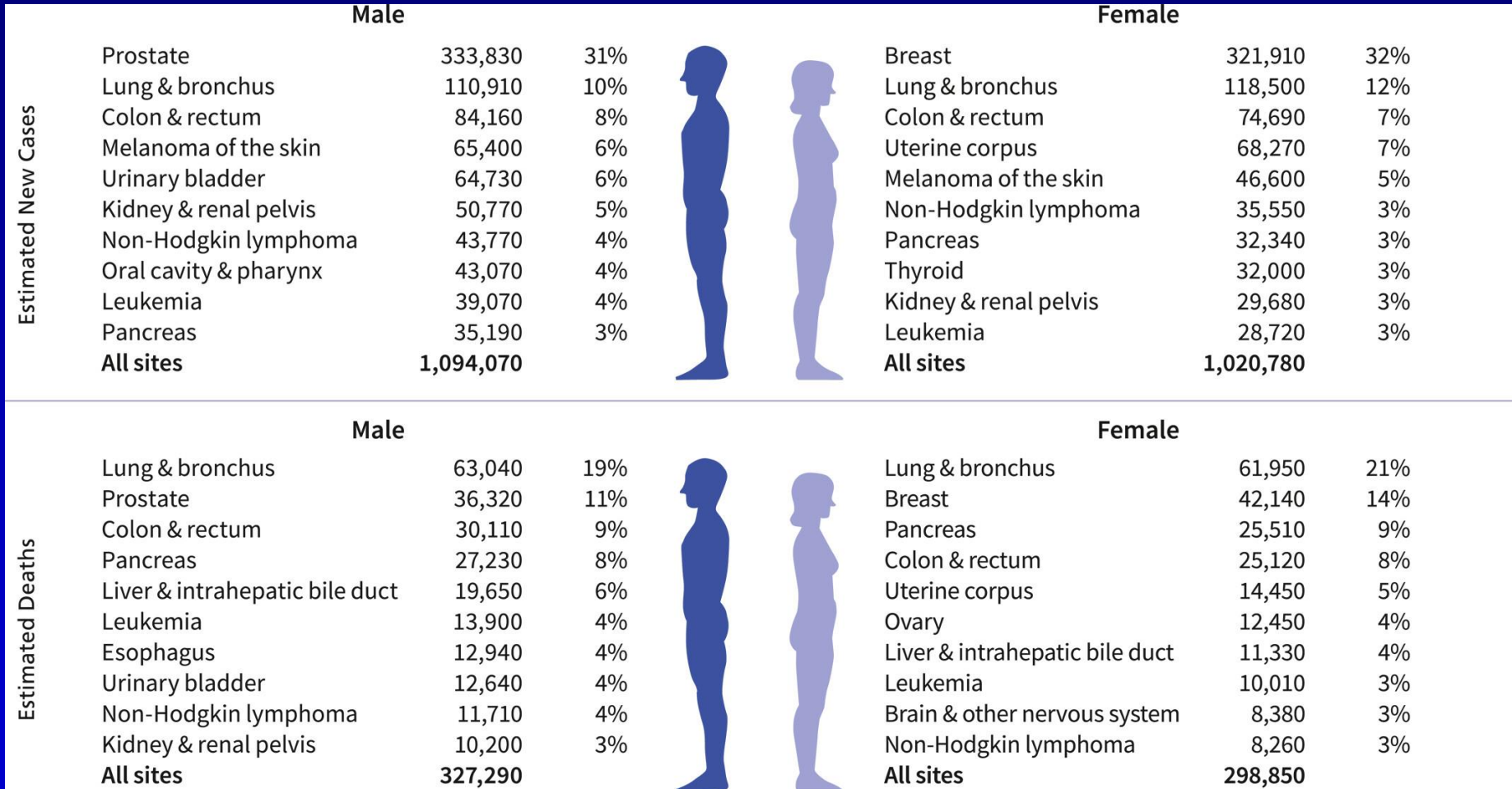


# Breast Cancer

## GSK Core Course

Sarat Chandarlapaty  
Memorial Sloan Kettering Cancer Center  
4/26/26

# Hormone Dependent Tissues and Cancer

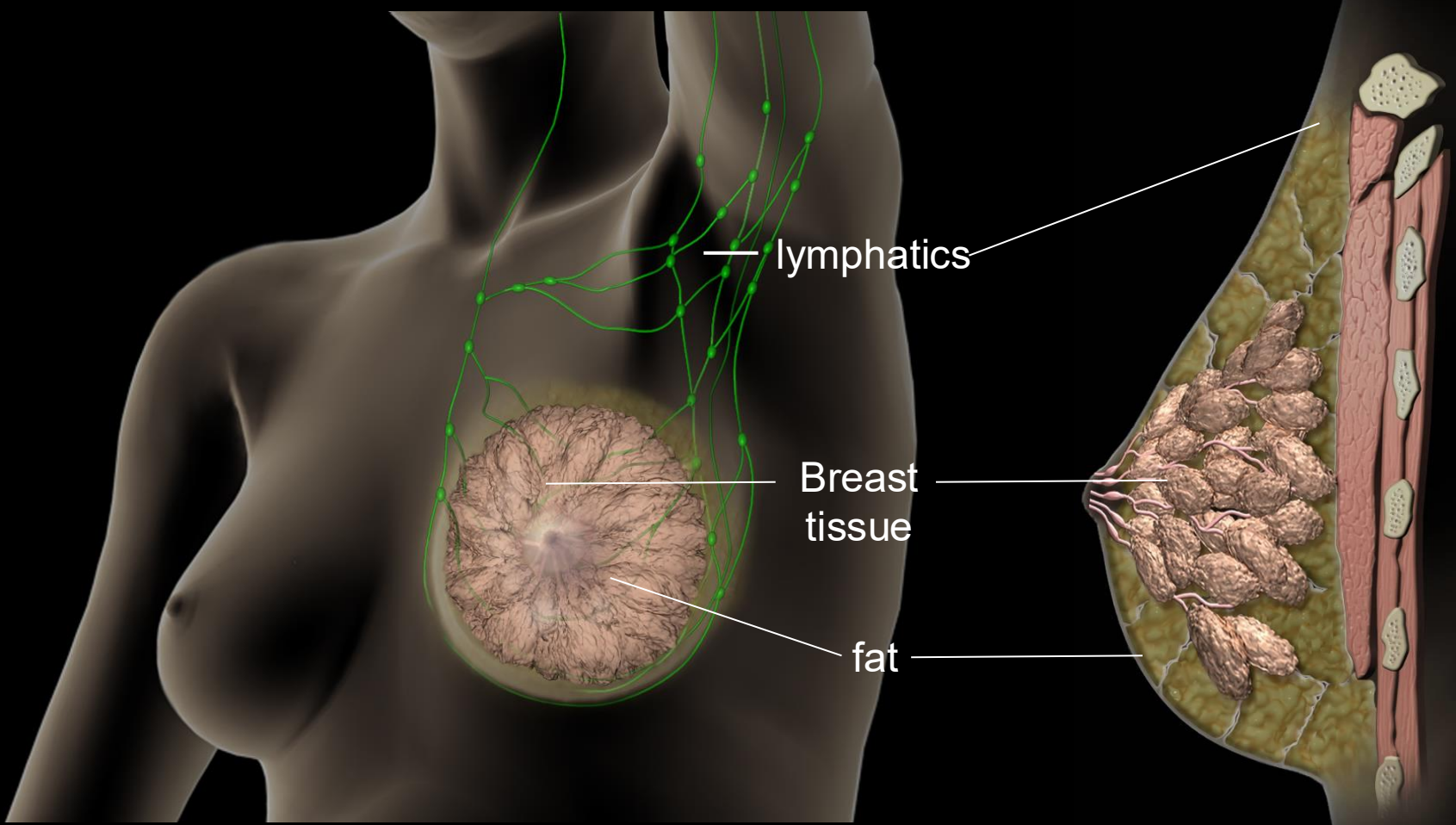


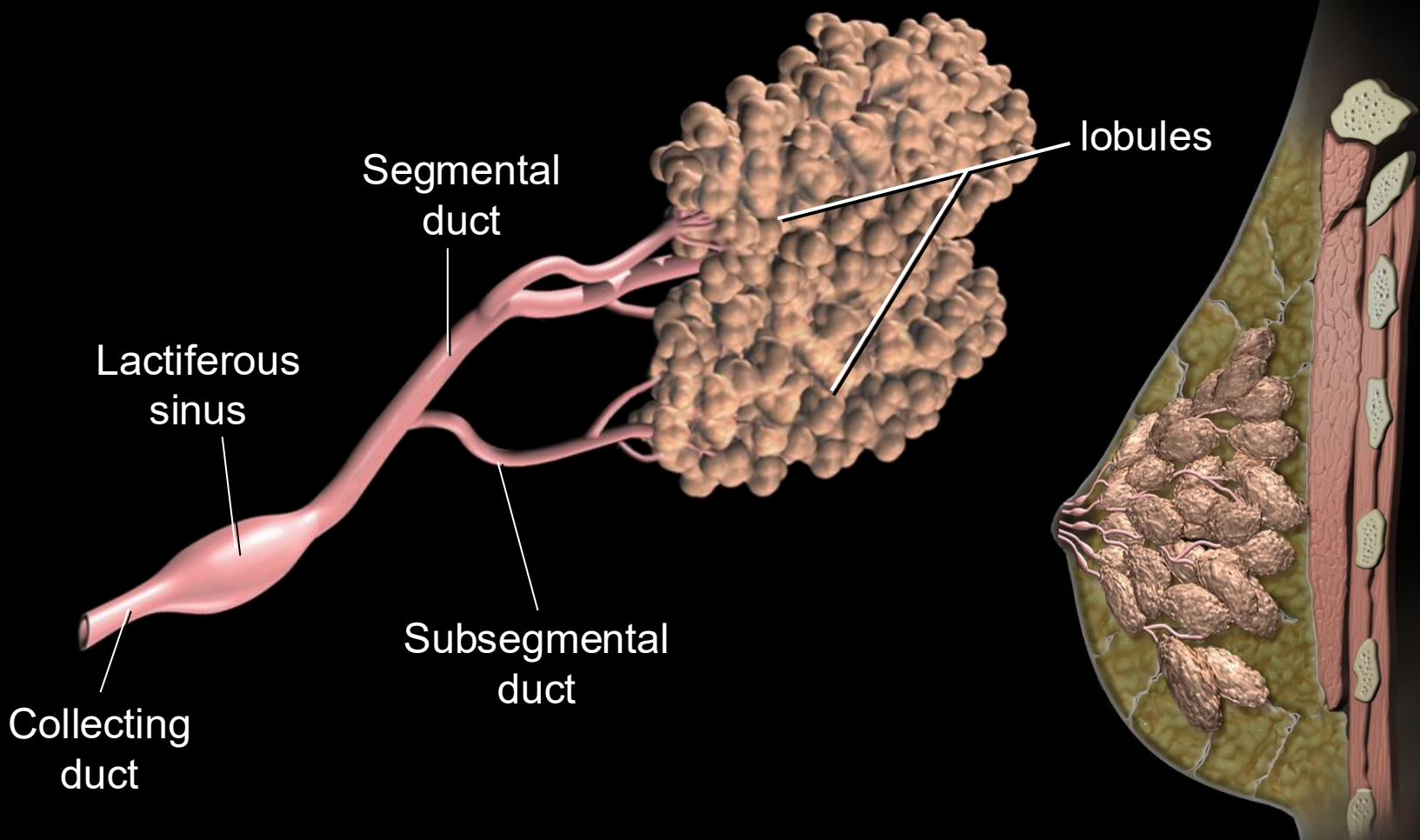
# Declining US Mortality

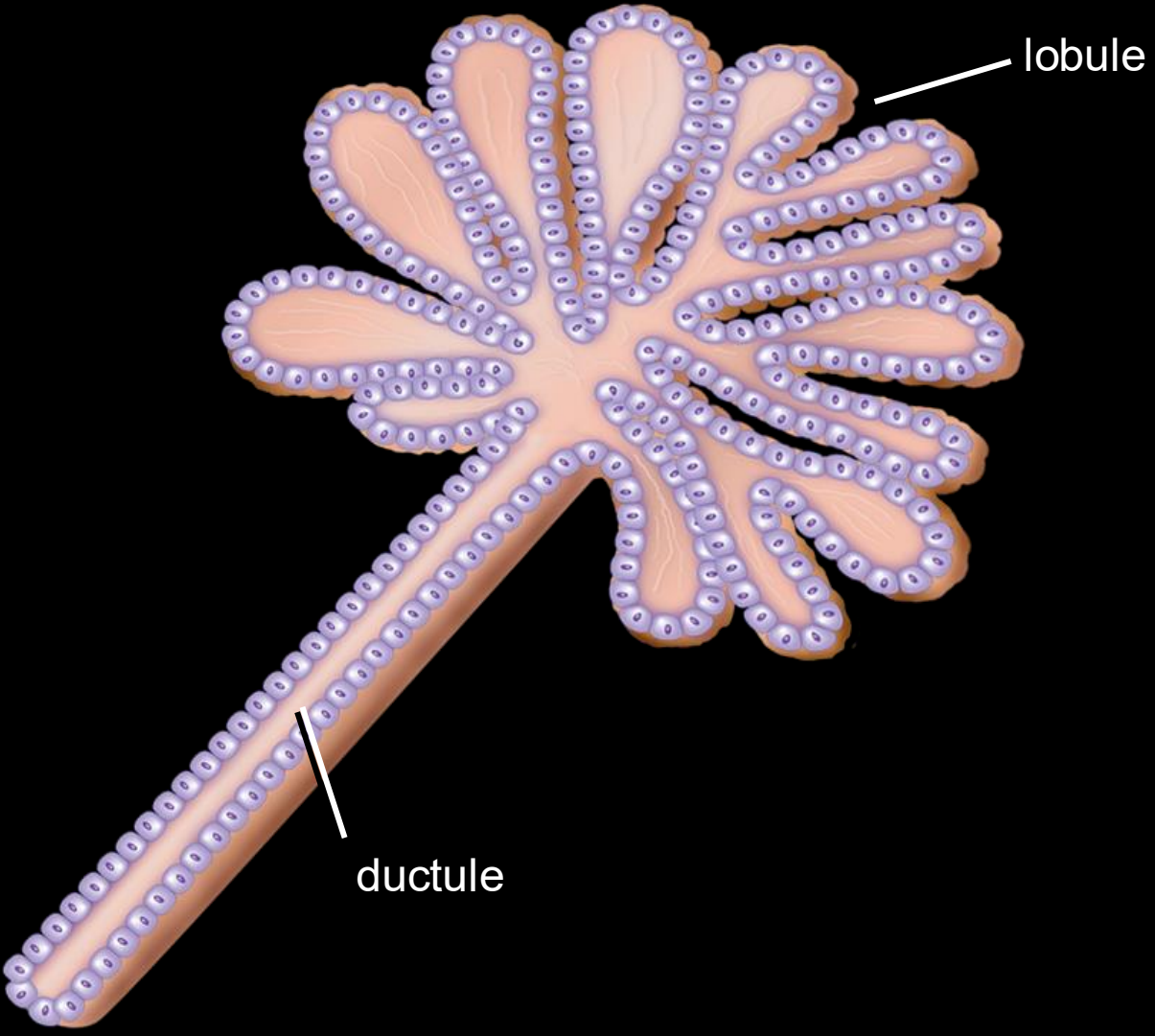
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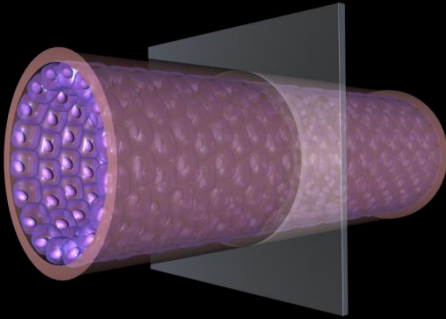
- Increased awareness and screening
- Early detection
- Improvements in treatment

# Anatomy of the Breast

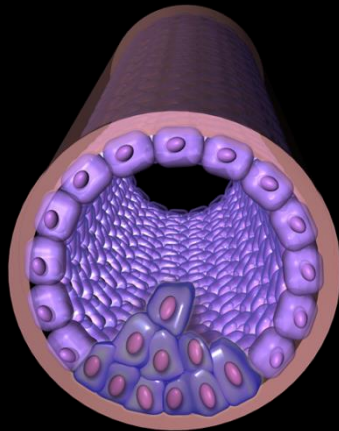




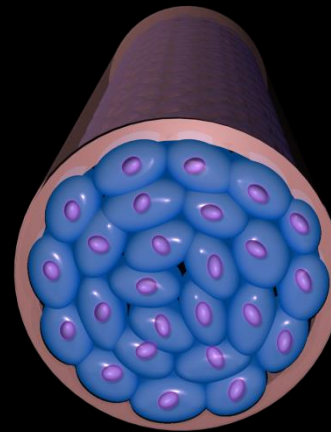




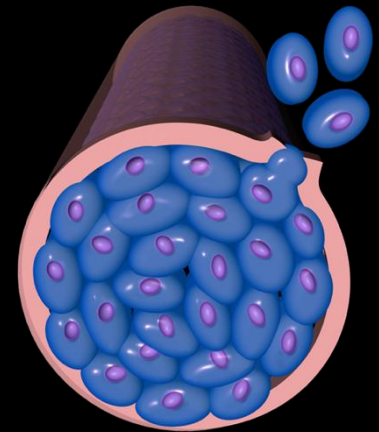
Normal Duct



Atypical  
Hyperplasia



DCIS



Invasive Ductal  
Carcinoma

# Risk Factors for Breast Cancer

---

- Gender
- Age > 65
- Race
- Early onset of menses and late menopause
- Late or no pregnancies
- Family history (BRCA1, BRCA2, PALB2, TP53)
- Dense breast tissue
- Alcohol consumption
- Hormone supplementation
- Prior RT
- Prior lesions (ADH, ALH, LCIS, DCIS)

# Staging of Breast Cancer

---

- Size and location of the tumor
- Determine if the cancer has spread beyond the breast
- Determine lymph node involvement
- Metastasis

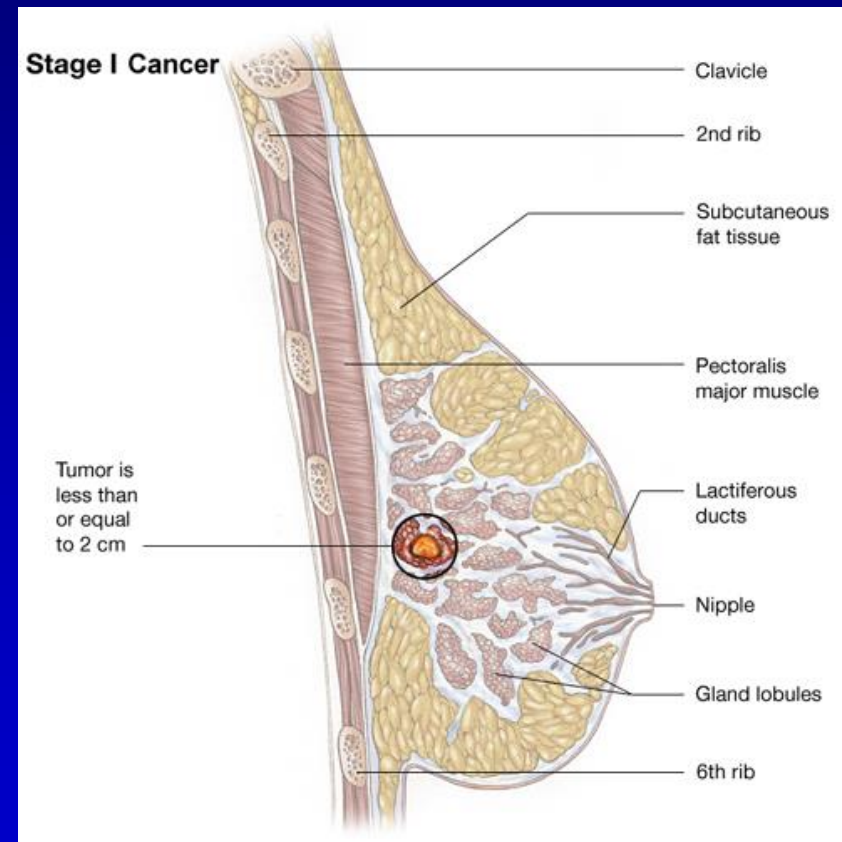
# Stage 0

---

- Noninvasive cancer
- Carcinoma in situ
  - Has not spread past the ducts or lobules of the breast
  - Ductal carcinoma in situ (DCIS)
    - Most common in situ breast cancer

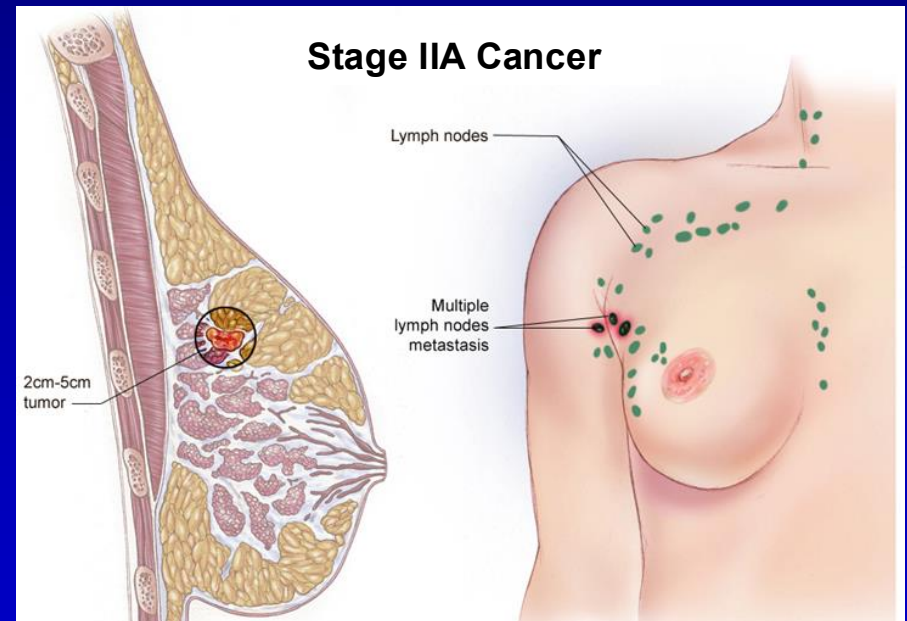
# Stage I

- Tumor is small
- Has not spread to lymph nodes



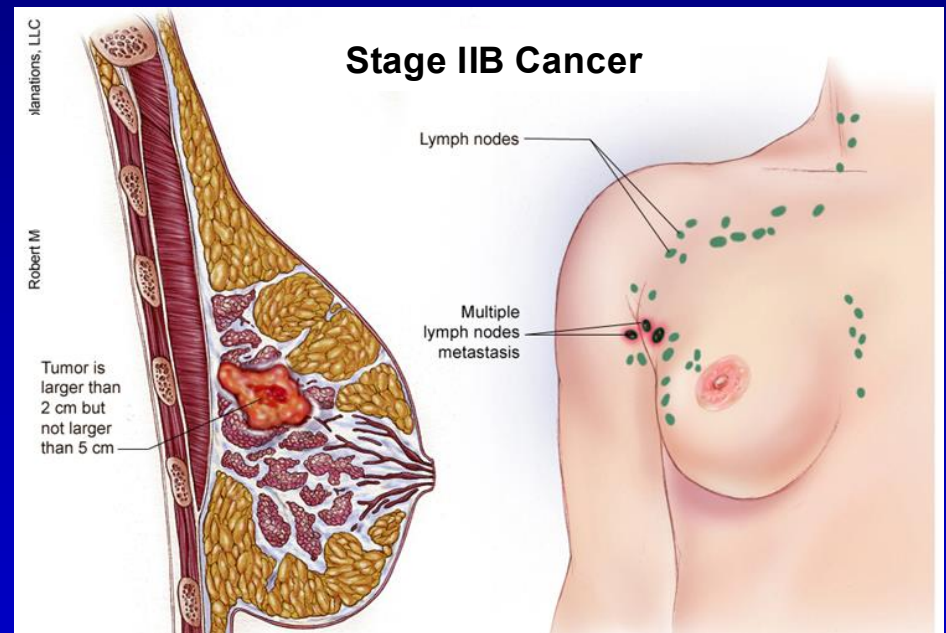
# Stage IIA

- One of the following
  - Smaller tumor that has spread to the axillary lymph nodes
  - Medium-sized tumor that has not spread to the axillary lymph nodes



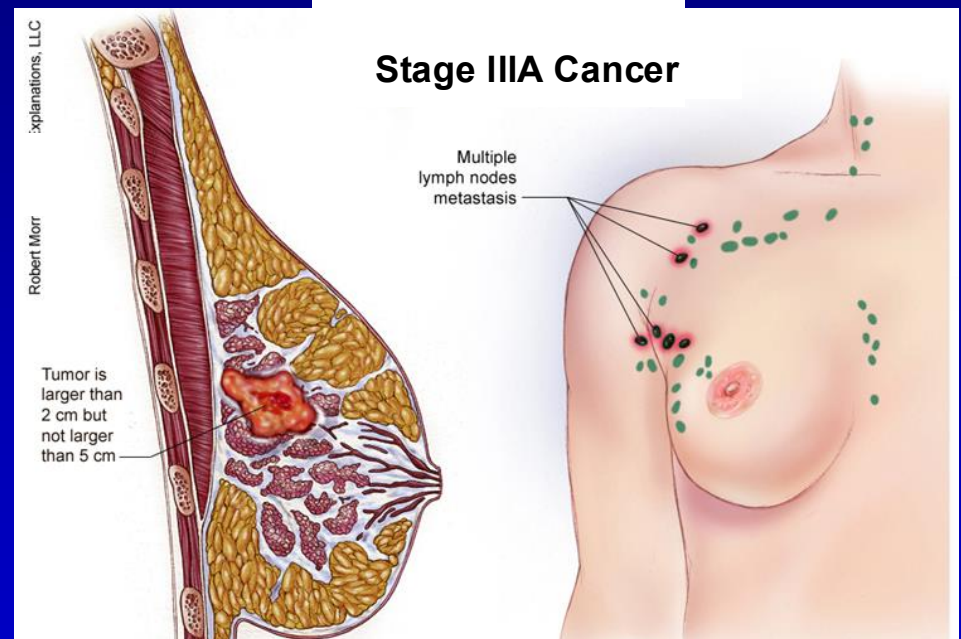
# Stage IIB

- One of the following
  - Medium-sized tumor that has spread to the axillary lymph nodes
  - Larger tumor that has not spread to the axillary lymph nodes



