



# BMT: The Original Immunotherapy

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# Conflict of Interest Disclosure

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**Affiliation:** *Memorial Sloan Kettering Cancer Center*

*Sponsored Research Support*

- Seres

- Beigene (Spouse)

*Royalties*

- Wolters Kluwer

*Honorarium*

- Seres
- Merck & Co, Inc.
- Magenta Therapeutics
- WindMIL Therapeutics
- Rheos
- Frazier Healthcare Partners
- Nektar Therapeutics
- Notch Therapeutics
- Forty Seven, Inc.
- Priothera
- Ceramedix
- Lygenesis
- Pluto Immunotherapeutics
- Novartis (Spouse)
- Kite Pharmaceuticals (Spouse)

*Stock options*

- Seres
- Notch Therapeutics

*IP Licensing*

- Seres
- Juno

*Board Memberships*

- DKMS Chairman (Nonprofit)

# Autologous and Allogeneic Hematopoietic Cell Transplantation

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- Autologous HCT
  - High dose chemotherapy: anti-tumor activity
  - autologous HSC rescue
- Allogeneic HCT
  - Conditioning (total body irradiation, chemotherapy, antibodies): anti-tumor activity, tolerance and GVHD prophylaxis
  - Allogeneic HSC rescue

# Diseases Commonly Treated with Hematopoietic Cell Transplantation

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