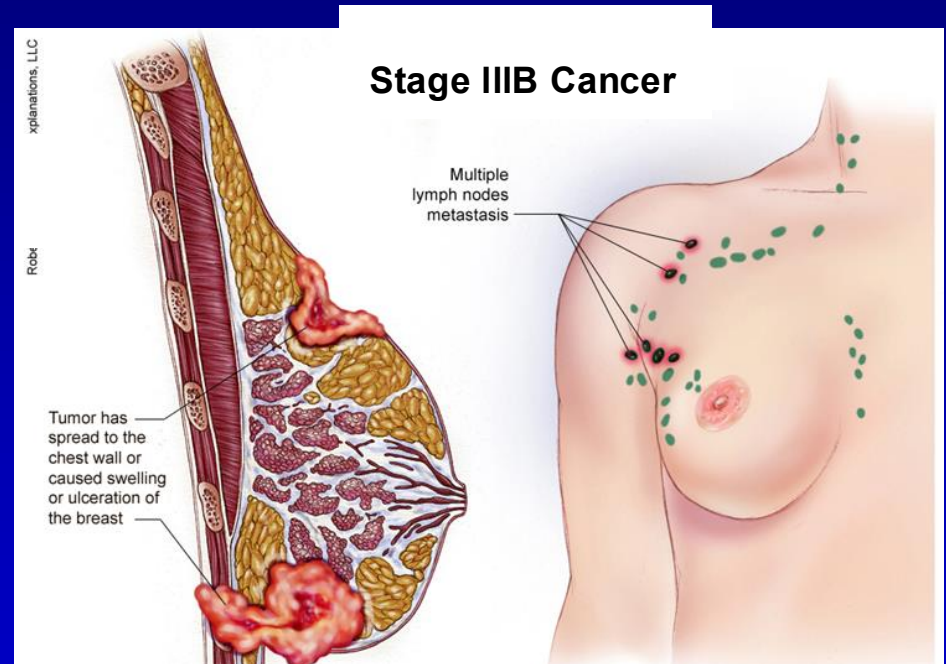
# Stage IIIA

- Any size tumor that has spread to the lymph nodes



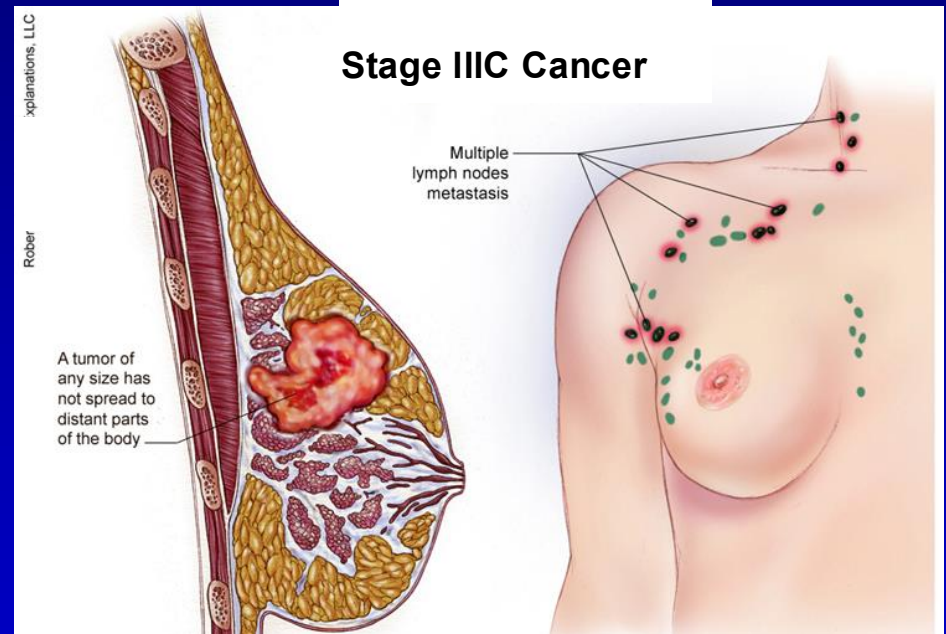
# Stage IIIB

- Cancer has spread to the chest wall, caused swelling or ulceration of the breast, or is diagnosed as inflammatory breast cancer



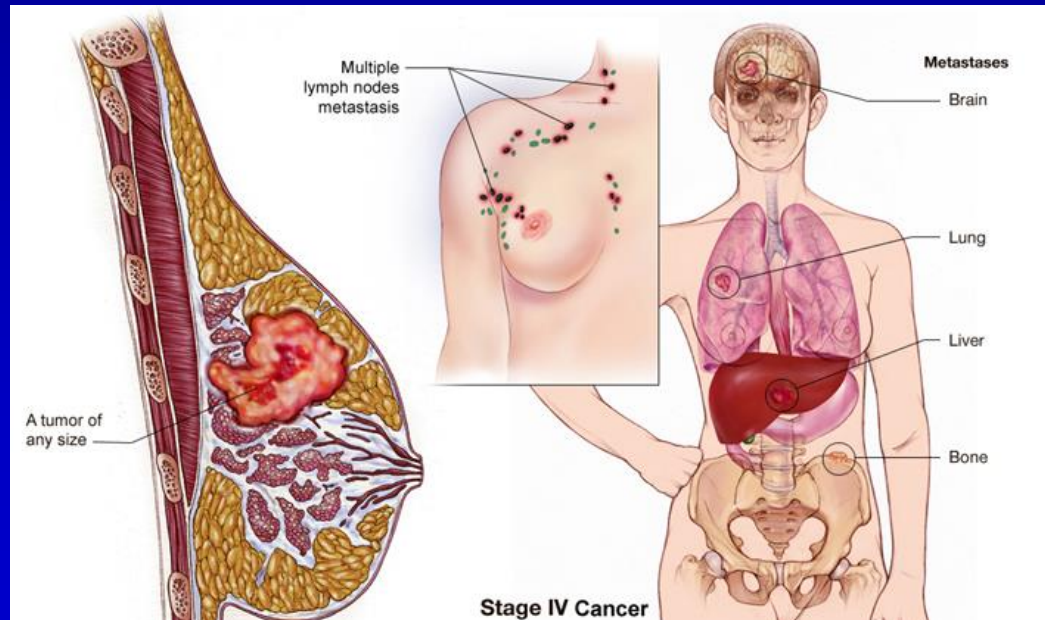
# Stage IIIC

- Cancer has spread to distant lymph nodes but has not spread to distant parts of the body



# Stage IV: Metastatic Breast Cancer

- Cancer of any size and has spread to distant sites in the body, usually the bones, lungs or liver, or chest wall



# Incidence of Metastatic Breast Cancer

---

- ~6% of patients have MBC at the initial diagnosis of breast cancer in USA
- MBC is more frequently represented by recurrent disease.

# 5-Year Survival Rates vs Breast Cancer Stage

Stage	Tumor Size	Lymph Node Involvement	Metastasis (Spread)	Survival Rate
0	N/A	No	No	100%
I	<2 cm	No	No	96%
II	2-5 cm	No or yes (on same side of breast only)	No	85%
III	>5 cm	Yes	No	52%
IV	N/A	N/A	Yes	<b>27%</b>

# Breast Cancer Screening

---

- Mammogram
  - Annually 45-54 then annual or biennial >55
- Breast MRI for high risk (e.g. BRCA1/2, dense breast, etc)

# Breast Cancer Local Therapy

- Surgery
- Radiation

# Surgical Approaches to Breast Cancer

---

- Radical mastectomy
- Modified radical mastectomy
- Total mastectomy
- Partial mastectomy
- Lumpectomy
  
- Axillary lymph node dissection
- Sentinel lymph node biopsy (SLNB)

# Goals of Surgery in Breast Cancer Treatment

- Obtain the diagnosis and stage the patient.
- Achieve Local-Regional Control
- Contribute to long-term disease free state:
  - Stage 0 - 98%
  - Stage I - 80%
  - Stage IIa -75%
  - Stage IIb -30+%

# Surgical Options

- **Mastectomy (with Immediate Reconstruction?)**
  - Tissue transfer vs. implant reconstruction
  - Skin sparing approach
- **Breast Conservation Therapy**
  - Tumor removal, Sentinel node biopsy, Radiation therapy.

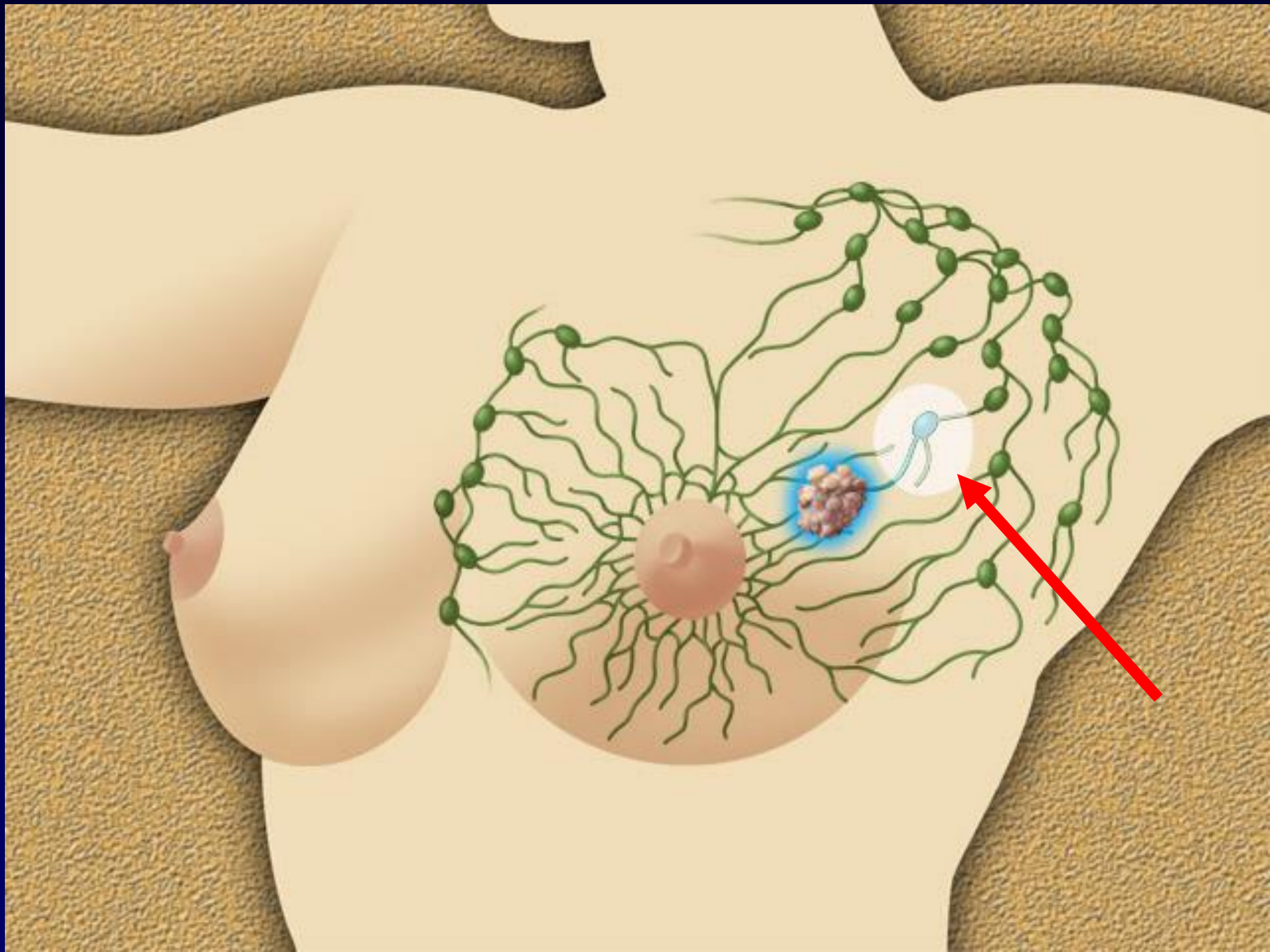
# Management of the Axilla

- **Axillary Dissection**

- General anesthesia, Hospital admission
- Clearance of 15-40 lymph nodes
- Surgical drain week
- Lymphedema in 20%
- 5-15% false negative

- **Sentinel Node Biopsy**

- Local anesthesia, outpatient surgery
- Clearance of 1-4 nodes
- No surgical drain
- No lymphedema
- 3-5% false negative
  
- 20-35% reduction in charges



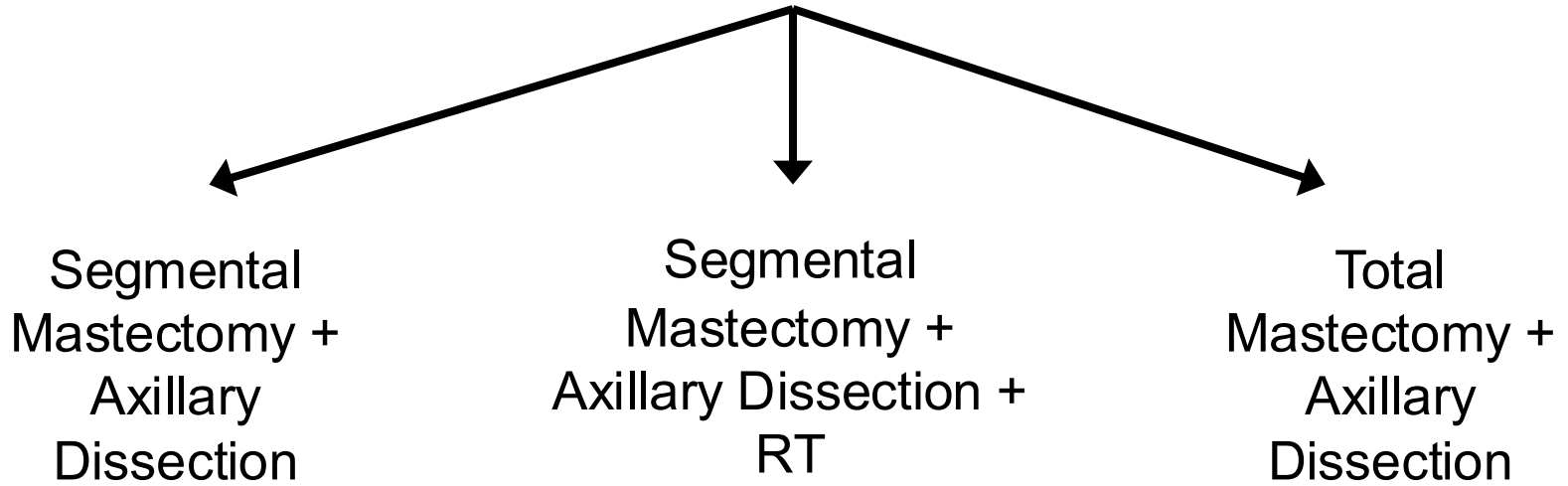
# Sentinel Node Mapping

- **Enhanced evaluation of the axillary nodes.**
  - Serial sectioning
  - H&E staining
  - Immunohistochemistry
    - Cam 5.2 and AE1/3
- **Research Areas**
  - PCR
    - EGFr, Muc1, ER
  - IHC Positive Nodes
  - **Clonal derived from primary?**
  - **Viable/clonogenic?**
  - **Clinically significant?**

# Radiation

# NSABP B-06

## Randomization



Breast Recurrence



Total mastectomy

Breast Recurrence



Total mastectomy

# NSABP B-06

## 12 year results

	<b>DFS</b>	<b>OS</b>	<b>LR</b>
<b>Total Mast.</b>	50%	60%	8%
<b>Seg. Mast.</b>		59%	
<i>(- Nodes)</i>			32%
<i>(+ Nodes)</i>			41%
<b>Seg. Mast. + RT</b>	50%	62%	
<i>(- Nodes)</i>			12%
<i>(+ Nodes)</i>			5%

# Systemic therapy

---

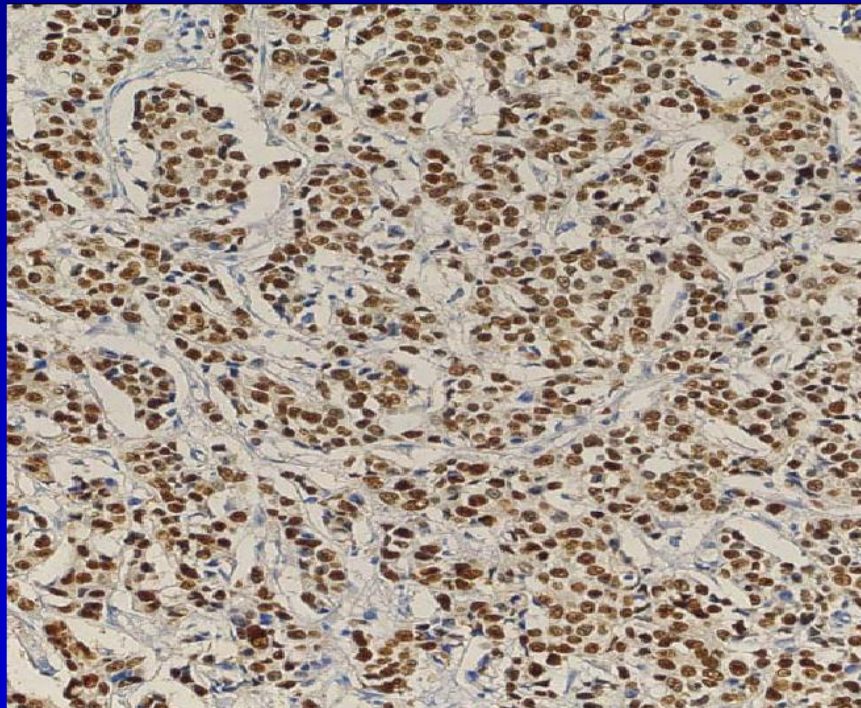
# Prognostic Factors

---

- Axillary nodes
- Tumor size
- Histologic grade
- Estrogen and progesterone receptors
- HER-2/neu
- So many more (Ki67, TILs,....)

# Biological Markers To Predict Therapeutic Response

- ER status is used to select for patients to receive or not hormone therapy



ER+

# Estrogen modulation as a therapy

---

1896      GT Beatson -      Oophorectomy in premenopausal women

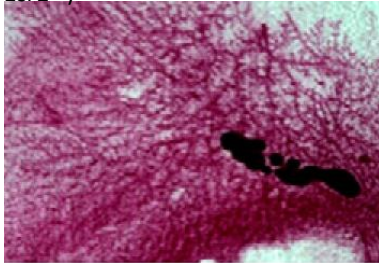
1944      A Haddow -      Synthetic estrogen (stilbestrol) as treatment of breast cancer

1952      C Huggins -      Adrenalectomy

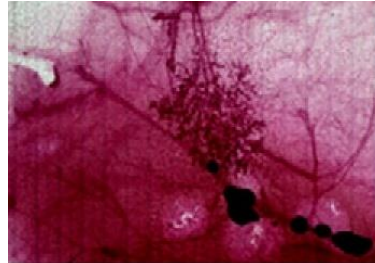
(1966 Wins Nobel Prize for development of endocrine therapy in prostate cancer)

# Central role for estrogen receptor in normal and cancerous breast

Esr1 +/+

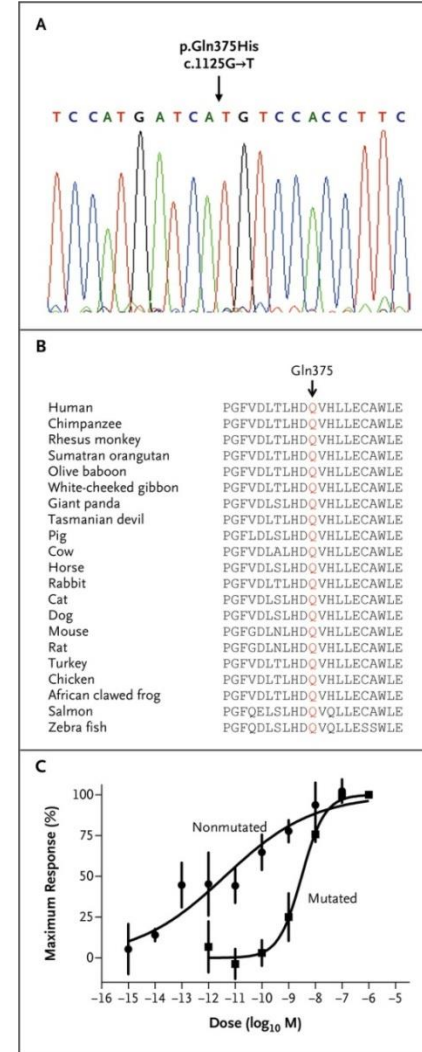
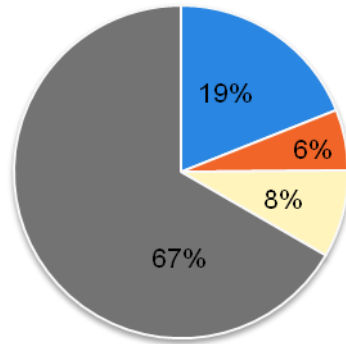


Esr1 -/-



Rumi et al. Endocrinology 2014

■ TNBC      ■ ER-/PR-/HER2+  
■ ER+/PR+/HER2+    ■ ER+/PR+/HER2-

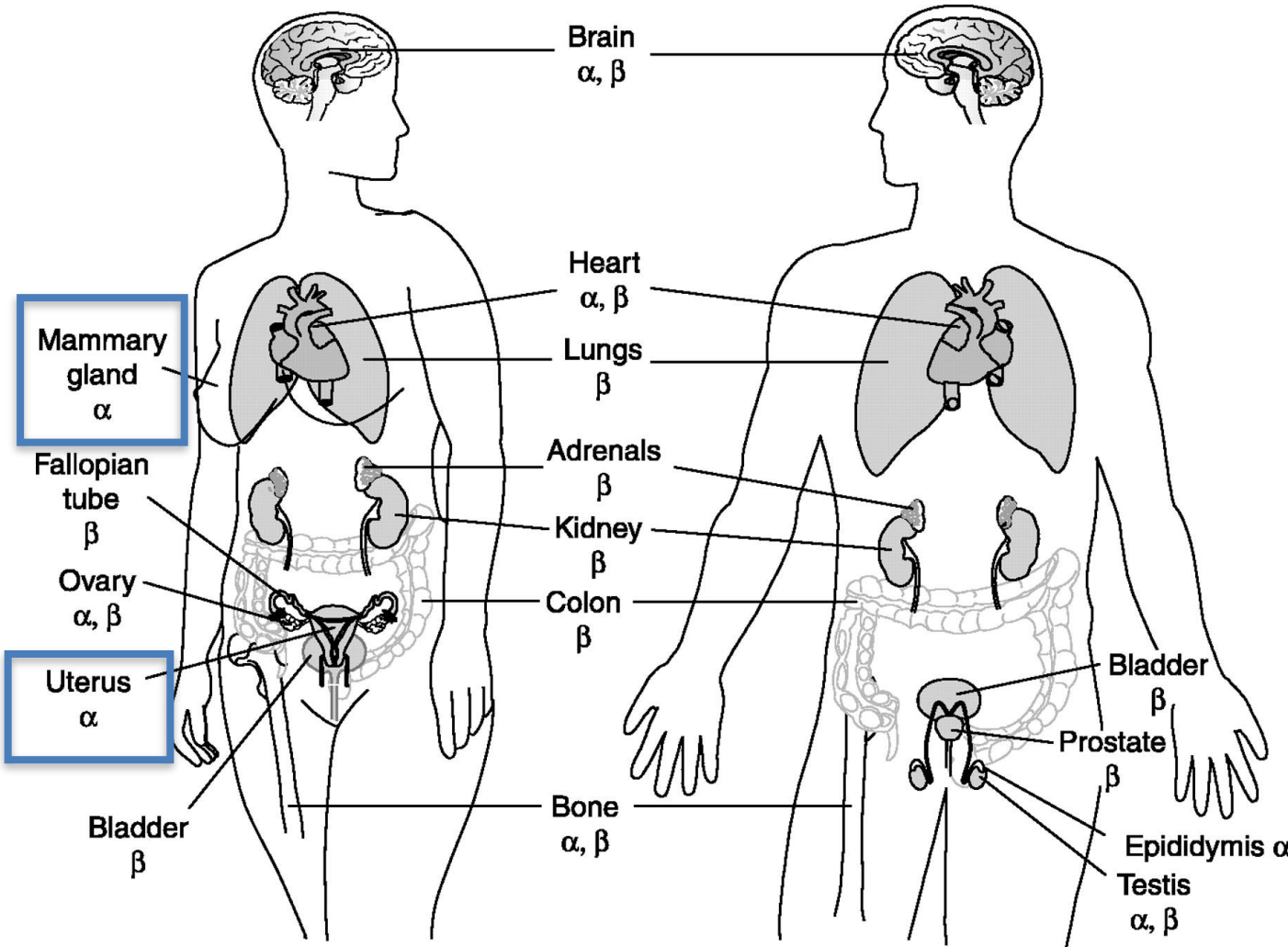


Quaynor et al. N Engl J Med 2013



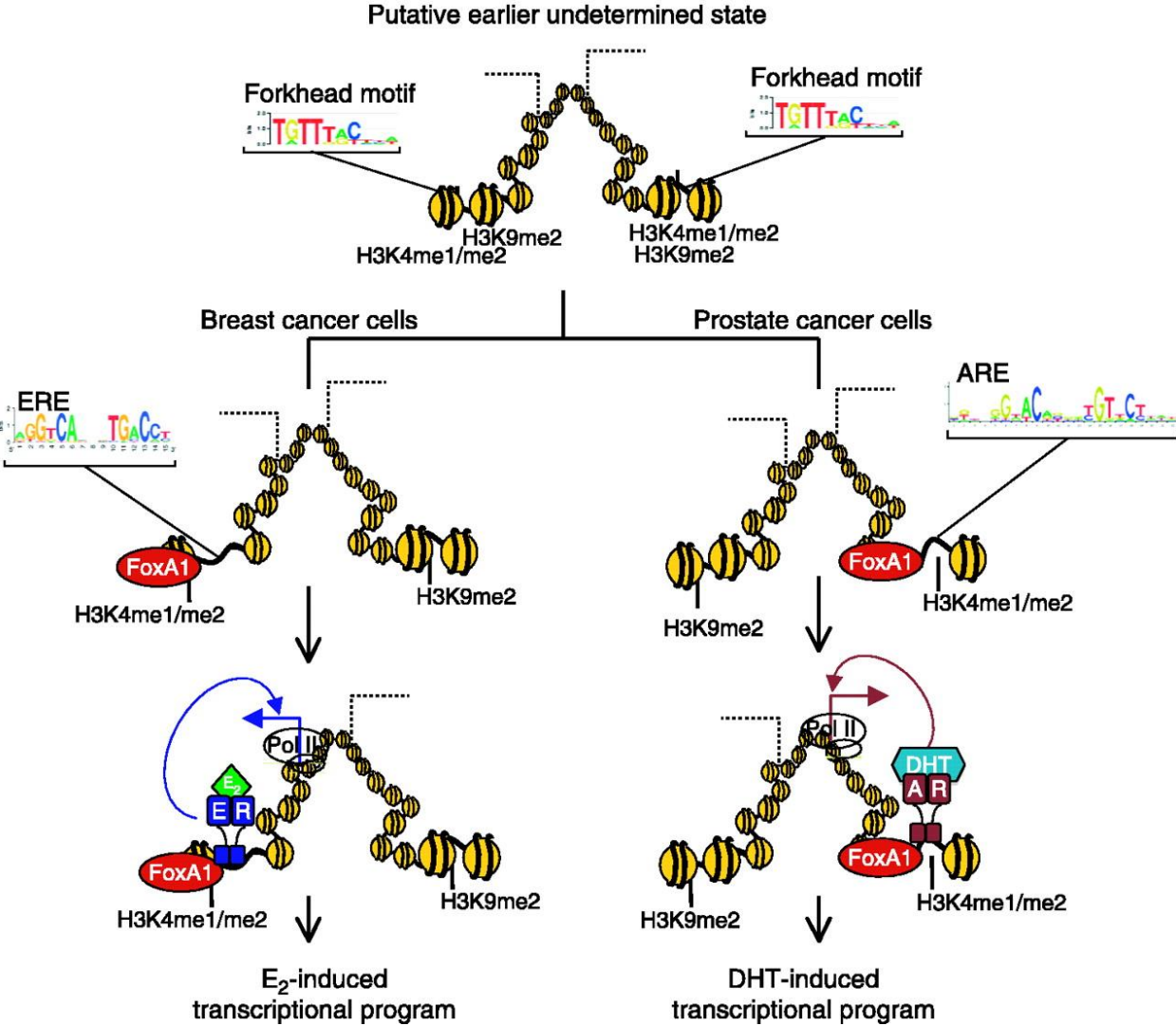
Memorial Sloan Kettering  
Cancer Center

# Physiologic actions of estrogen receptors – distribution of receptor expression

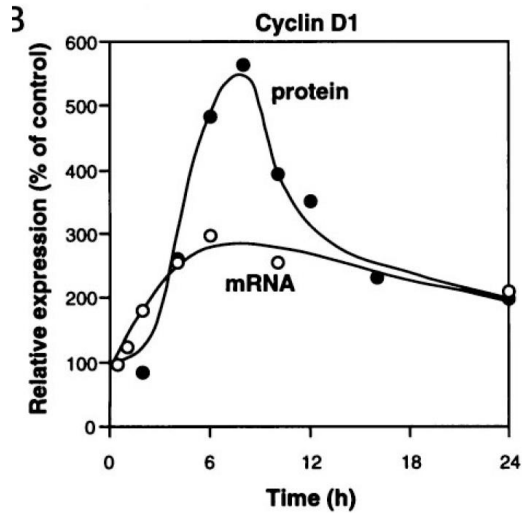


Drummond A E , and Fuller P J J Endocrinol 2010;205:15-23

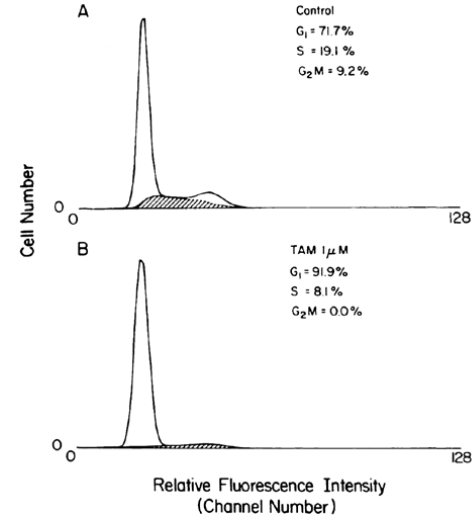
# FOXA1 as key pioneer in breast/prostate cancer



# Estrogens and the G1 Checkpoint

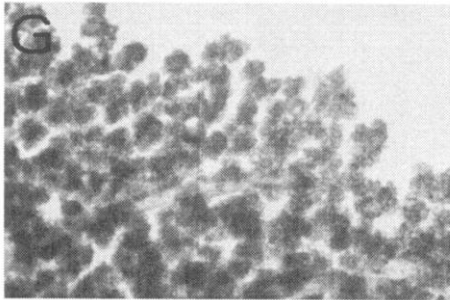


Prall et al, JBC 1997

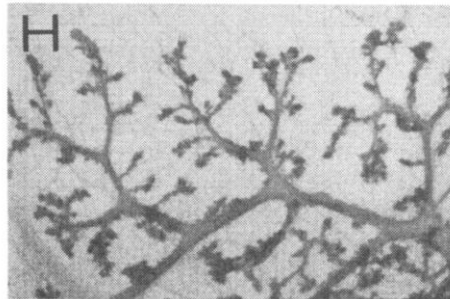


Osborne et al, Can Res 1983

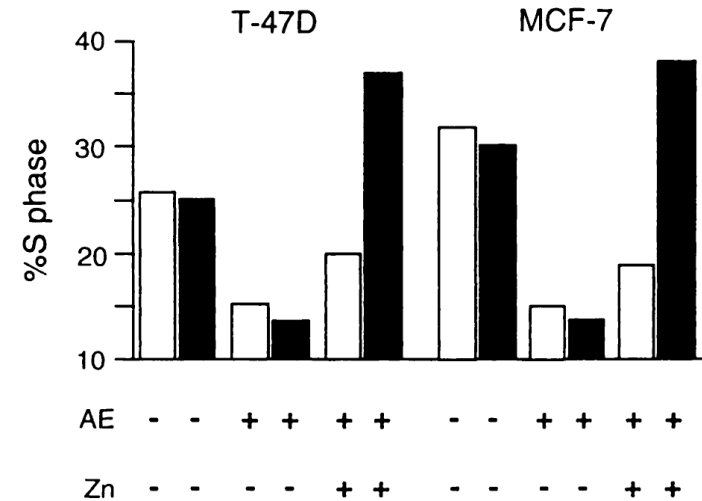
wild-type



cyclin D1<sup>-/-</sup>

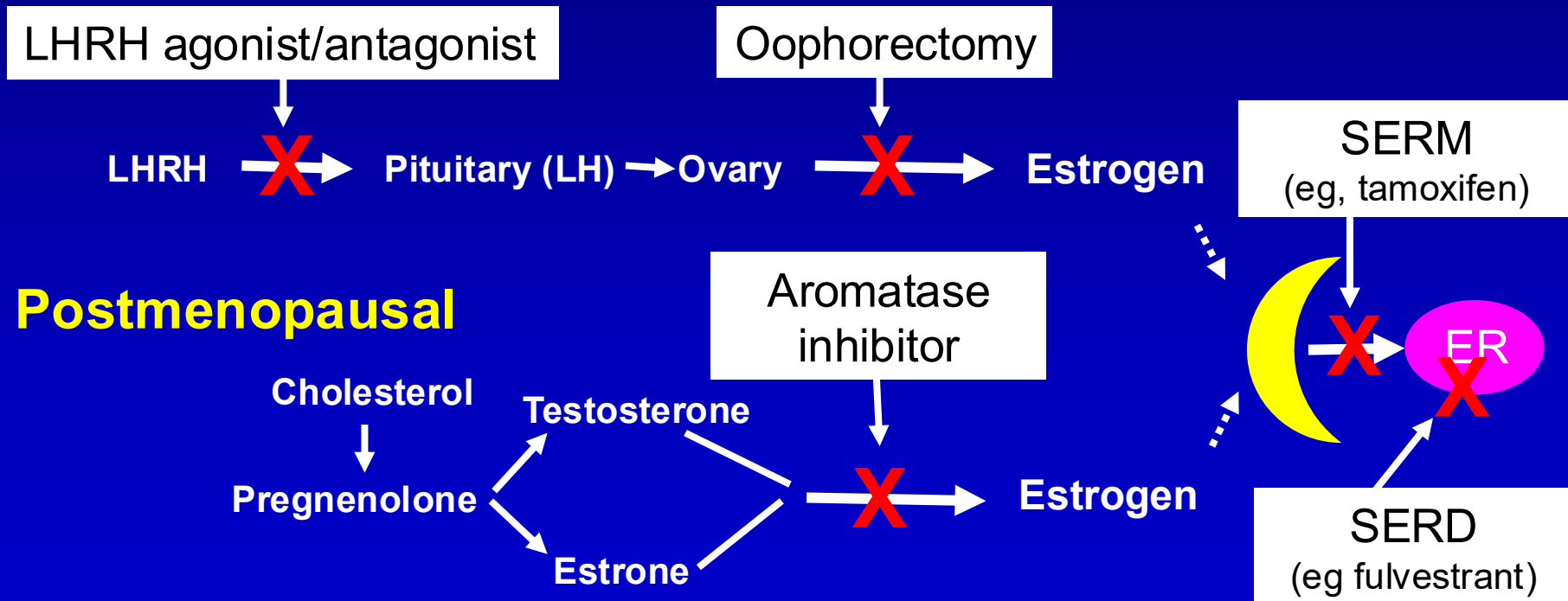


Sicinski et al, J Mammary Gland Biol 1997



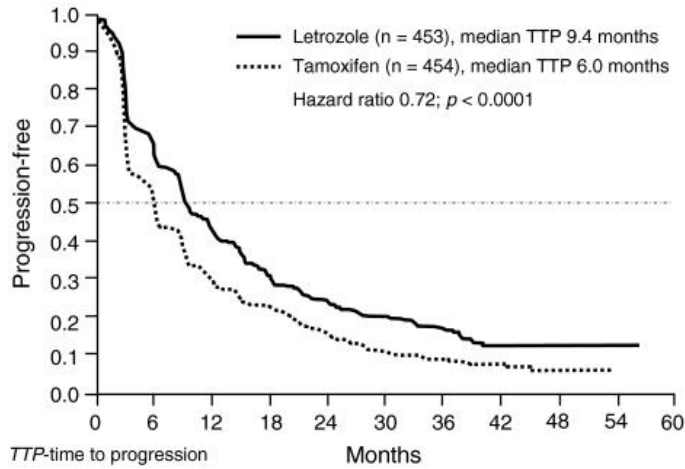
# Targets of Inhibition

## Premenopausal



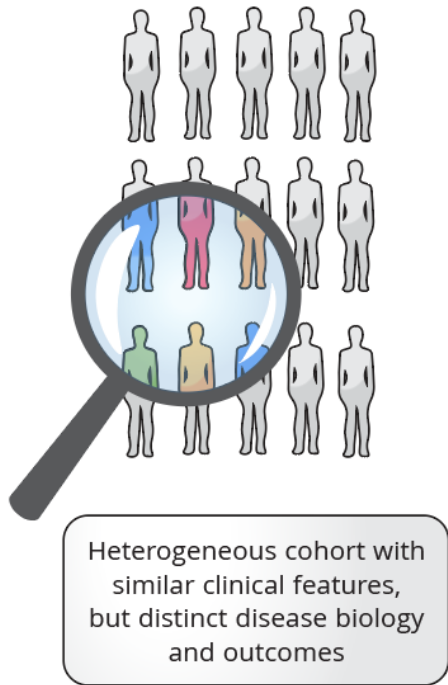
LH, luteinizing hormone; SERM, selective estrogen receptor modulator; SERD, selective estrogen receptor down-regulator.

# Benefit of hormone therapy for ER+ metastatic breast cancer

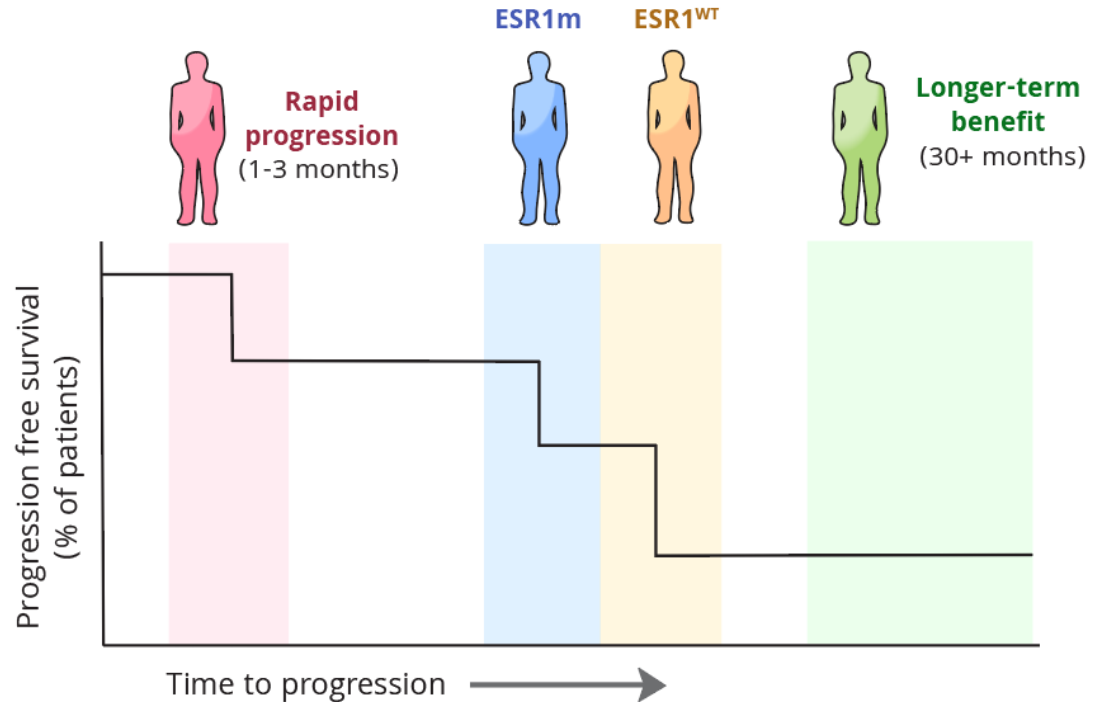


Mouridsen H et al. JCO 2003

## Metastatic ER+ breast cancer cases



## Medium progression



- Re-targeting of ER with a different type of drug is often effective after 1<sup>st</sup> line failure. This highlights the dependence of the tumor.

**Phase III trials showing superiority of third-generation aromatase inhibitors to megestrol acetate as second-line therapy for patients with metastatic breast cancer resistant to tamoxifen**

**Table 2.** Phase III trials showing superiority of third-generation aromatase inhibitors to megestrol acetate as second-line therapy for patients with metastatic breast cancer resistant to tamoxifen

Study	AI	n	ORR (%) <sup>a</sup>	Clinical benefit (%) <sup>a</sup>	Median TTP (mo) <sup>a</sup>	Median OS (mo) <sup>a</sup>	MDR <sup>a</sup>
Jonat et al. [16]	Anastrozole	764	13 vs. 12	42 vs. 40	4.8 vs. 4.6	27 vs. 23 ( <i>p</i> = .02)	–
Buzdar et al. [14]	Anastrozole	378	–	34 vs. 33	–	N/A	–
Dombernowsky et al. [15]	Letrozole	551	24 vs. 16 ( <i>p</i> = .04)	24 vs. 15 ( <i>p</i> = .001)	5.6 vs. 5.5	N/A	NR vs. 18 ( <i>p</i> = .02)
Kaufmann et al. [18]	Exemestane	769	15 vs. 12	37 vs. 35 ( <i>p</i> = .025)	4.7 vs. 3.8 ( <i>p</i> = .037)	NR vs. 29 ( <i>p</i> = .039)	18 vs. 17
Buzdar et al. [17]	Letrozole	602	16 vs. 15	27 vs. 23	3 vs. 3	N/A	25 vs. 30

<sup>a</sup>Second value is for megestrol acetate.

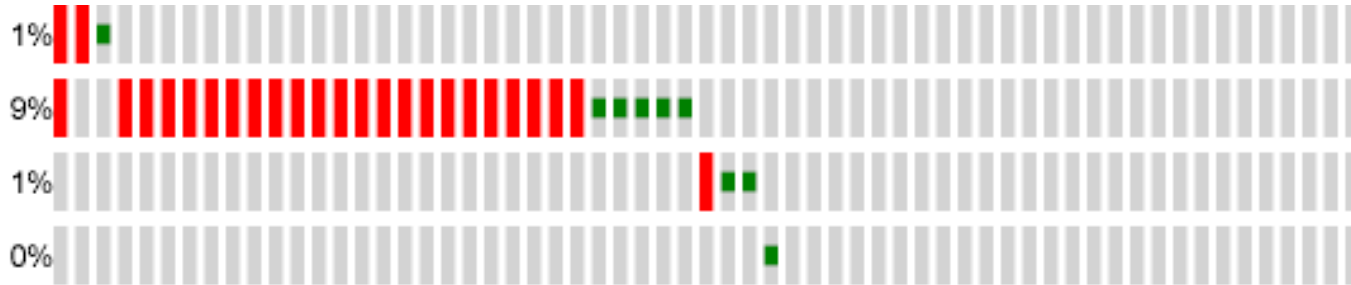
Abbreviations: AI, aromatase inhibitor; MDR, median duration of response; N/A, not applicable; NR, not reached; ORR, overall response rate; OS, overall survival; TTP, time to progression.

Altundag, K. et al. *Oncologist* 2006;11:553-562

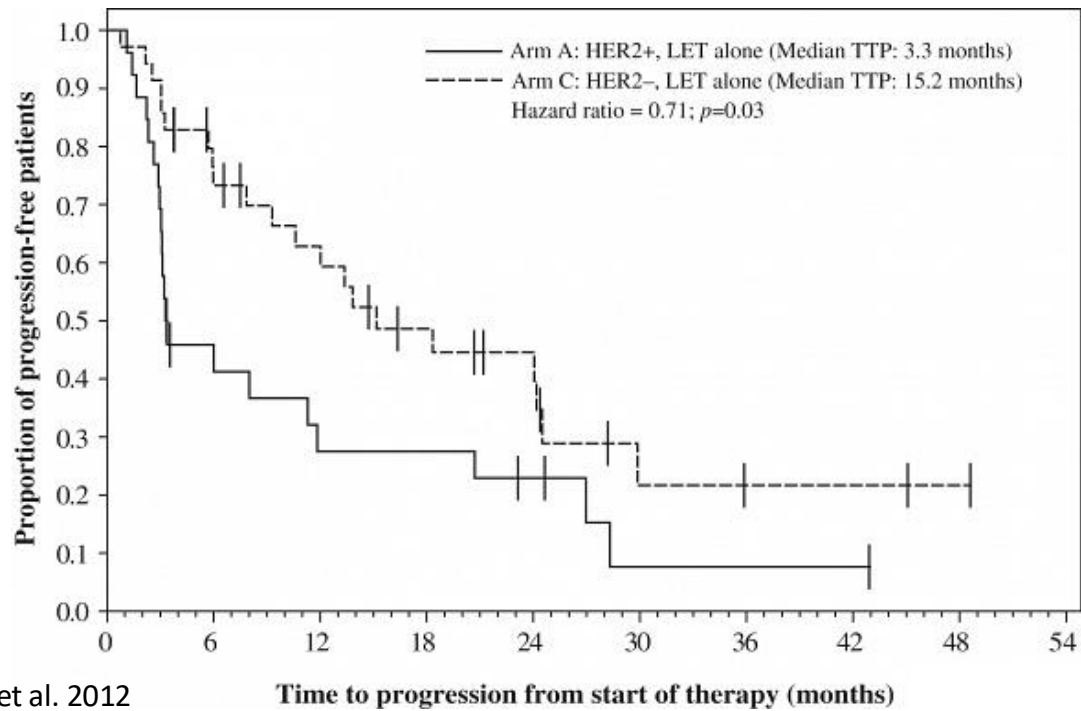
# Genomic alterations in EGF signaling promote endocrine resistance



EGFR

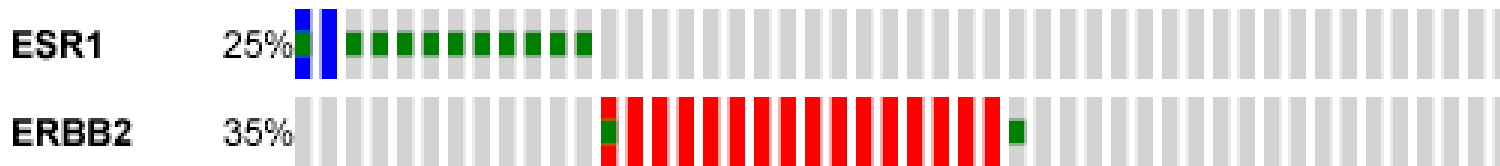
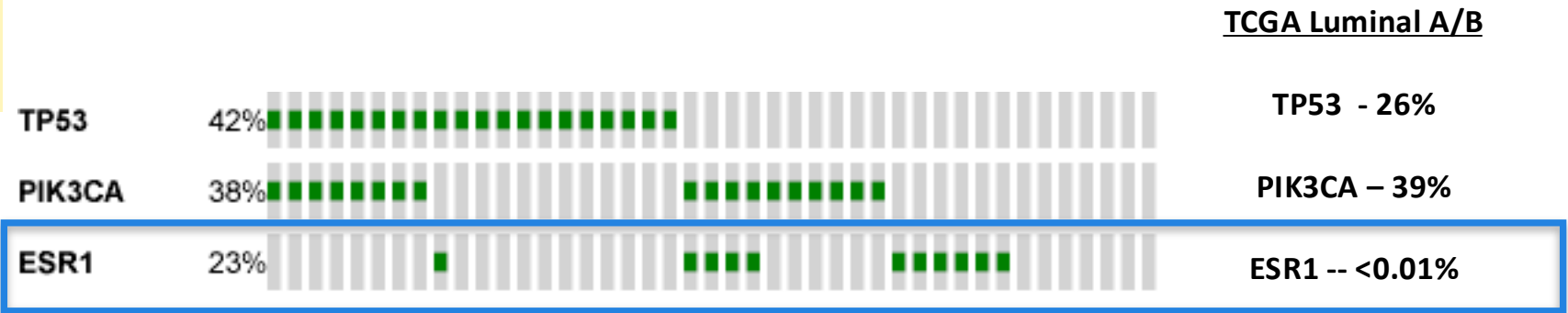


TCGA

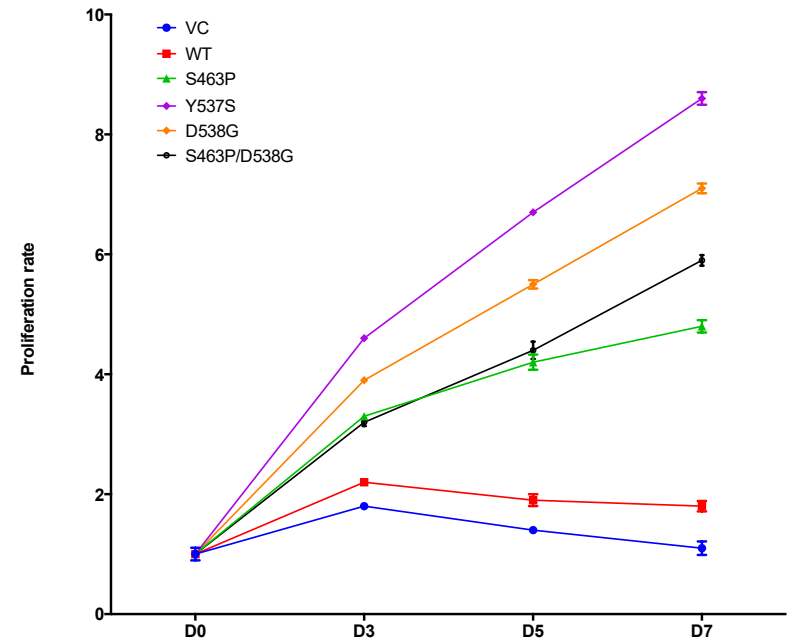
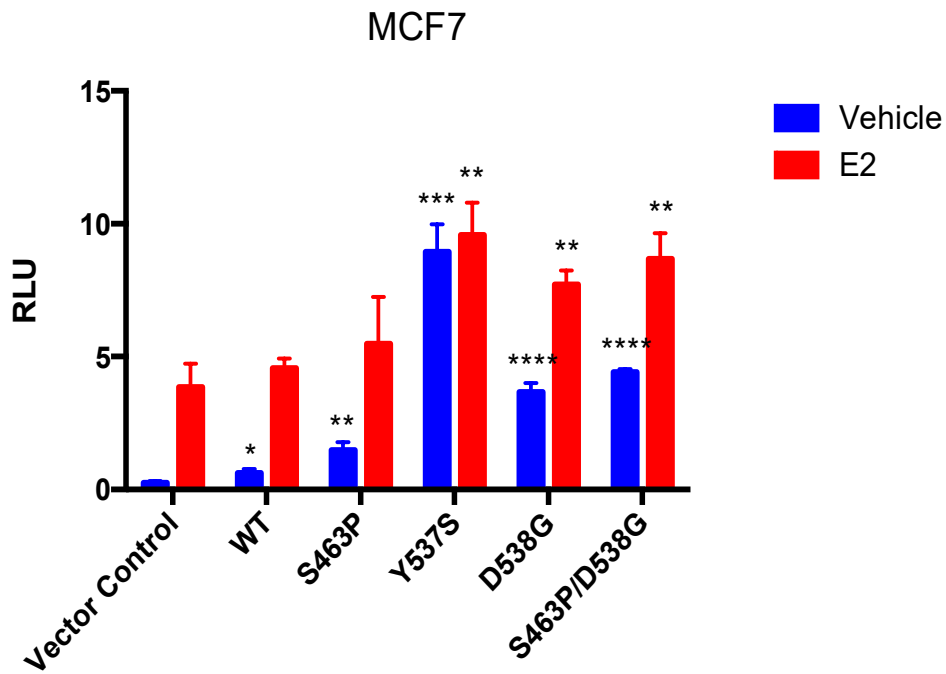


Huober et al. 2012

# ESR1 mutations in metastatic breast cancer

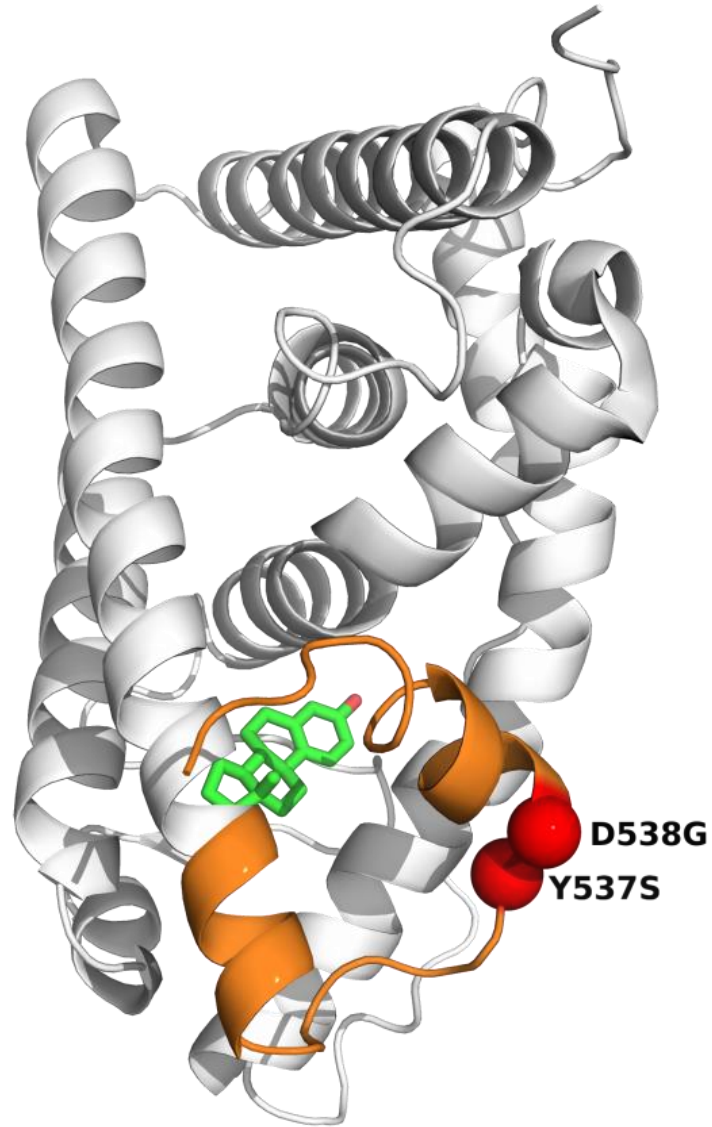


# ESR1 mutations promote E2-independent transcription and proliferation

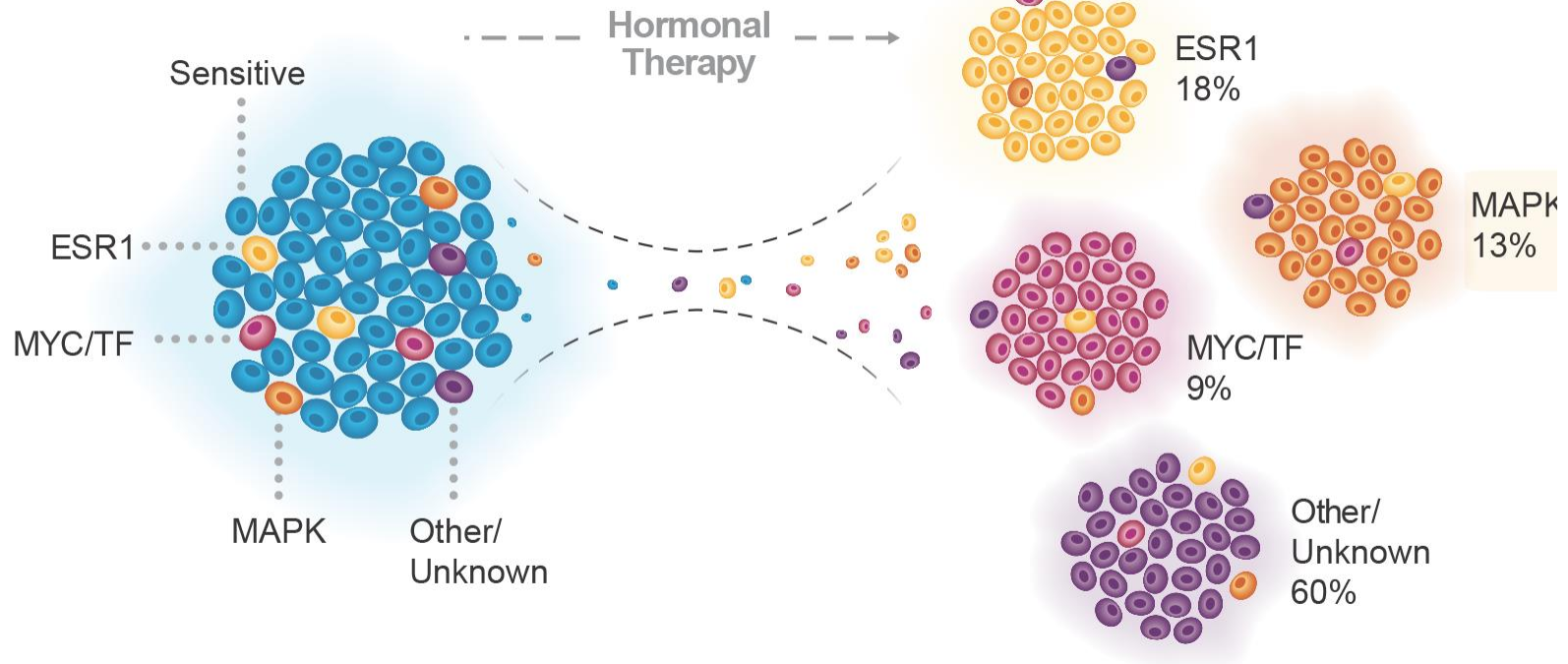


# Common LBD mutations locate to region key to ER activation

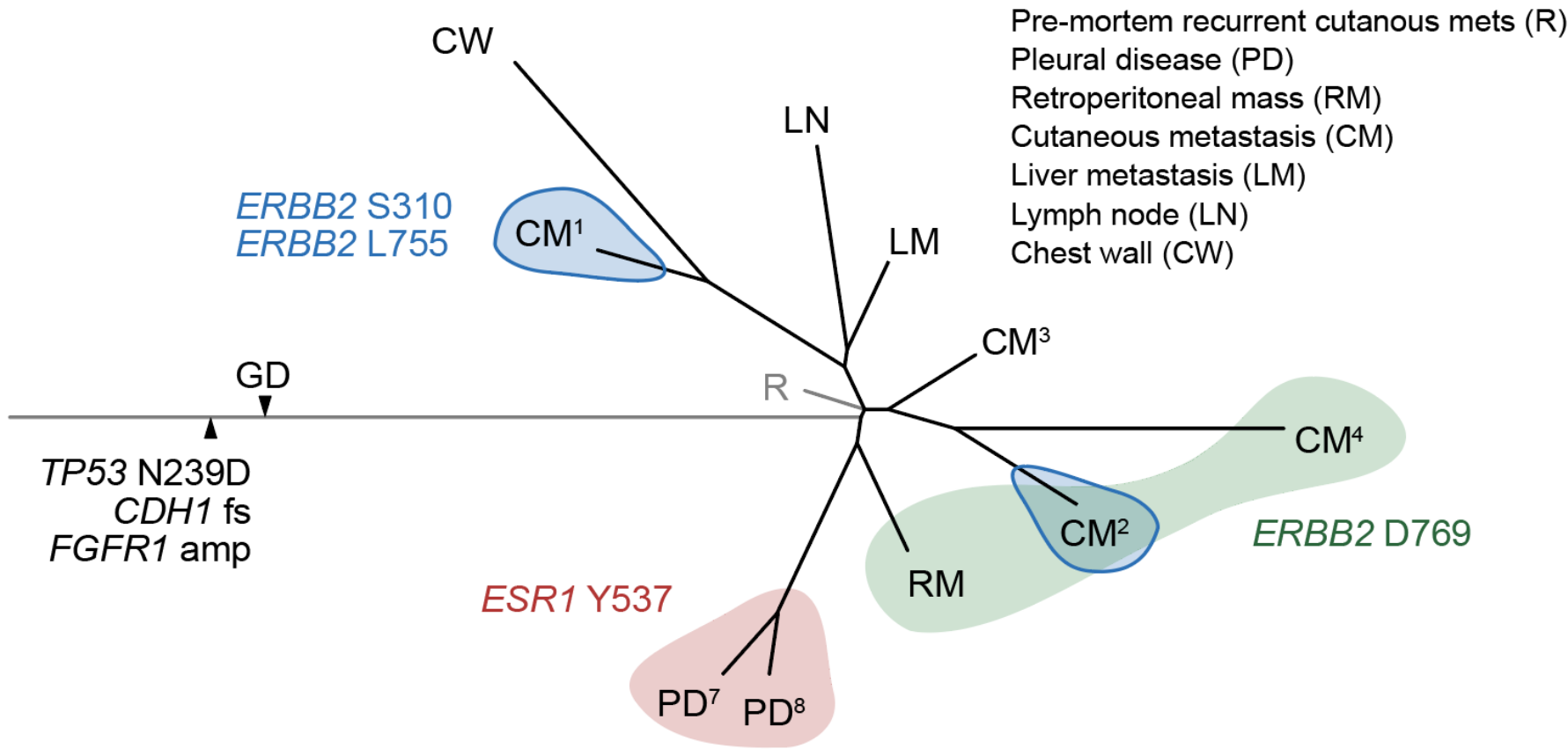
Agonist structure



# Polyclonal Endocrine Resistance



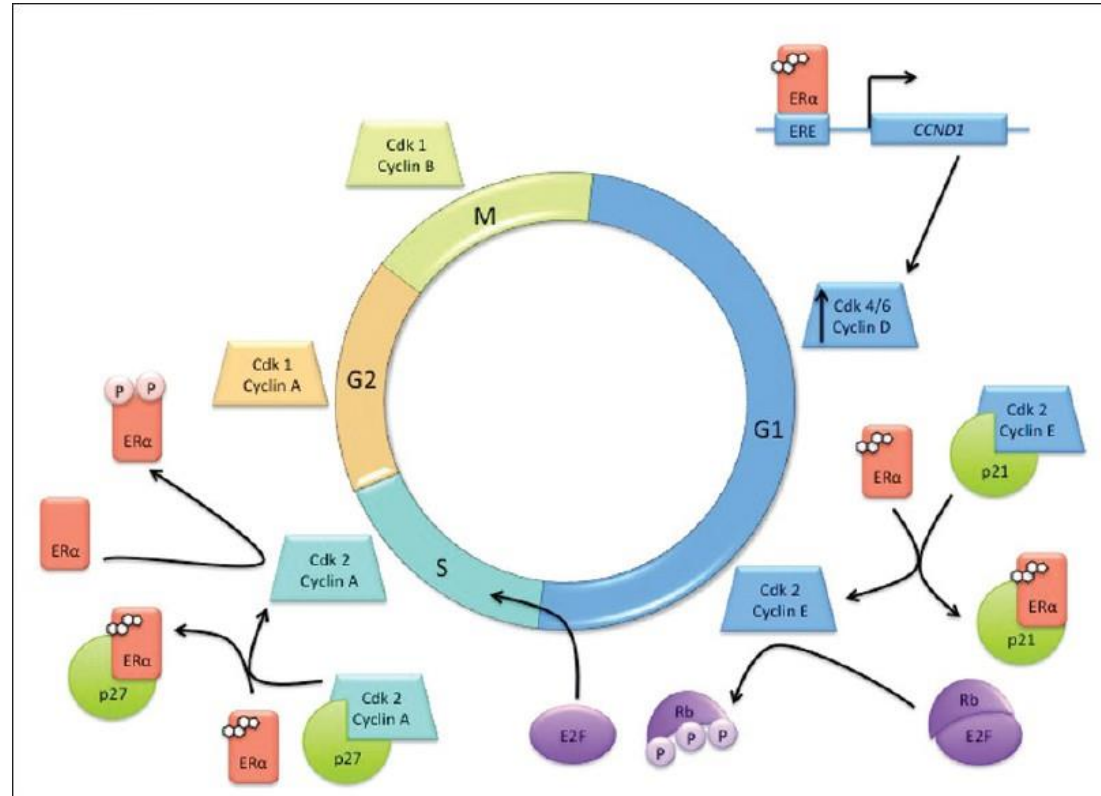
# Heterogeneity in resistance mechanisms



Razavi et al., unpublished



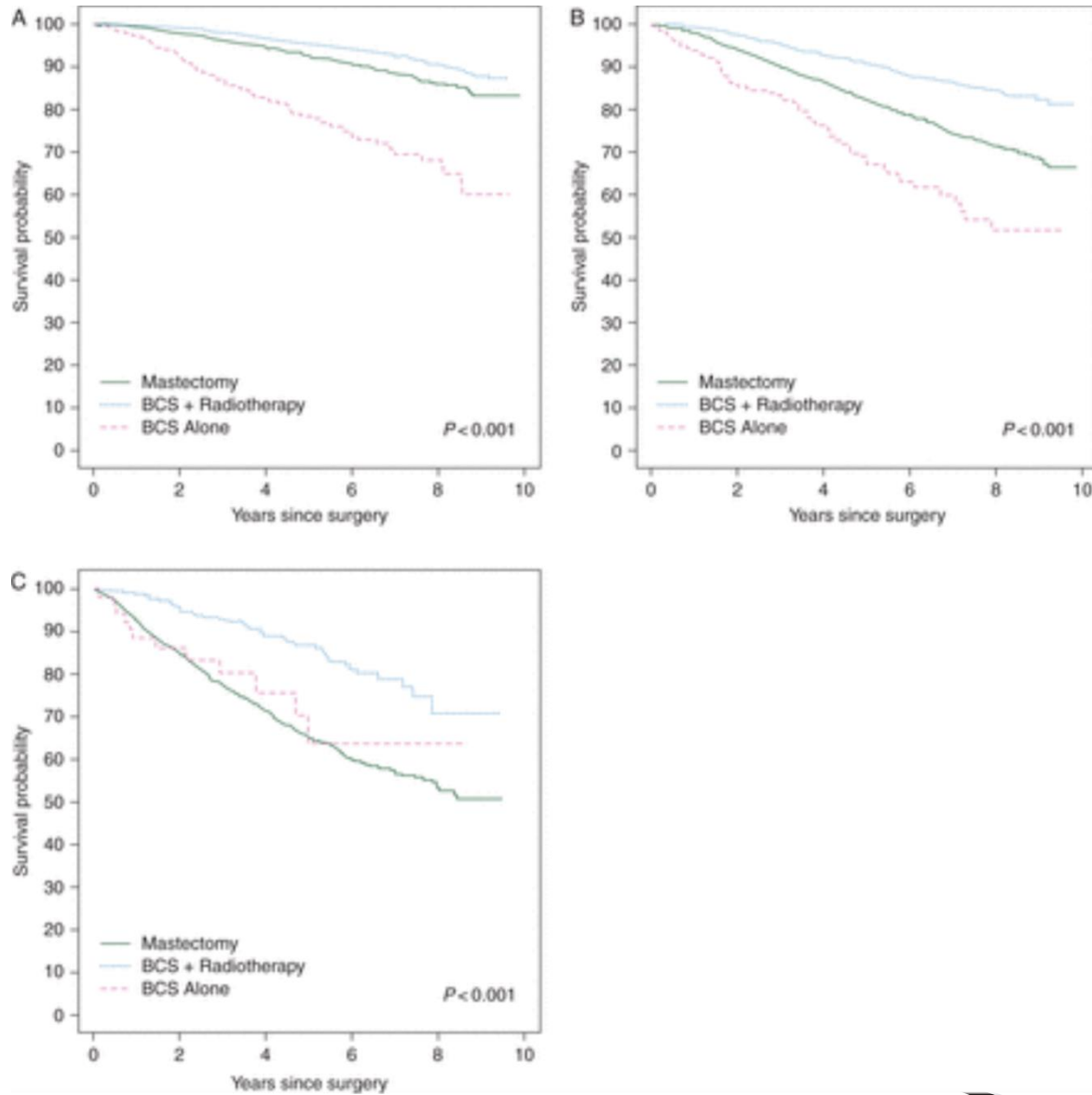
# Mitogenic effects of estrogen via cell cycle regulation



Moghadam et al. J Carcinogen 2013



# Outcomes in early stage breast cancer

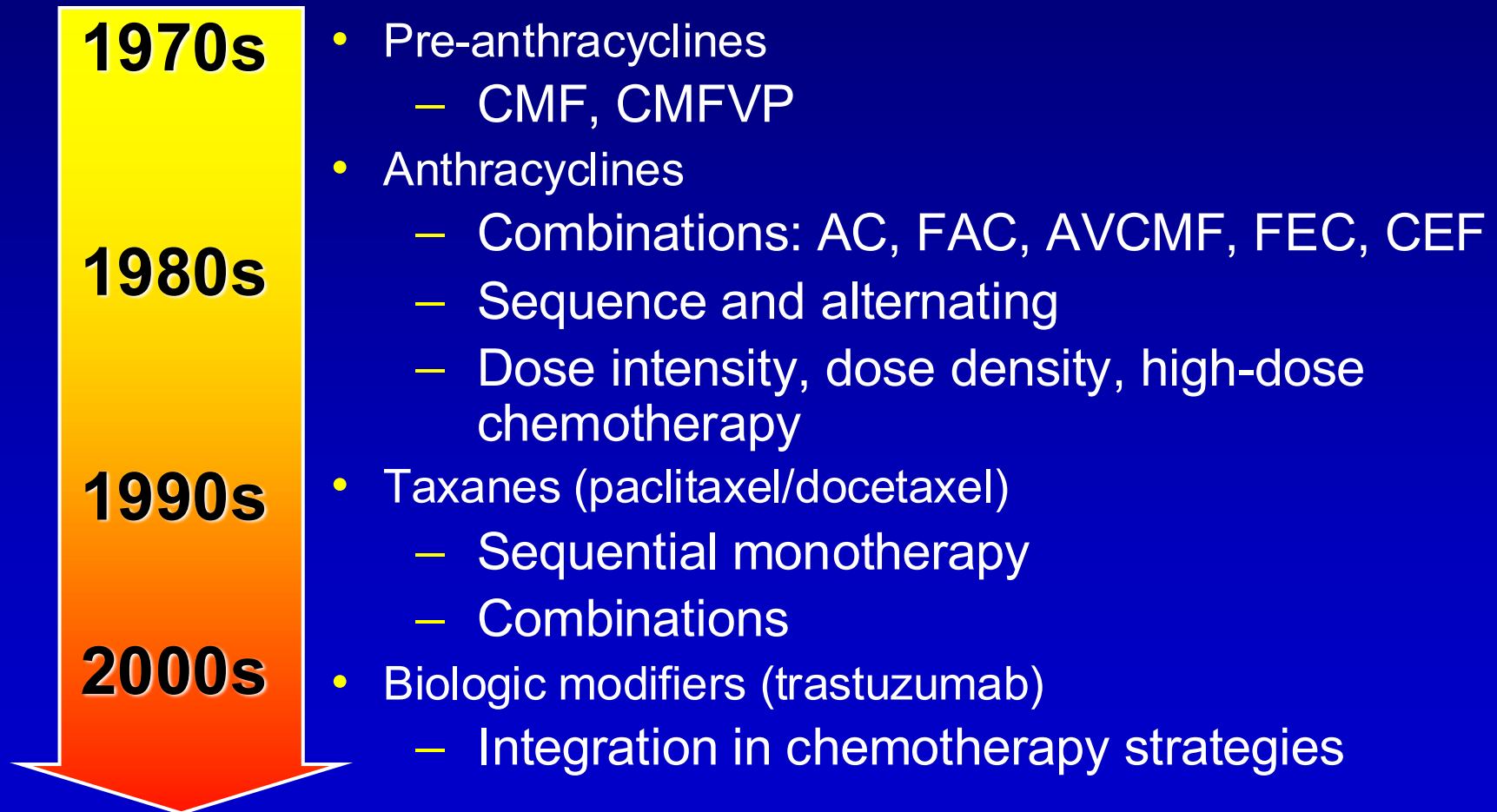


# Adjuvant Chemotherapy and Breast Cancer

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- Adjuvant chemotherapy and/or hormonal therapy improve disease-free survival (DFS) and overall survival (OS)
- In an effort to improve outcome, further investigation has led to
  - Combination of agents
  - Sequencing of drug delivery
  - Dose escalation

# Development Timeline: Breast Cancer Chemotherapy



# 15 years Follow-Up For Invasive Breast Cancer

Treatment:	Proportional Annual Recurrence Reduction:
Tamoxifen 5 yrs (ER +/-Unk)	40% (+/- 3)
Combination Chemotherapy (CMF, AC, etc...)	24% (+/- 2)
Ovarian Ablation	31% (+/-8) [ 7% +/- 4% w/ chemo]

# Prognostic Factor

## Definition

---

- Reflect Natural History: predicts outcome in absence of systemic therapy
- Thus tell us when (not how) to treat a patient
- Reflect biological characteristics of the tumour such as ability to proliferate, invade, and induce angiogenesis

# Routinely Accepted Prognostic Factors

---

## Tumor

- Nodal status
- Tumor size
- Histology
- ER

## Patient

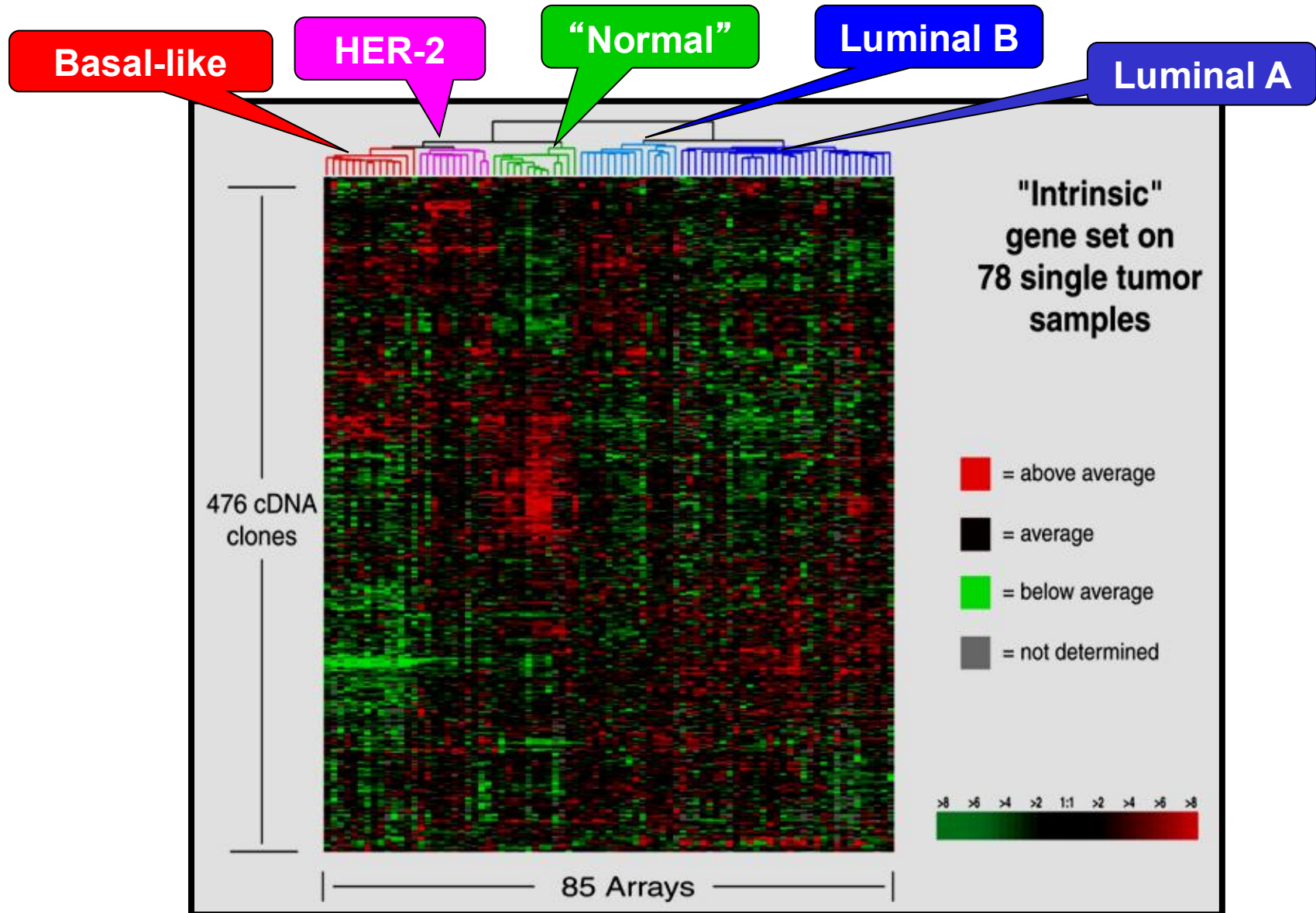
- Age

# Ideal New Prognostic Factor

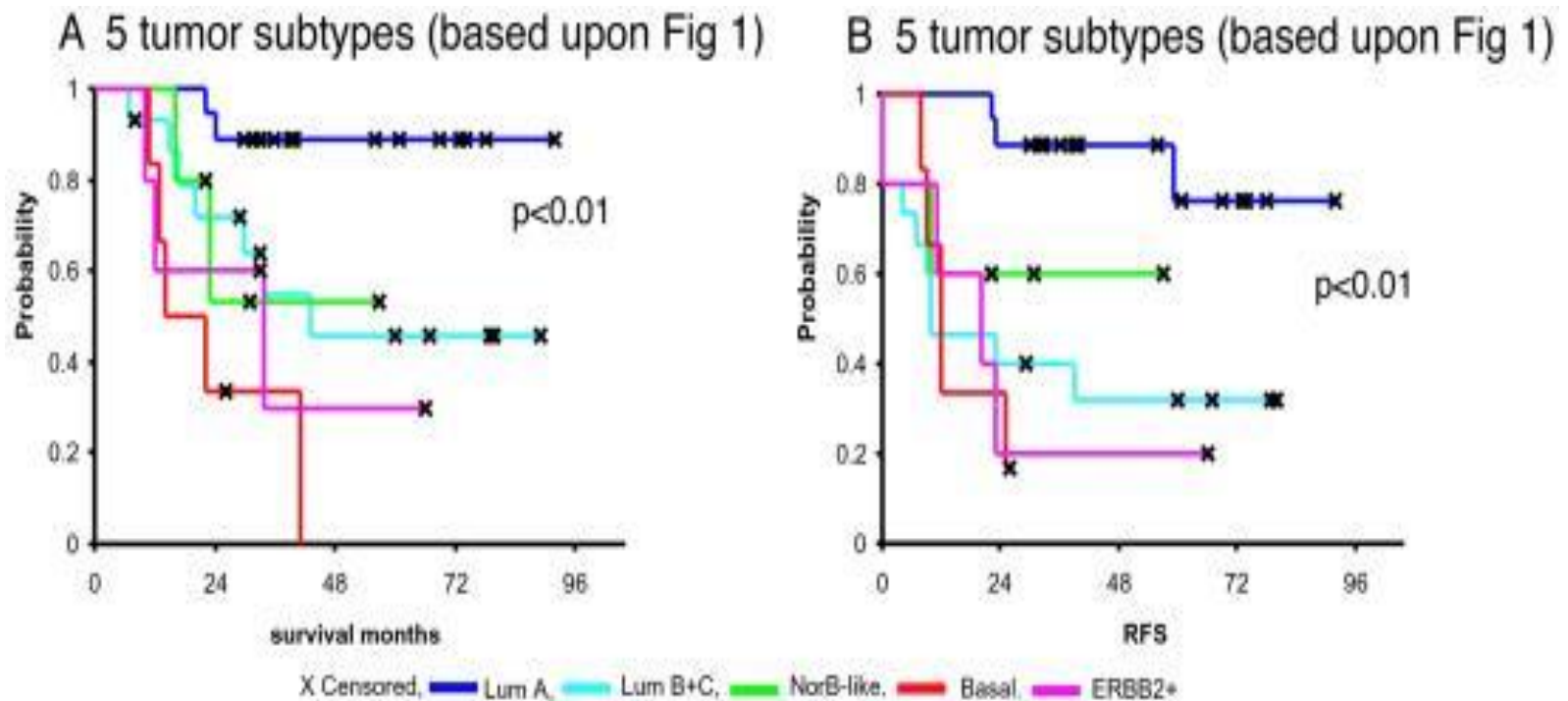
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- Validated in prospective trials designed to address utility of prognostic factor in question
- Provides significant **independent value** on MVA including known prognostic factors
- Detectable by reproducible, feasible, standardized method
- Represents biologically plausible pathway

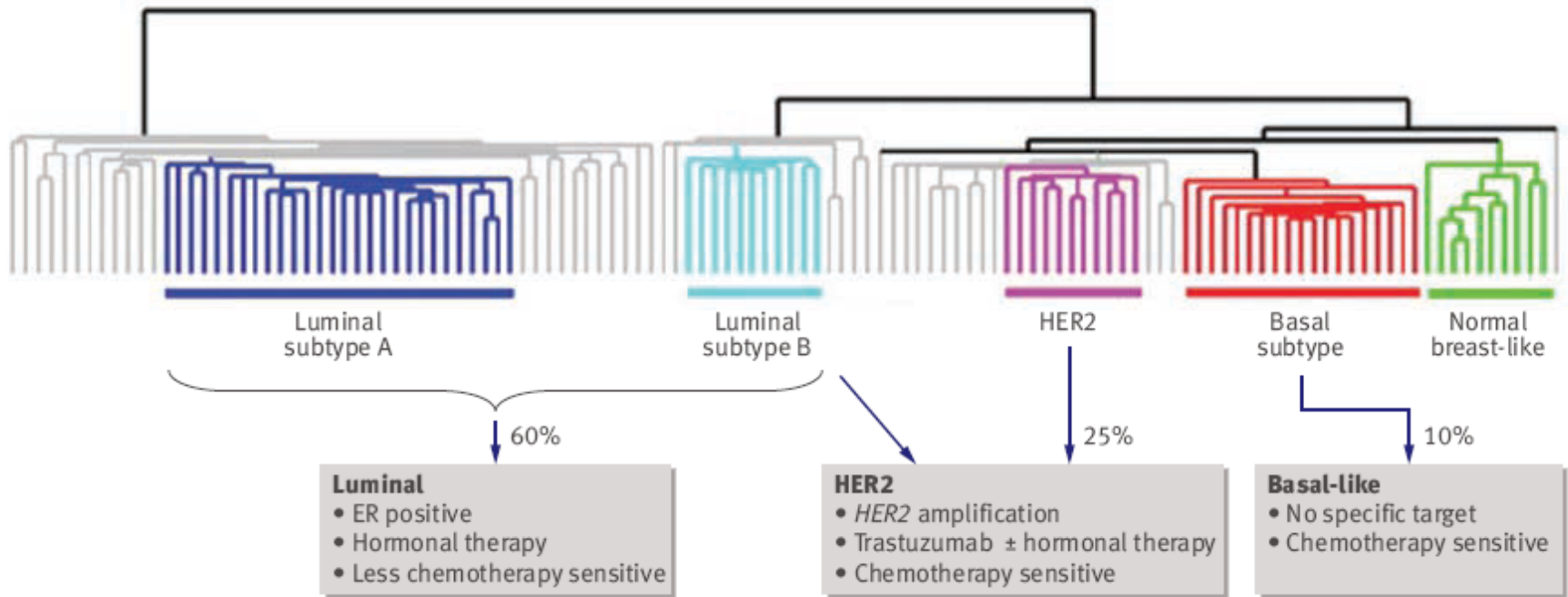
# Molecular Portrait of Breast Cancers



# DFS and OS by Subtype



# Molecular Subtypes



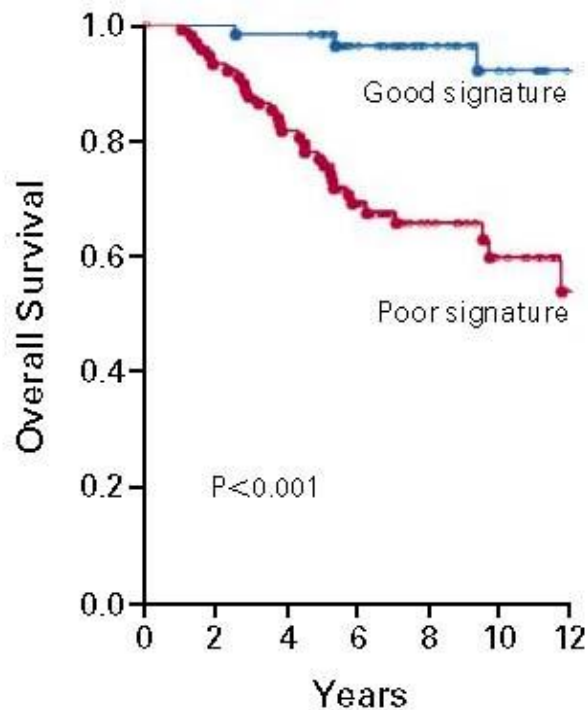
# 70 Gene Signature: Mammaprint™

---

- **Derived from 295 tumors**
  - T<5cm, age  $\leq$  52 yrs
  - 151 NO, 144 N+
  - 90 CTX, 20 hormonal, 20 both
  - Diagnosed 1984 – 1995

# Overall Survival by Amsterdam Gene Signature

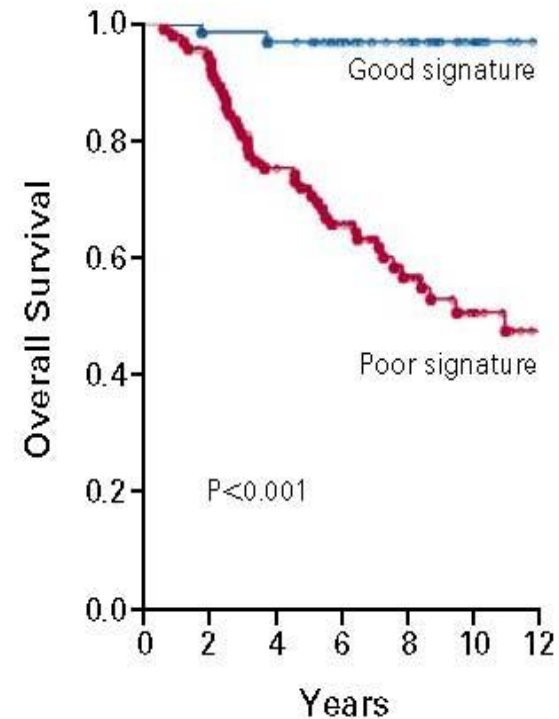
F Lymph-Node-Positive Patients



No. at Risk

Good signature	55	55	54	43	30	19	11
Poor signature	89	81	68	50	29	19	9

D Lymph-Node-Negative Patients



No. at Risk

Good signature	60	59	58	48	35	24	12
Poor signature	91	86	66	50	33	21	10

# Oncotype DX™

## 16 Cancer and 5 Reference Genes

250 Candidate Genes, 3 Studies, 447 Pts

### PROLIFERATION

Ki-67  
STK15  
Survivin  
Cyclin B1  
MYBL2

### HER2

GRB7  
HER2

### ESTROGEN

ER  
PGR  
Bcl2  
SCUBE2

### INVASION

Stromolysin 3  
Cathepsin L2

GSTM1  
CD68  
BAG1

### REFERENCE

Beta-actin  
GAPDH  
RPLPO  
GUS  
TFRC

**Best RT-PCR performance  
and most robust predictors**

# Recurrence Score (RS) Algorithm

---

- + 1.04 x Proliferation Group Score
- + 0.47 x HER2 Group Score
- 0.34 x ER Group Score
- + 0.10 x Invasion Group Score
- 0.08 x GSTM1
- 0.07 x BAG1
- + 0.05 x CD68

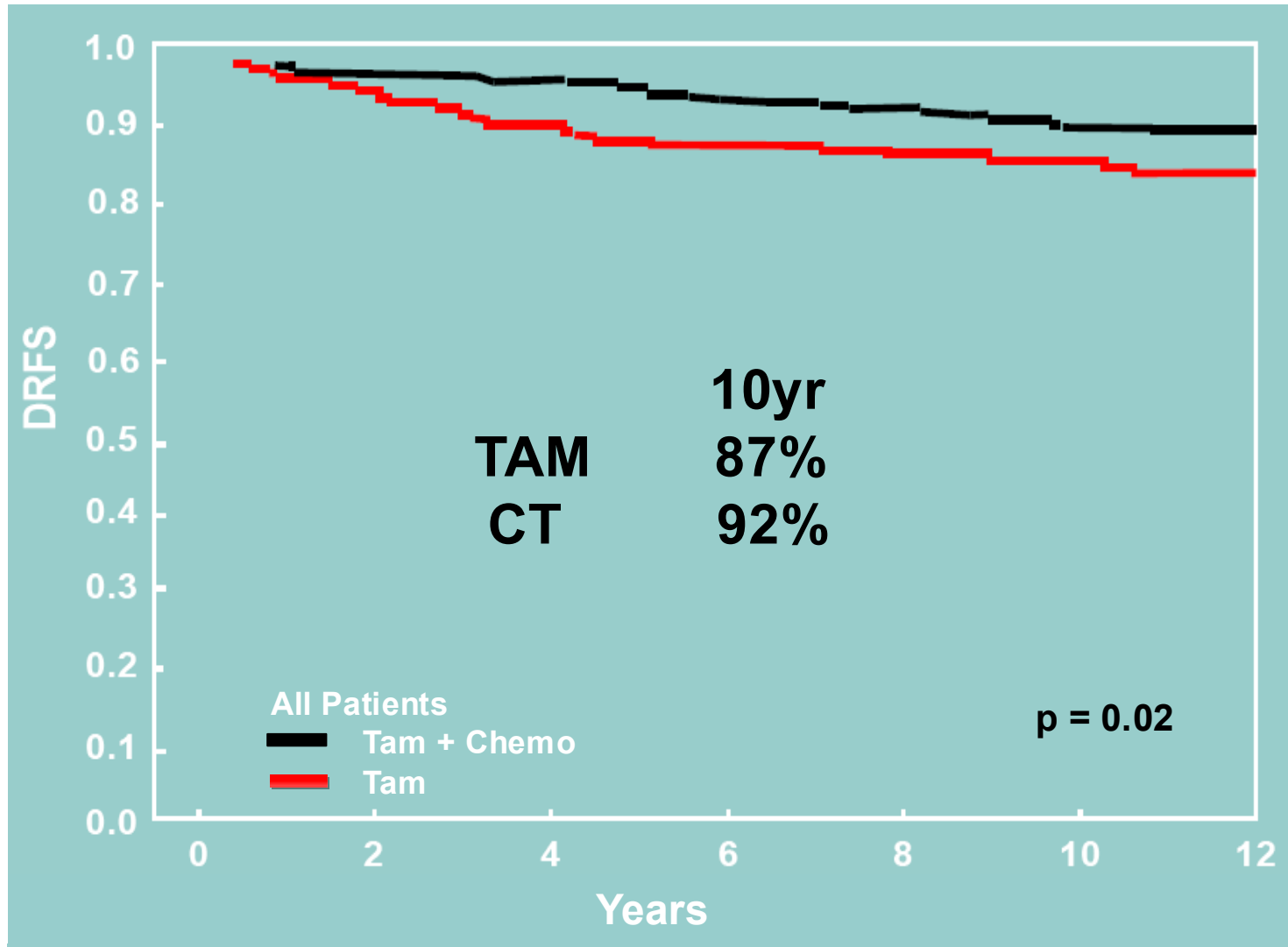
Category	RS (0-100)	% Cases
Low risk	< 18	51
Inter risk	18 - 30	22
High risk	≥ 31	27

# Prognosis vs Prediction

---

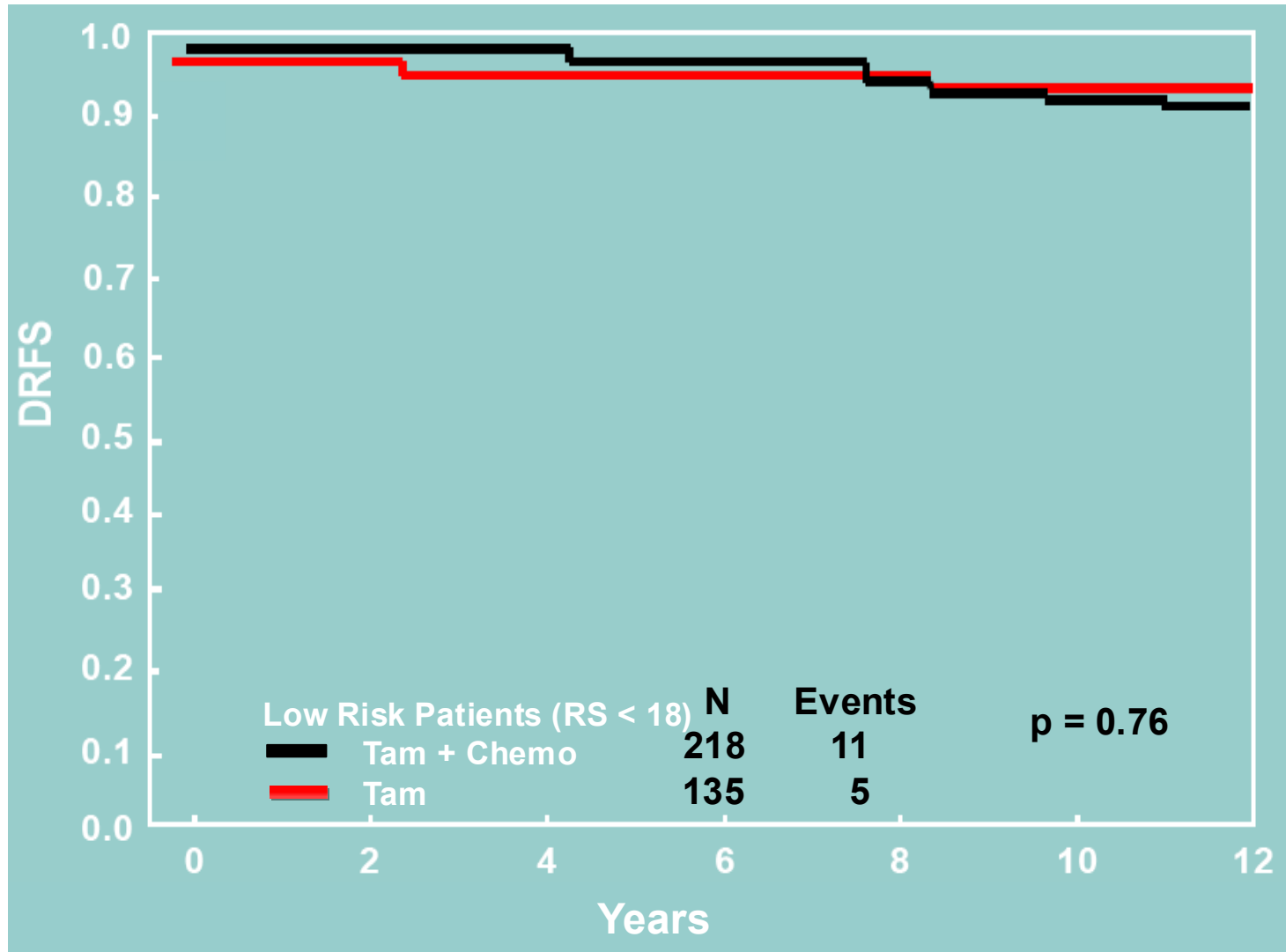
- Prognostic factors most useful if they identify patients with such a good prognosis that adjuvant treatment is NOT required
- A pure prognostic factor does not tell us how to best treat a patient in the poor prognosis group
- It is increasingly clear that multiple tumor factors impact upon the success of therapy

# B-20 All Disease Free Survival

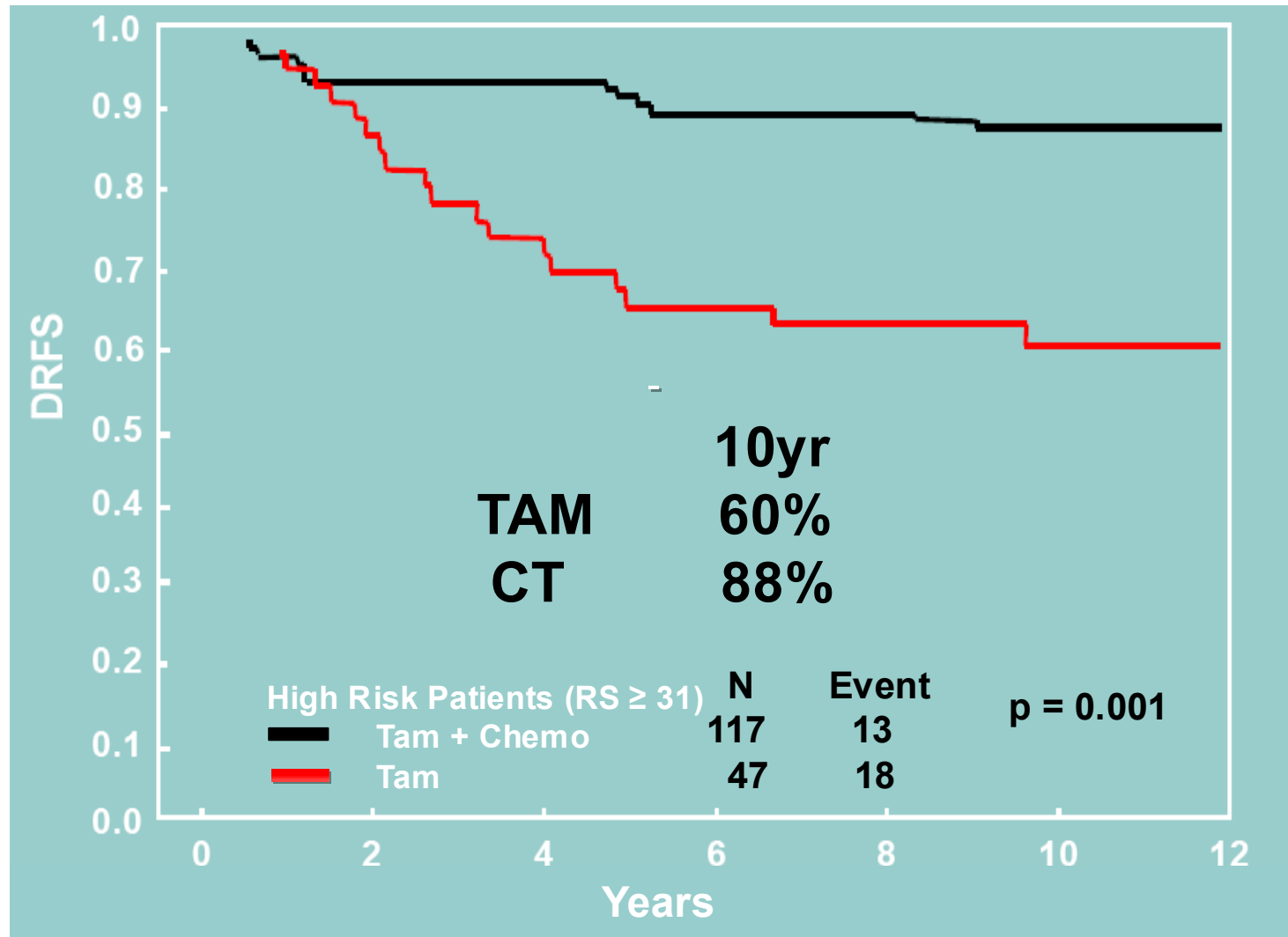


# B-20 Low RS < 18

## Disease Free Survival

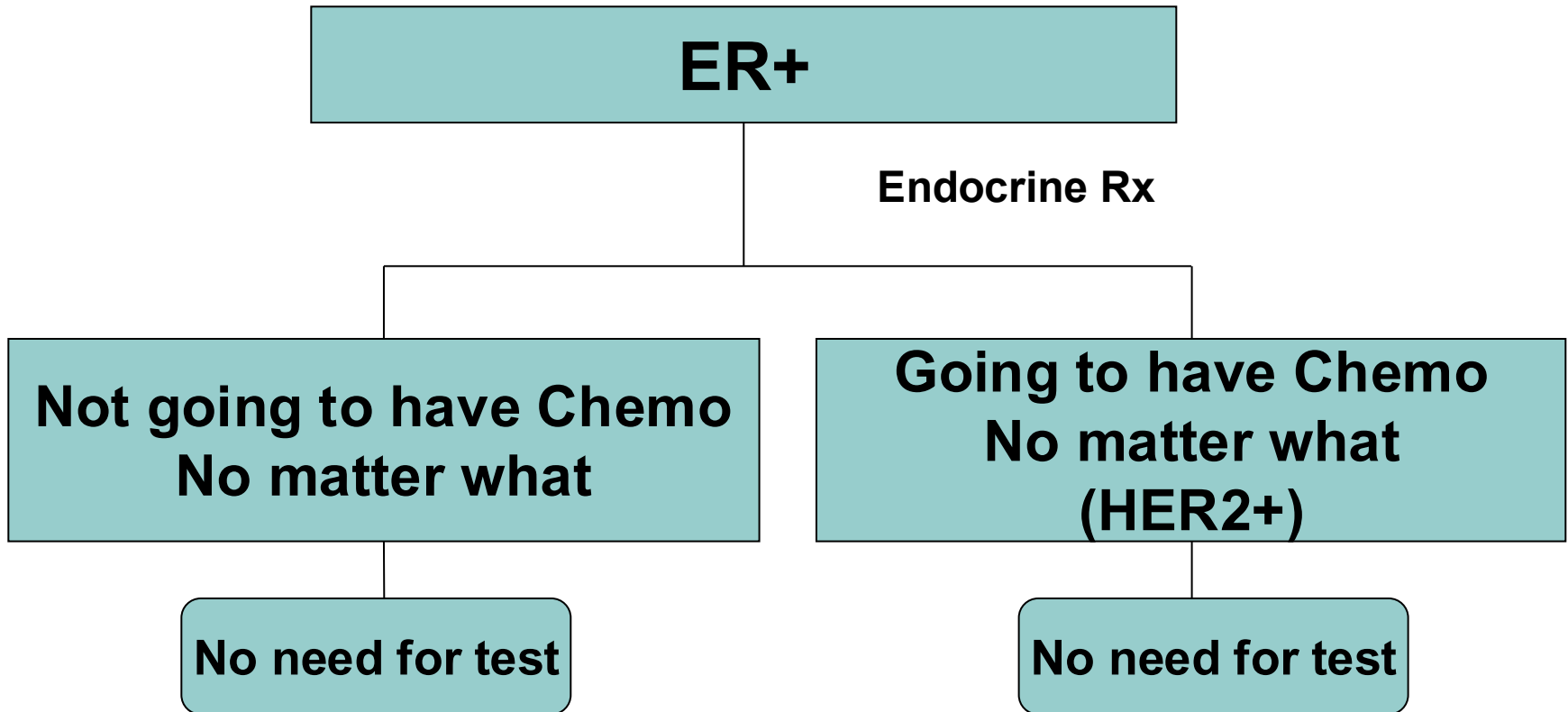


# B-20 High RS $\geq 31$ Disease Free Survival



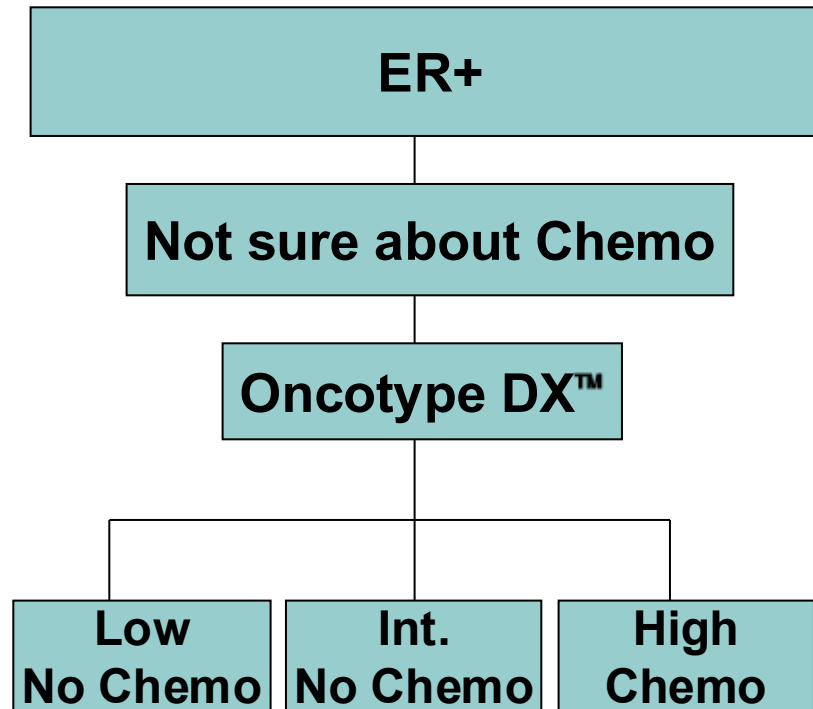
# Clinical Application of Oncotype DX™

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# Clinical Application of Oncotype DX™

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# Impact of Oncotype DX™ On Therapy

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In practice

43% low risk

47% int risk

10% high risk

CTX	→	E	22.5%
E	→	CTX+E	3.4%
No Change			74.1%

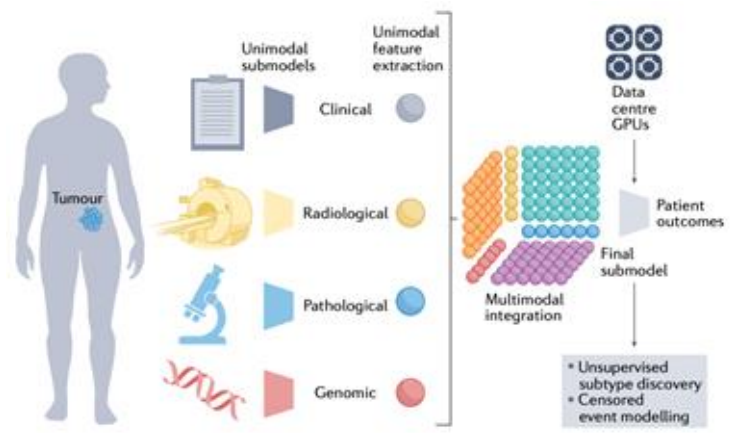
# Multimodal data integration represents a frontier of Computational Oncology

## Data Science -> Real World Data

- Combining information sources for increased predictive power
- Improving patient stratification & personalized medicine

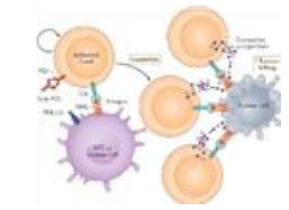
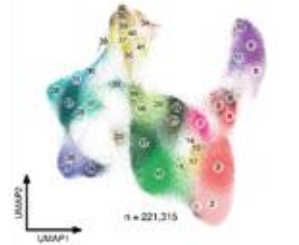
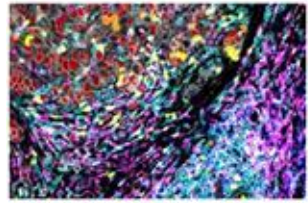
## Discovery of new Tumor Biology

- Uncovering critical cellular states
- Tumor microenvironment composition, architecture and dynamics
- Tumor evolution, drug resistance, new therapeutics



**Large** patient n  
**Low** molecular resolution

**Small** patient n  
**High** molecular resolution



## Measuring & modeling the 'whole patient'

Boehm et al *Nat Rev Can* 2021  
 Vanguri et al *Nat Cancer* 2022  
 Boehm et al *Nat Cancer* 2022

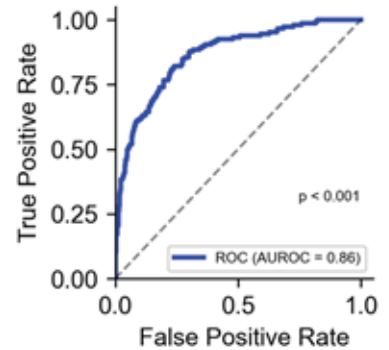
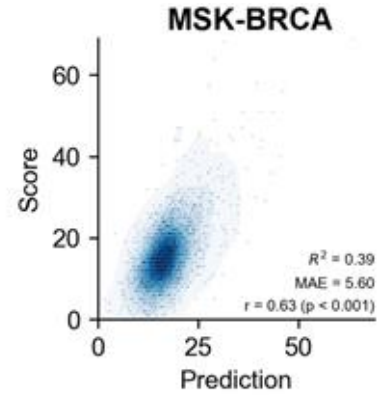
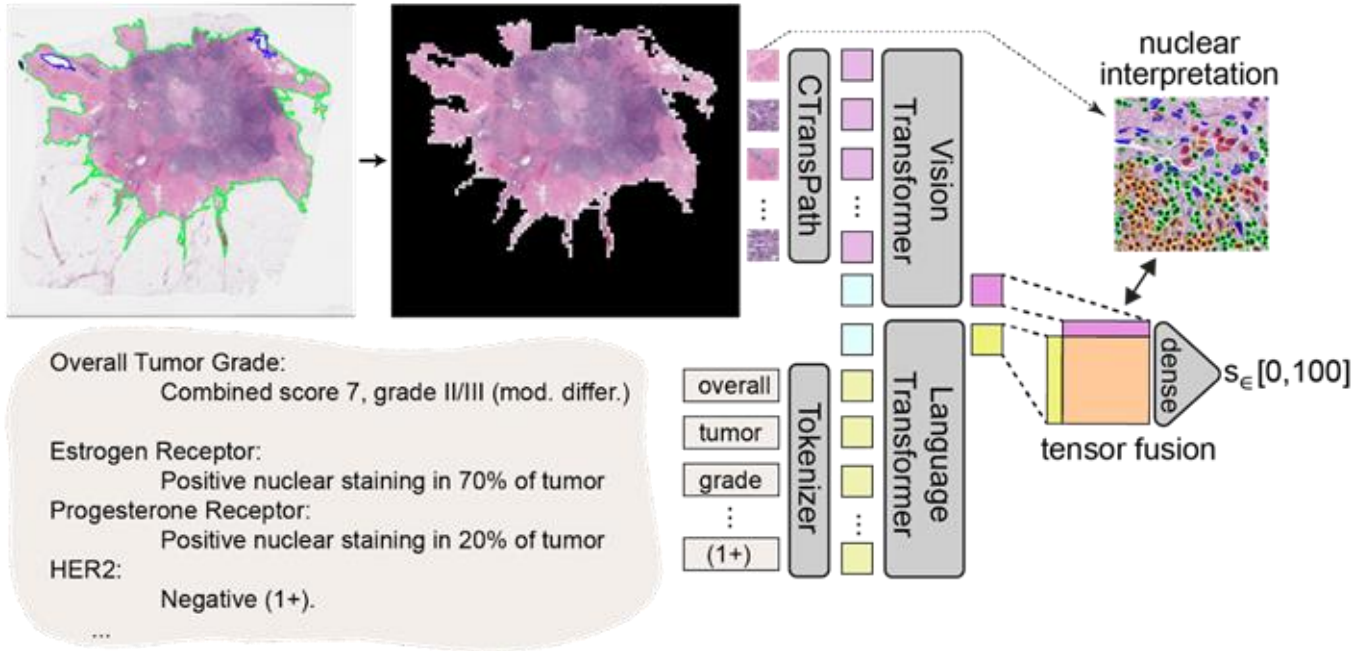
Kather et al *Nature Med* 2019  
 Sammut et al *Nature* 2022  
 Crispin-Ortuzar et al *Nature Comm* 2023  
 Truhn et al *NPJ Prec Oncology* 2024

## Measuring & modeling the 'whole tumor'

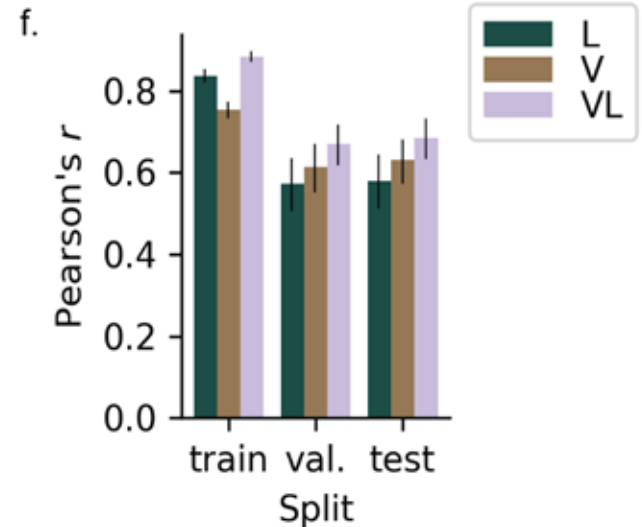
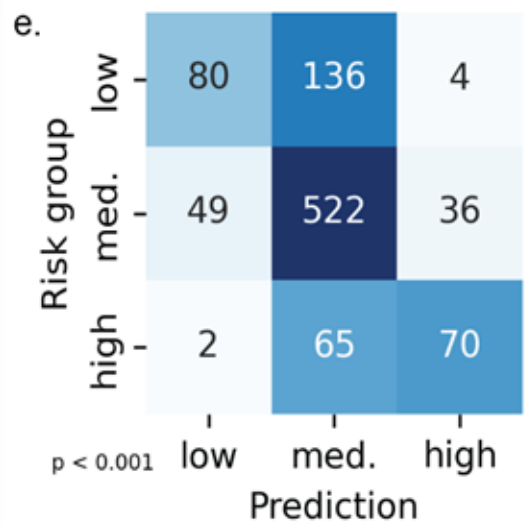
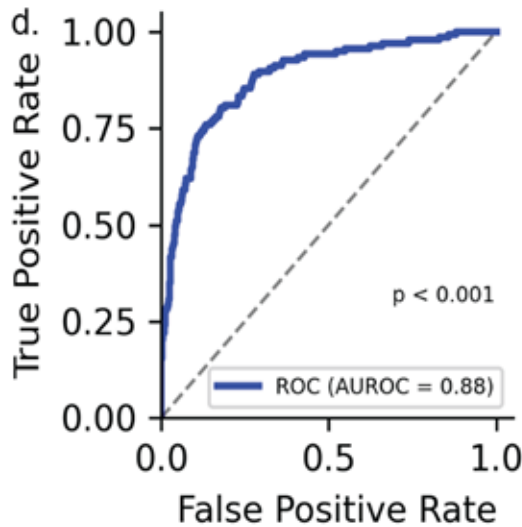
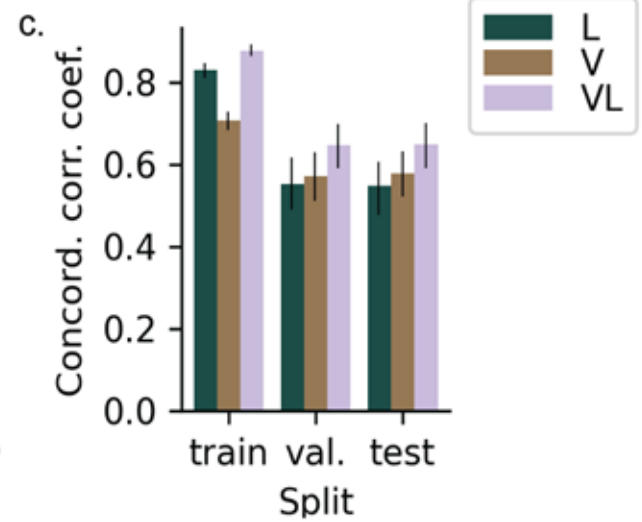
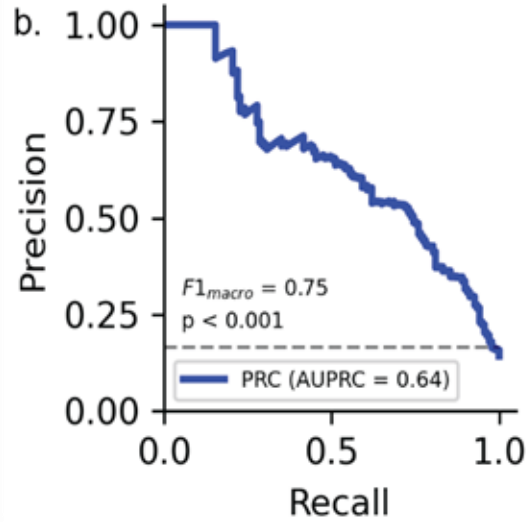
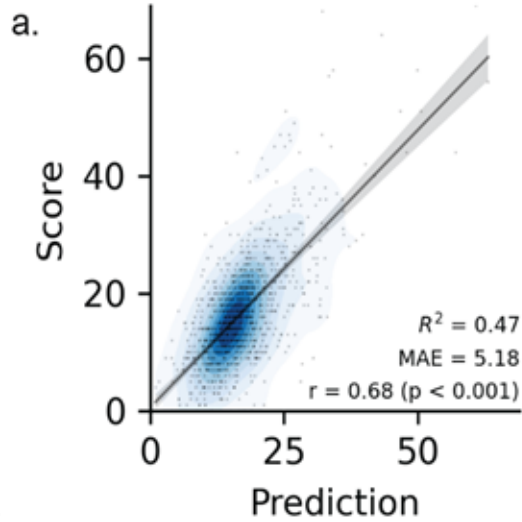
Shi et al *Nature Comm* 2024  
 Vazquez-Garcia et al *Nature* 2022

# Predicting Oncotype ROR from H&E and path reports

g.

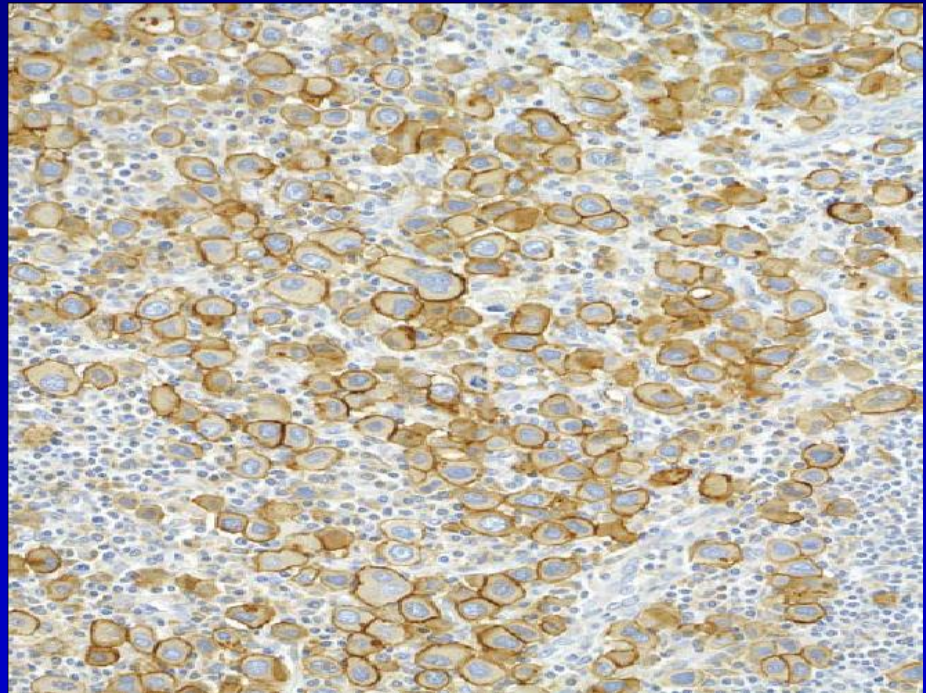


# High and low risk strata cleanly modeled



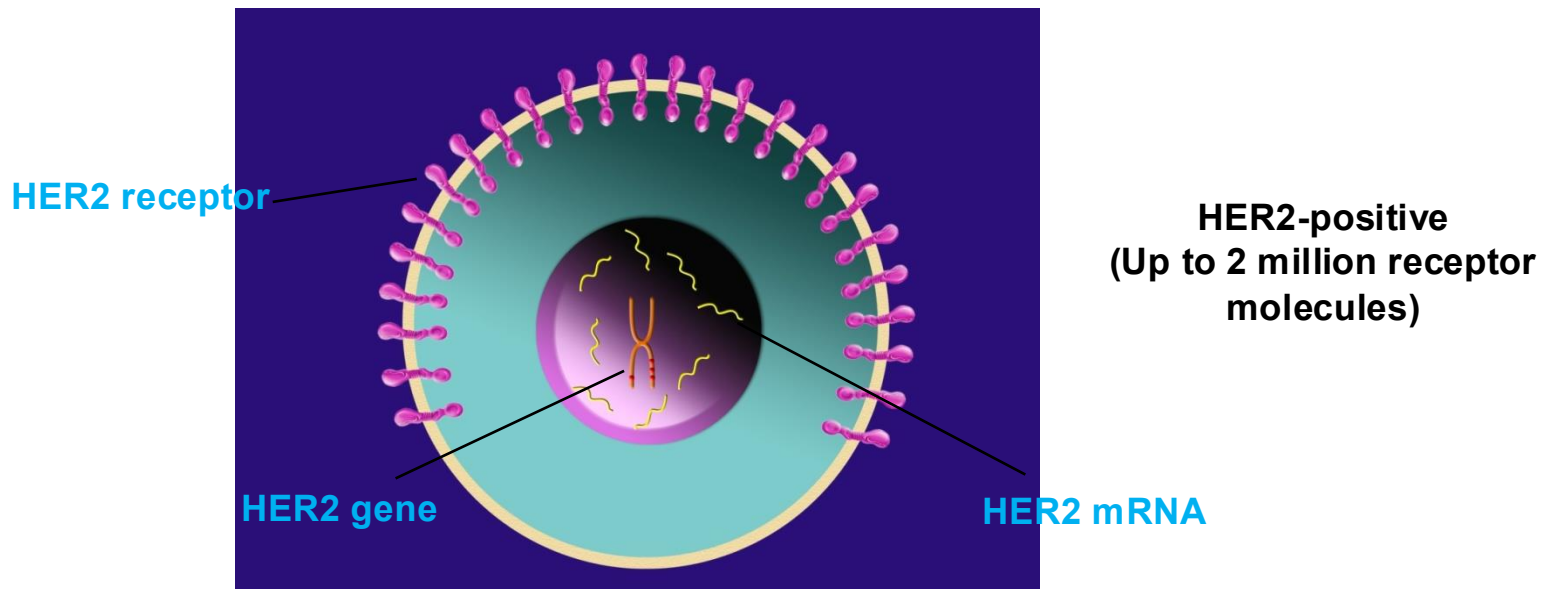
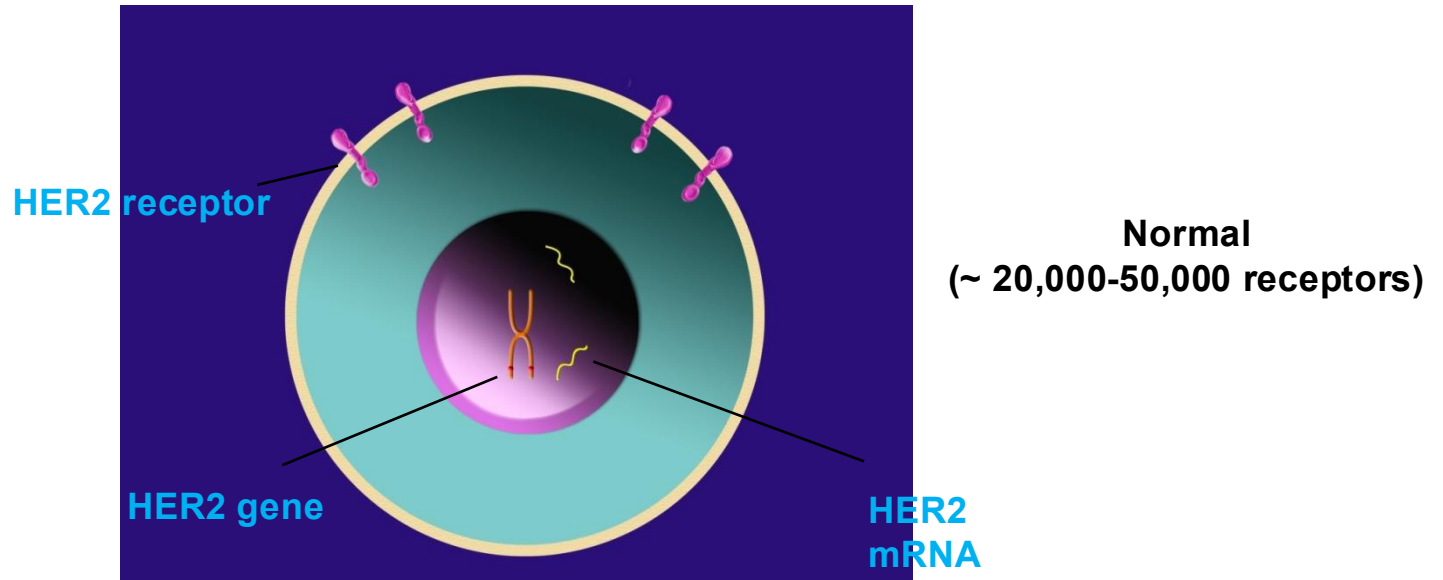
# HER2 and Breast Cancer

- Overexpressed in nearly 20% of metastatic breast carcinomas
- HER2-positive breast cancer associated with worse outcomes

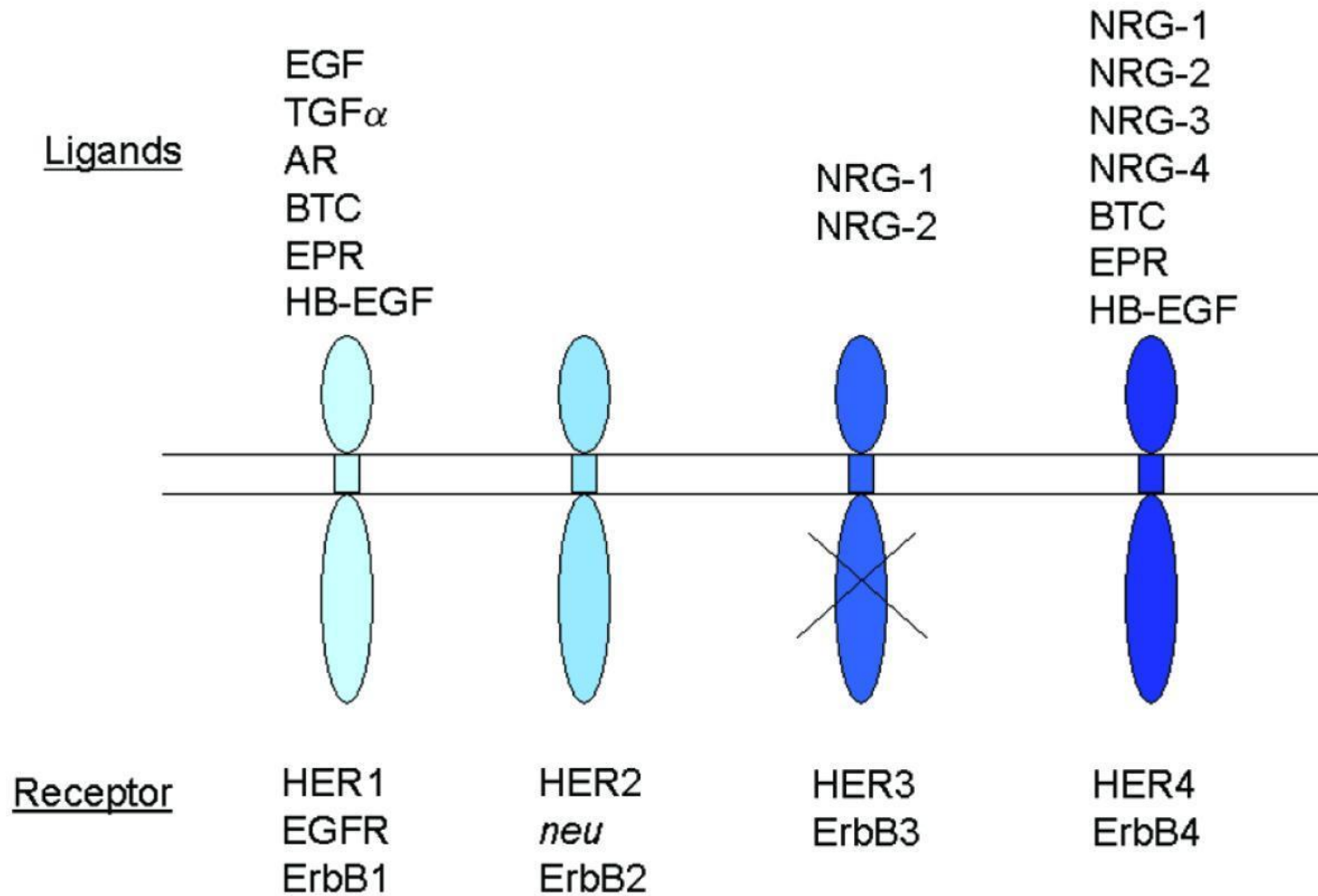


HER2+

# HER2 amplification

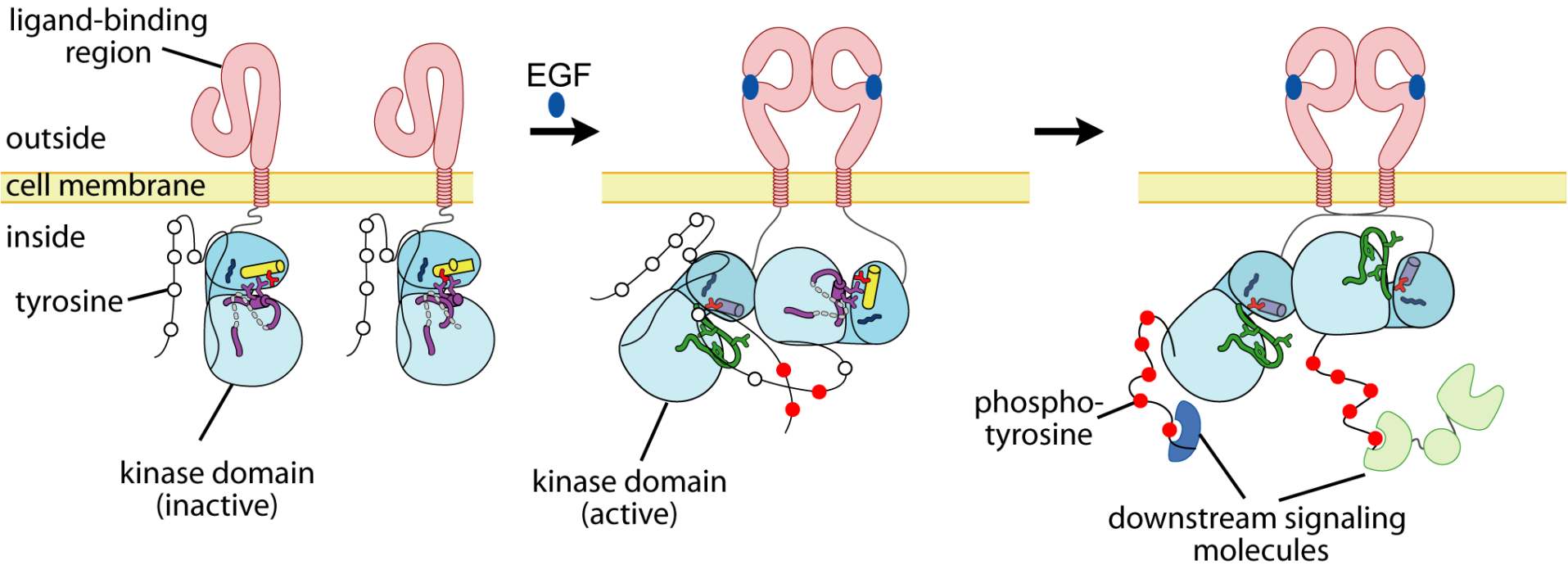


# ErbB receptors and ligands

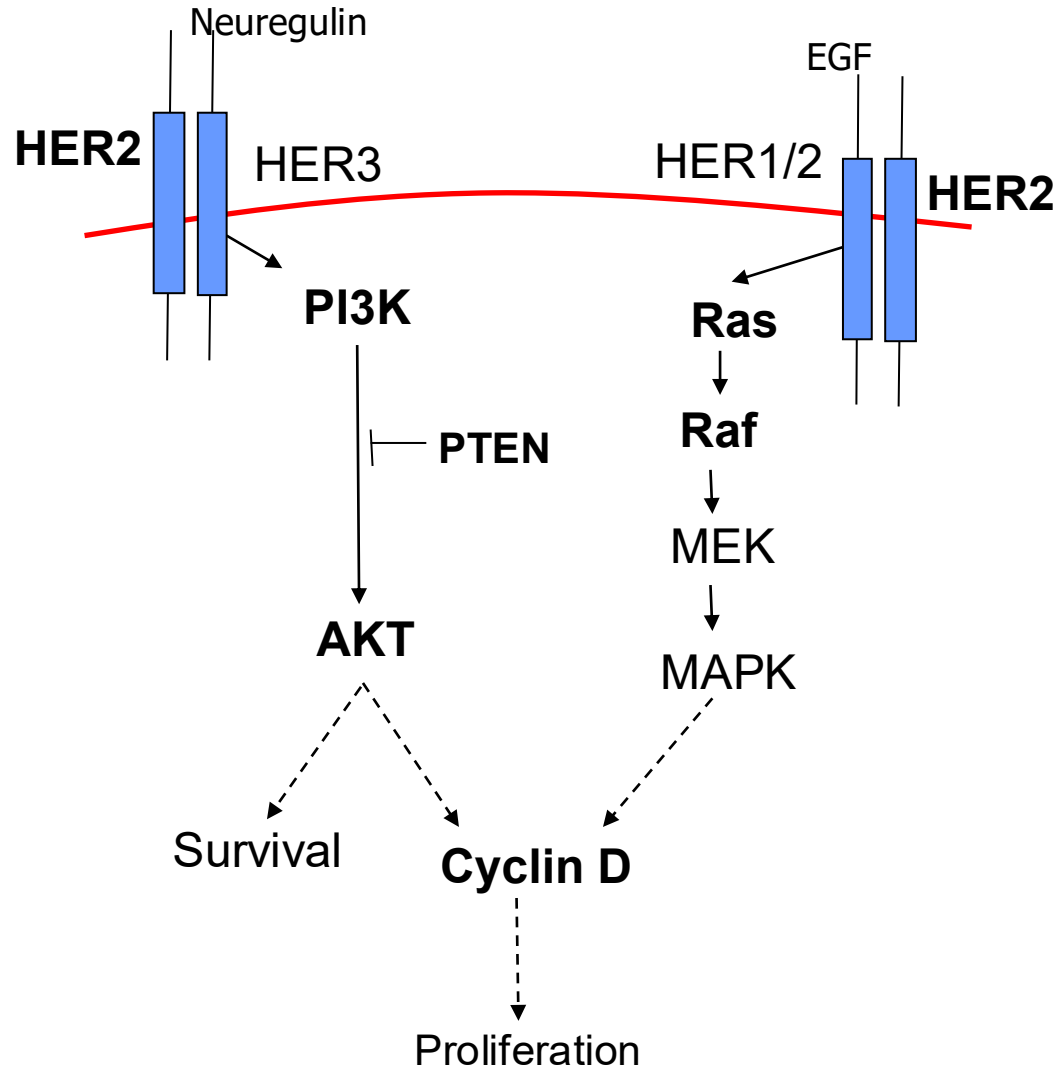


Breast Cancer Research

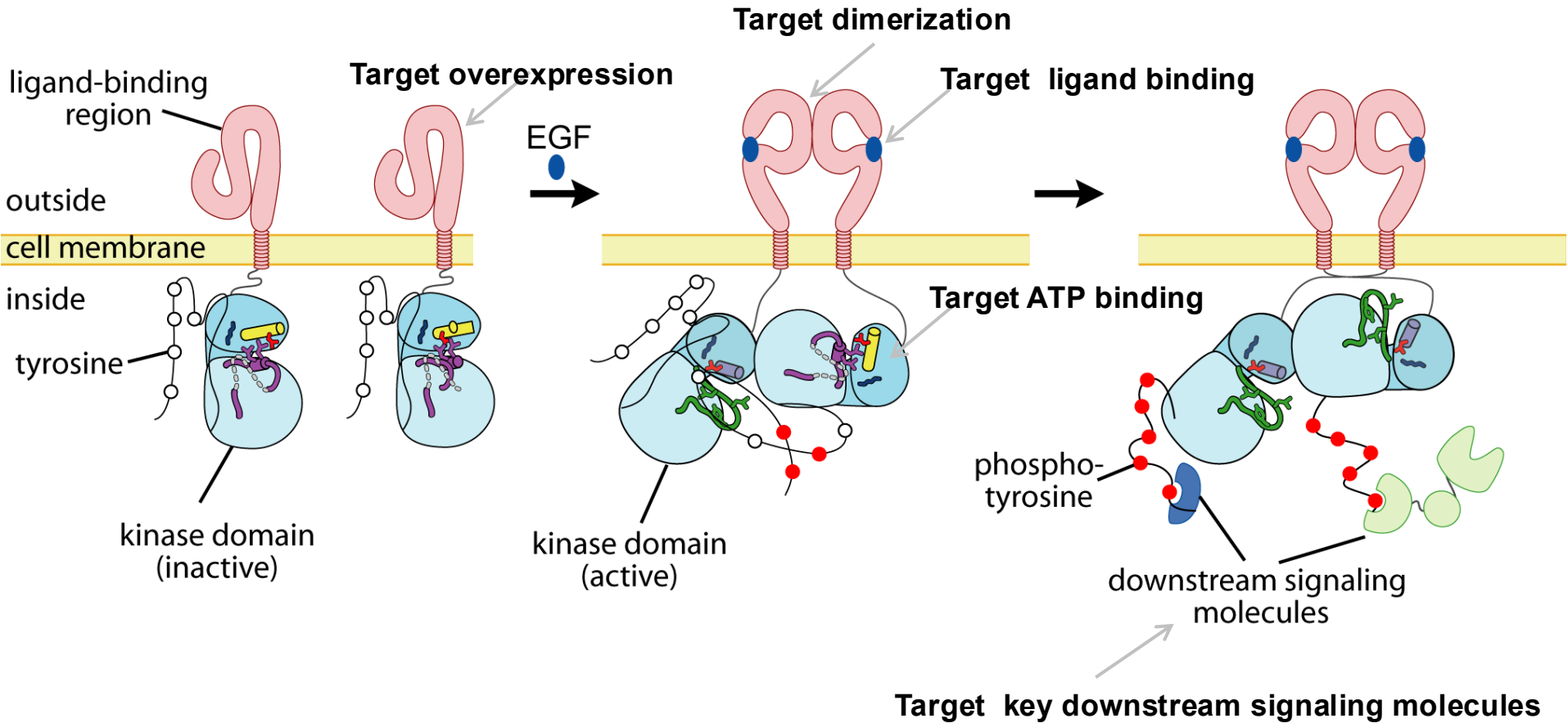
# Activation of ErbB receptors



# ErbB receptor signaling cascades



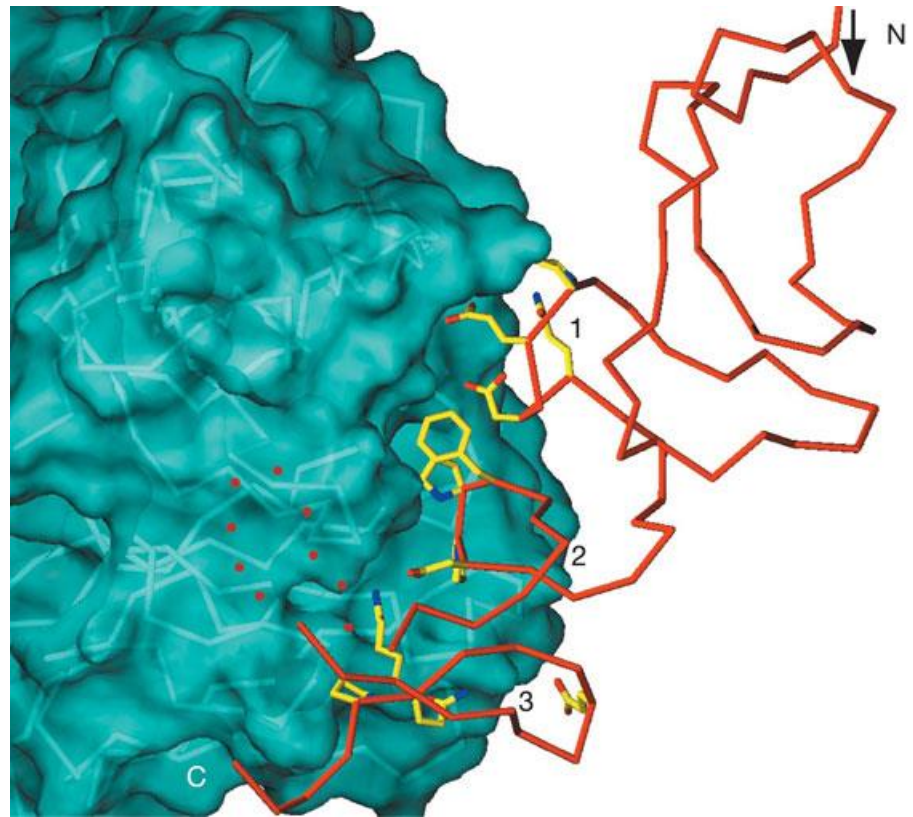
# Strategies to target HER2 driven cancers



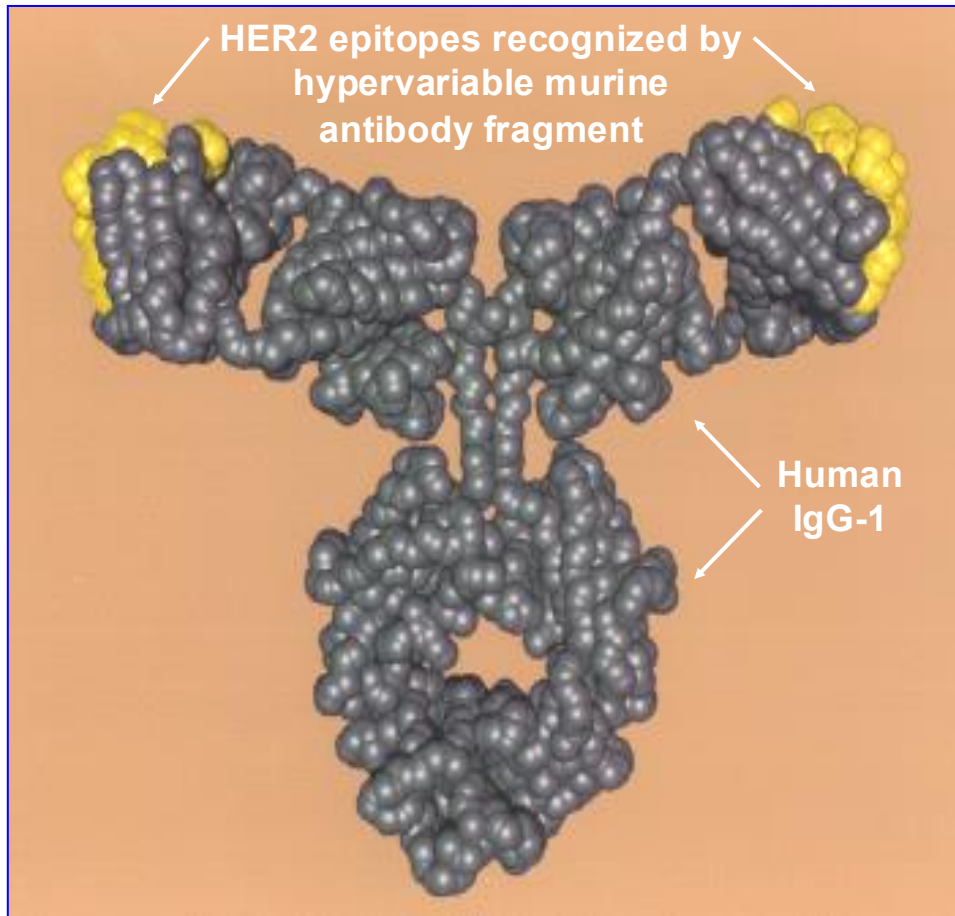
# Trastuzumab: Humanized Anti-HER2 Antibody

Trastuzumab = recombinant humanized monoclonal antibody to the extracellular domain of HER2. Antibody (shown in blue) contacts 3 extracellular loops of HER2 .

Contacts = 1:557-561, 2: 570-573, 3:593-603



# Trastuzumab: Humanized Anti-HER2 Antibody



- High affinity & specificity
- 95% human, 5% murine
  - Decreases potential for immunogenicity
  - Increases potential for recruiting immune effector mechanisms

# Trastuzumab First-Line Monotherapy in MBC: Response by HER2 status

(All Patients IHC 2+/3+)

	<u>3+</u> n (%)	<u>2+</u> n (%)
No. of evaluable patients	84	27
ORR (%)	29 (35)	0 (0)

	<u>FISH+</u> n (%)	<u>FISH-</u> n (%)
No. of evaluable patients	79	29
ORR (%)	27 (34)	2 (7)

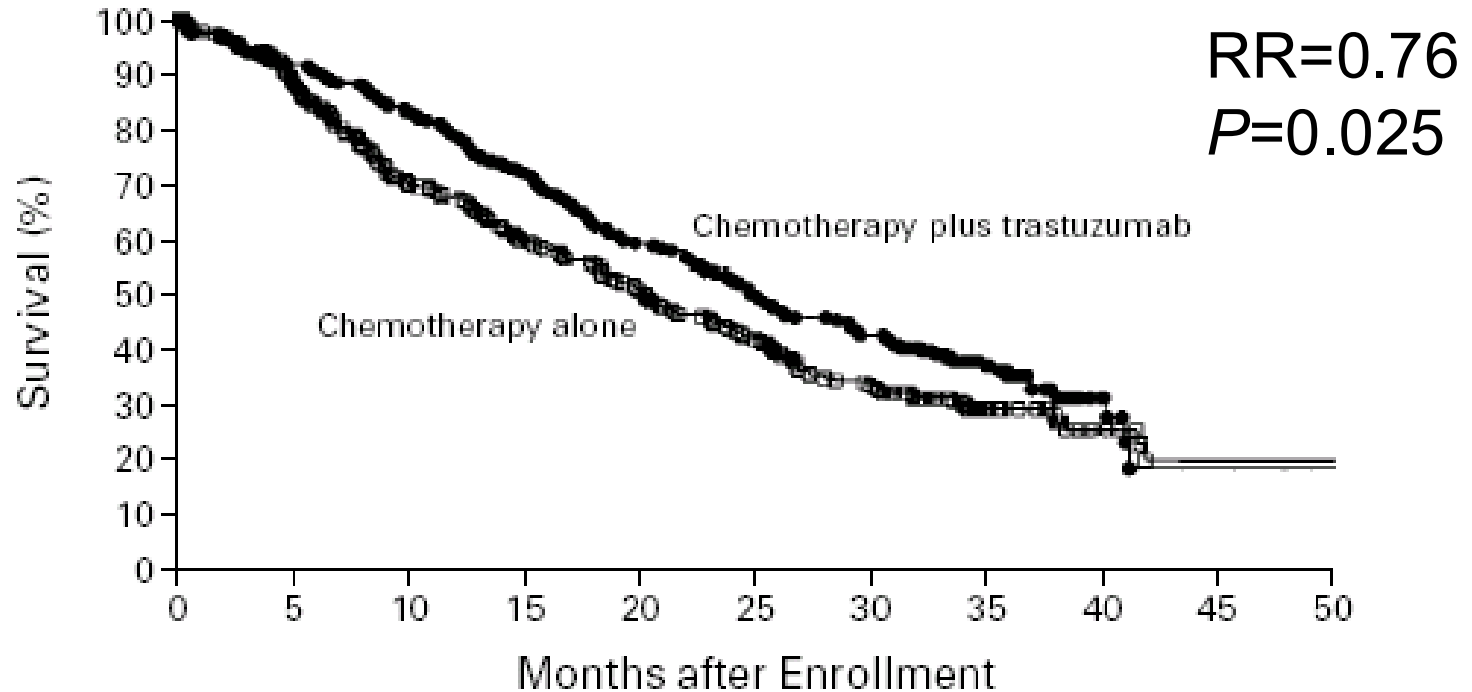
# Trastuzumab Added To ChemoRx Improves Survival In MBC

% w/trastuz.@ POD:

24

62

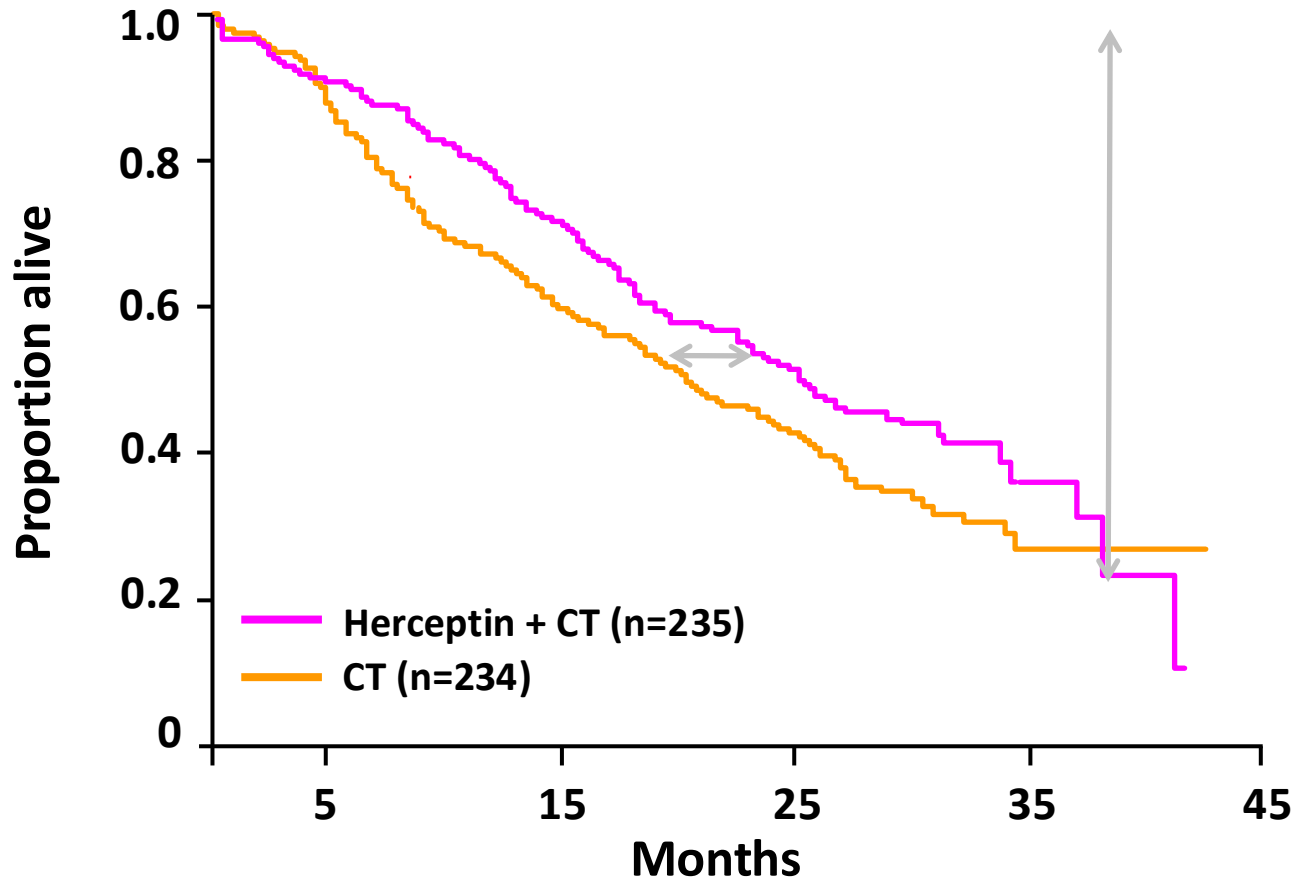
65



No. AT Risk

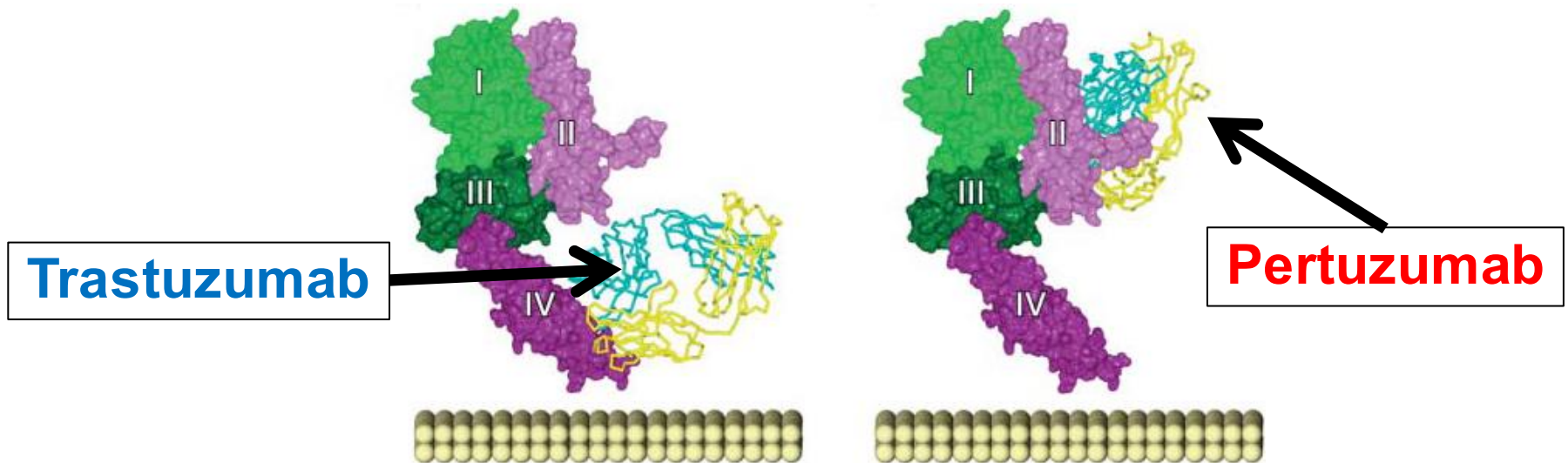
Chemotherapy plus trastuzumab	235	214	192	165	134	114	96	47	11
Chemotherapy alone	234	205	160	136	116	97	76	37	13

# Efficacy.... and resistance



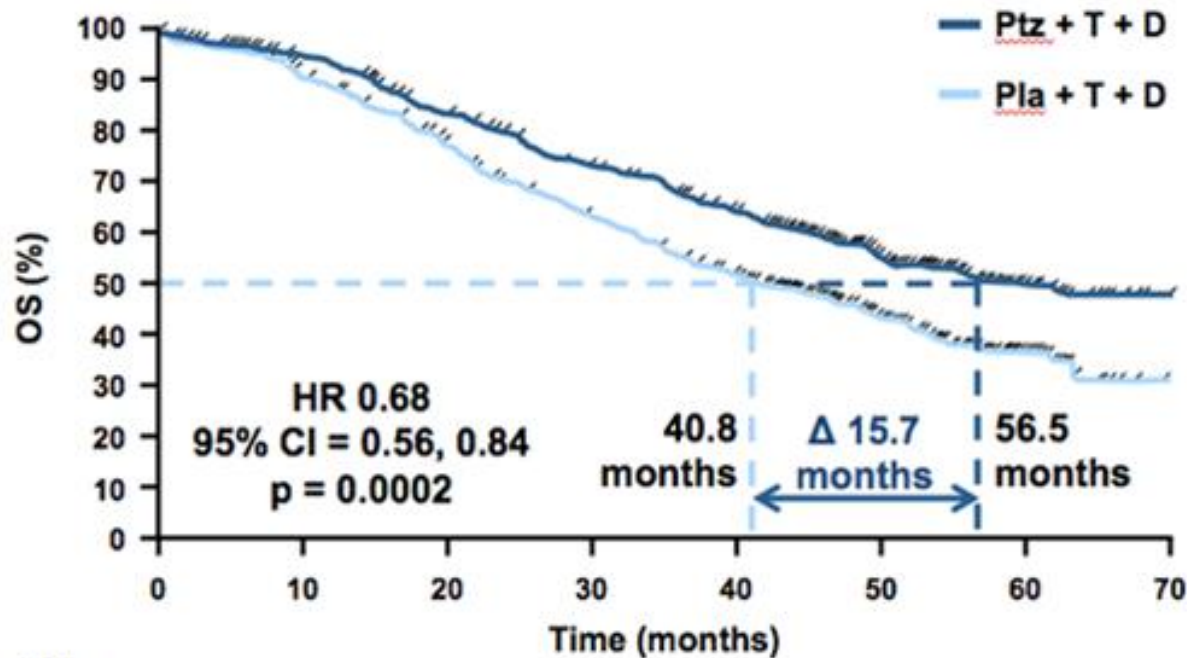
# HER2-Targeted Therapy with **Pertuzumab**

- Monoclonal antibody and pan-HER inhibitor
- Binds to a distinct epitope on the HER2 extracellular domain-prevents dimerization
- Pertuzumab is approved w/ trastuzumab and docetaxel in MBC-1<sup>st</sup>-line



# Final OS Analysis

Median follow-up 50 months (range 0–70 months)



n at risk		0	10	20	30	40	50	60	70
—	Ptz + T + D	402	371	318	268	226	104	28	1
—	Pla + T + D	406	350	289	230	179	91	23	0

ITT population. Stratified by geographic region and neo/adjuvant chemotherapy.

CI, confidence interval; D, docetaxel; HR, hazard ratio; OS, overall survival; Pla, placebo; Ptz, pertuzumab; T, trastuzumab.

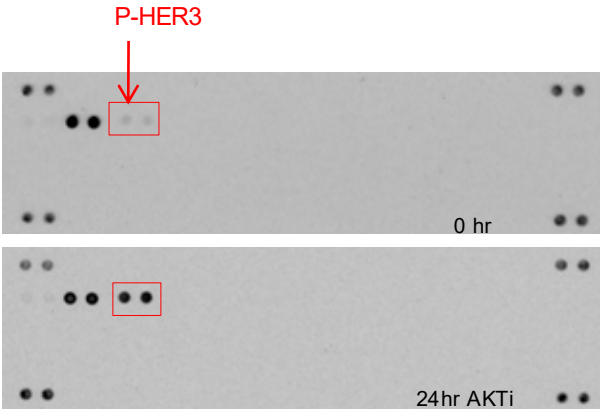
# Prognosis in MBC by HER2 Status and by Therapy With Trastuzumab

Breast Cancer Subtype (1 <sup>st</sup> Line Setting)	Median Survival
HER2-positive (Slamon et al, NEJM 2001)	20.3 mo
ER+/HER2-negative (Finn et al, ASCO 2017)	37.5 mo
HER2-positive – treated with TP (Baslega et al, CLEOPATRA )	56.5 mo

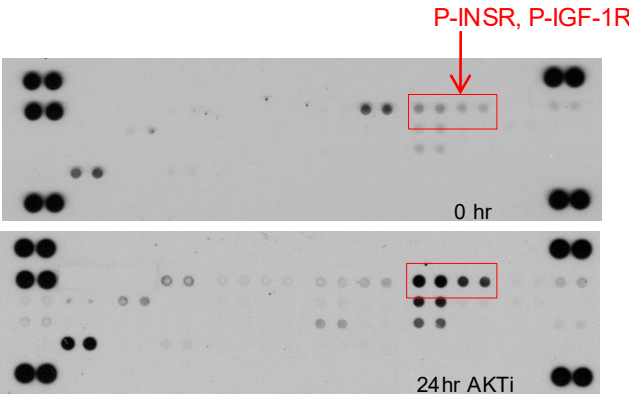


T= trastuzumab P=pertuzumab

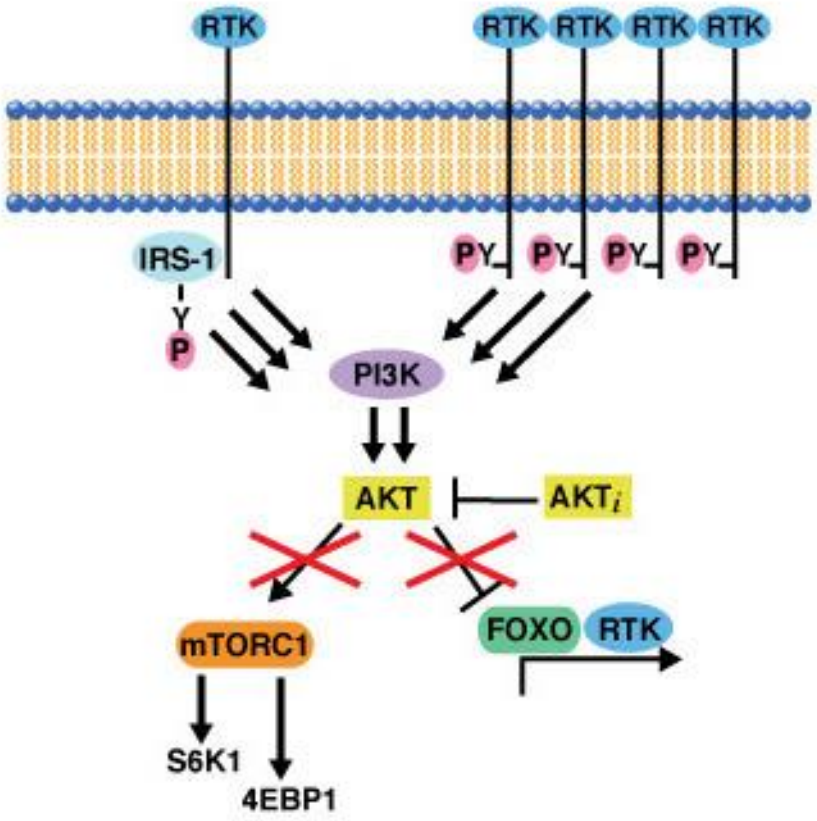
# Inhibition of PI3K/AKT/mTOR activates RTK signaling: AKT inhibition



BT-474(light exposure)



BT-474(dark exposure)





# HER2 expression persists post-HER2 Tx: MSKCC Results

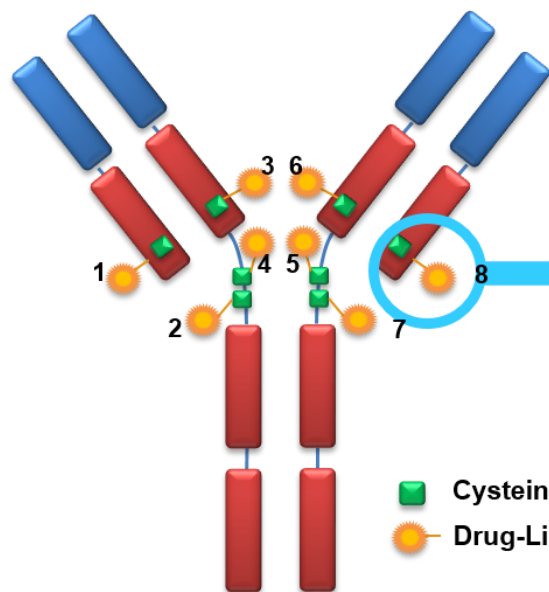
96 patients with HER2+ BC s/p HER2 targeted therapy in the adjuvant or metastatic setting who underwent biopsies

IHC/FISH RESULTS	No. Cases	(%)
Positive	74	77%
Negative	21	22%
Equivocal	1	1%

26 patients s/p treatment with both pertuzumab-based therapy and T-DM1

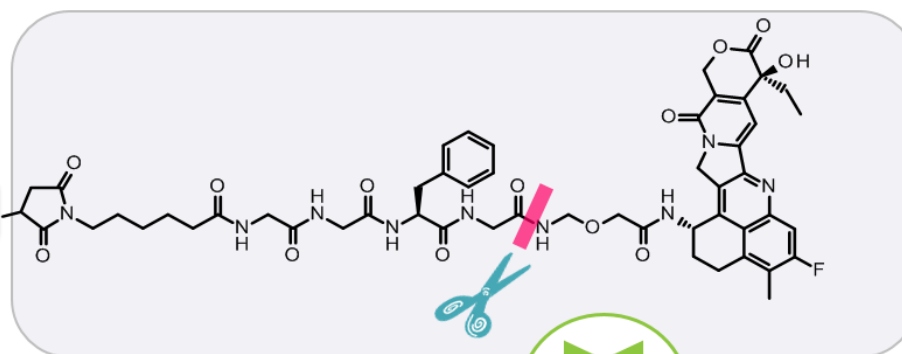
IHC/FISH RESULTS	No. Cases	(%)
Positive	20	77%
Negative	6	23%

# DS8201a: a novel anti-HER2 antibody drug conjugate (ADC)



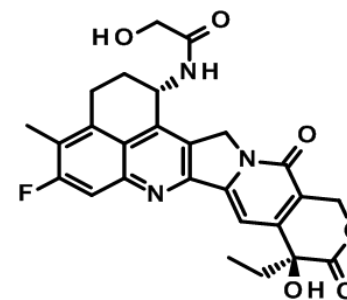
■ Cysteine residue  
● Drug-Linker

## Proprietary drug-linker



## Conjugation chemistry

The linker is connected to cysteine residue of the antibody



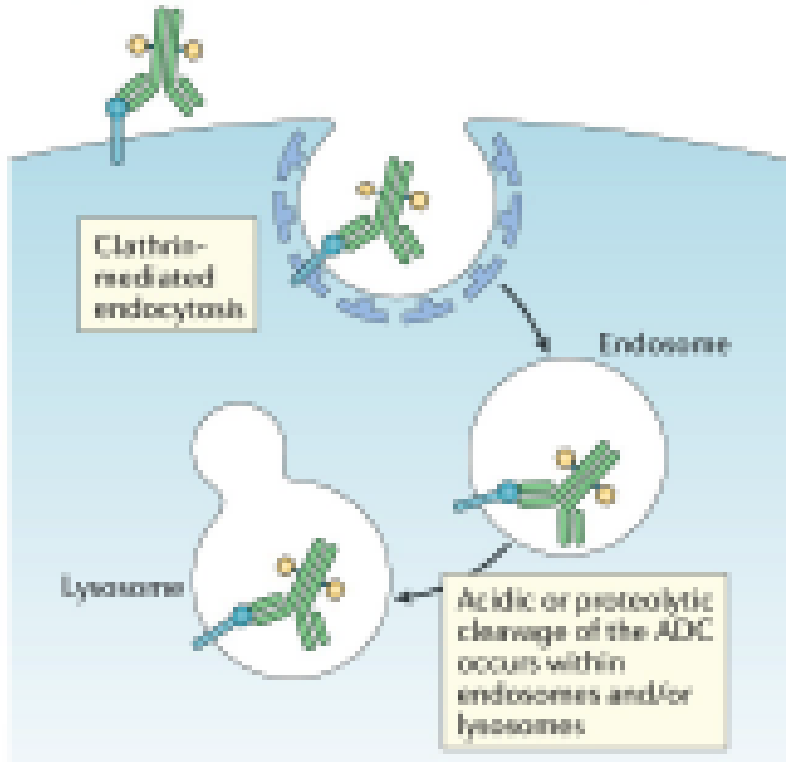
**Payload (DXd)**  
Exatecan derivative

## DS8201a compared to T-DM1

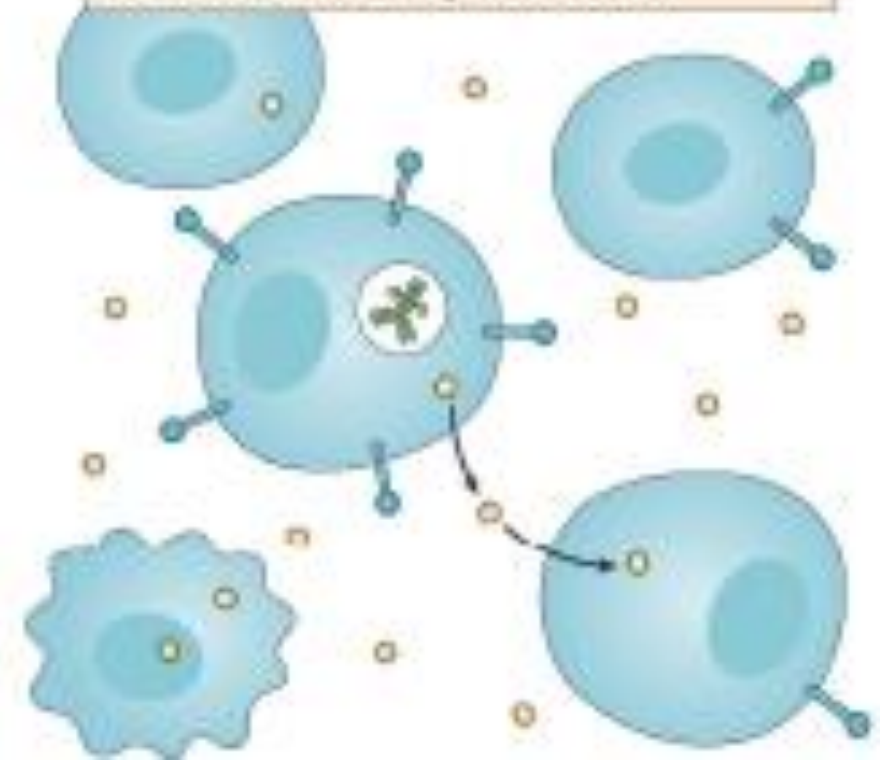
	DS-8201a	T-DM1
Antibody	Anti-HER2 mAb	Trastuzumab (Tmab)
Payload	Topoisomerase I inhibitor (DXd)	Tubulin inhibitor (DM1)
DAR*	7-8	3.5

# ADC direct and bystander effect

4 Most ADCs are internalized and processed, largely via antigen-dependent pathways



6 Membrane-permeable payloads enter neighbouring cells regardless of target expression and can also kill these cells (termed the bystander effect)



# Bystander effect of TDXd

(a)

DS-8201a-treated mice

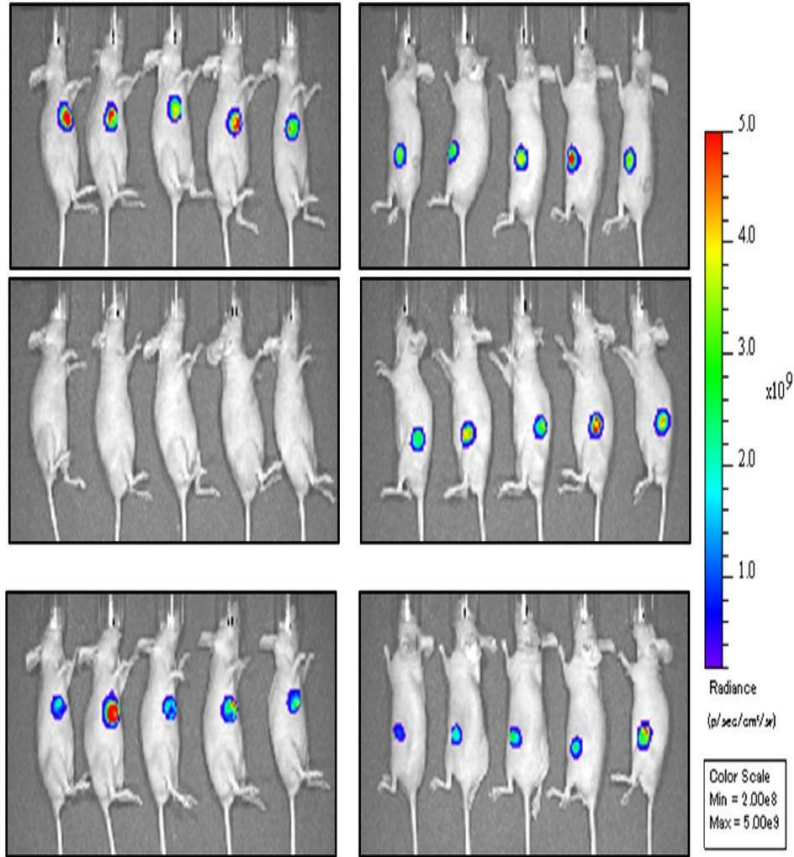
Before treatment

14 days after treatment

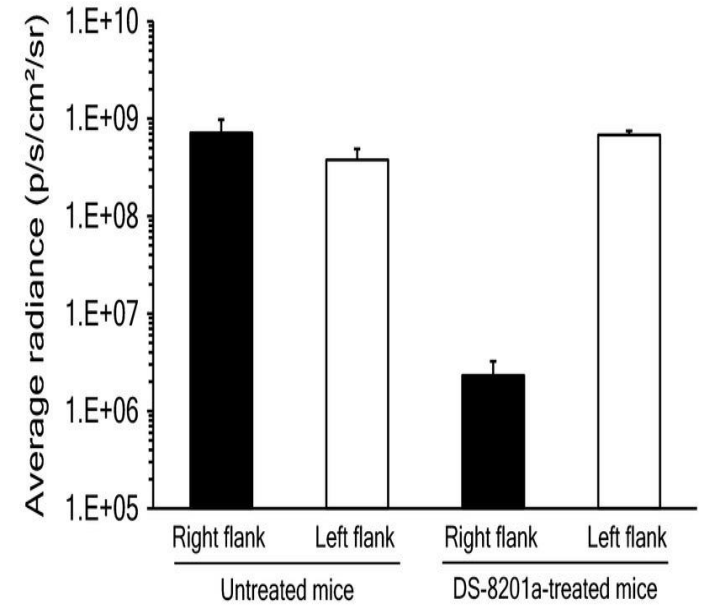
Untreated mice on day 14

Right flank  
(co-inoculation)

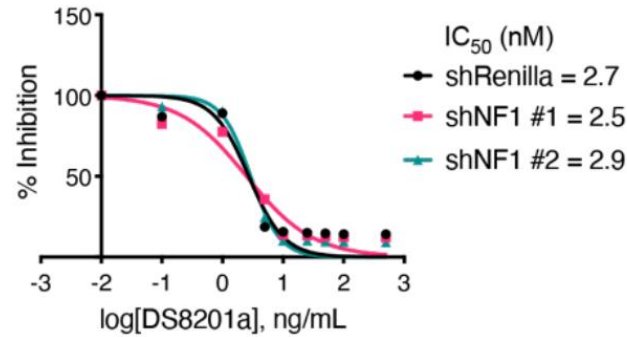
Left flank  
(only MDA-MB-468-Luc)



(b)

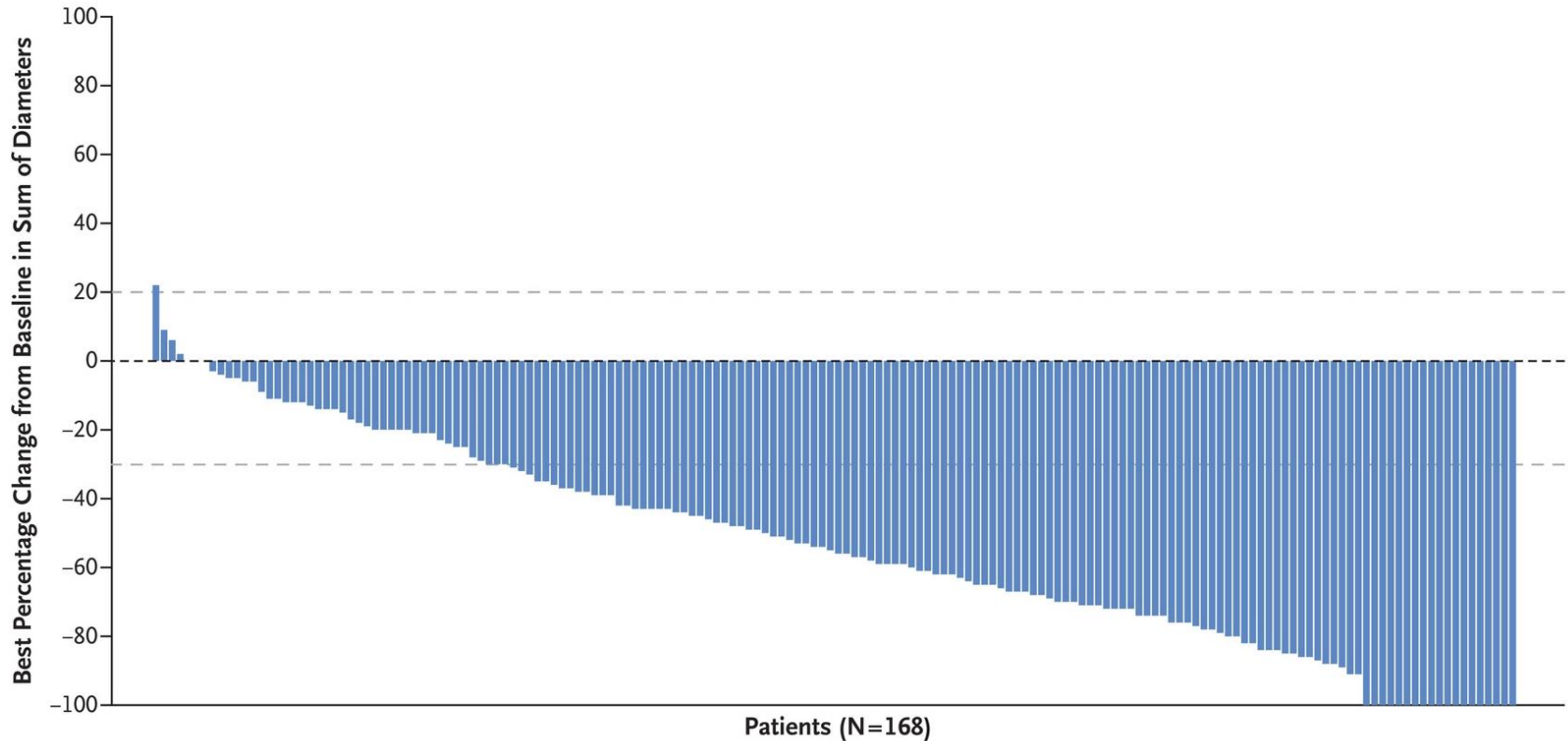


# Striking efficacy of TDXd in resistant models and patients



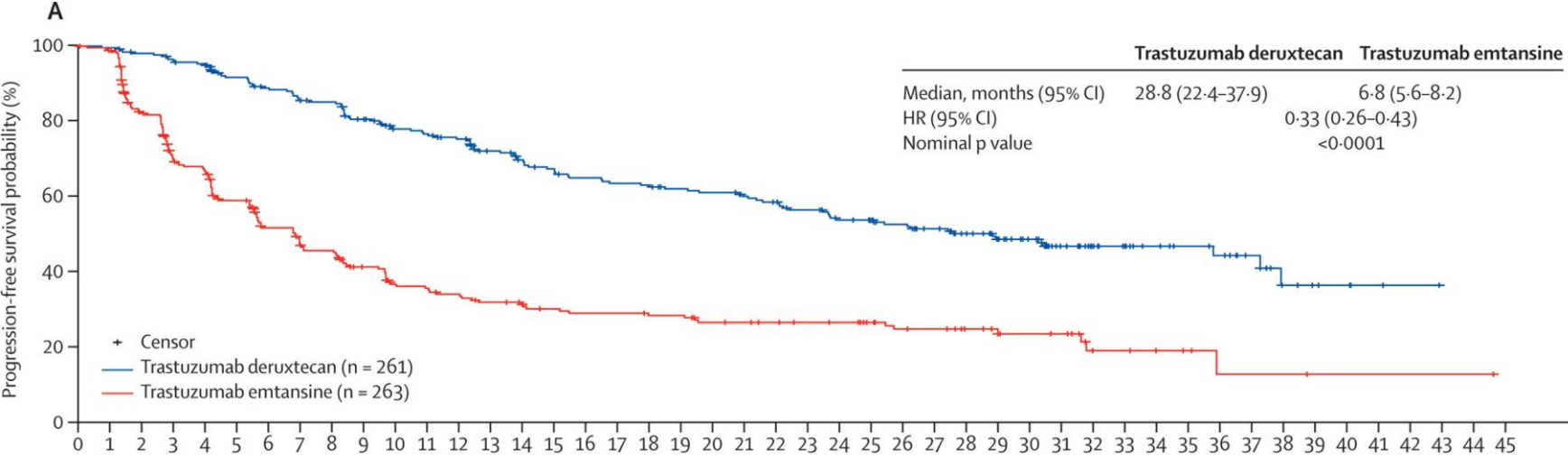
Smith et al., Nature Comm 2021

## A Change from Baseline in Tumor Size



Modi et al., NEJM 2020

# Striking efficacy of TDXd in HER2+ BC



aber at risk

Hurvitz, Lancet 2023

# Response and Treatment Duration

## HER2-low Breast Cancer

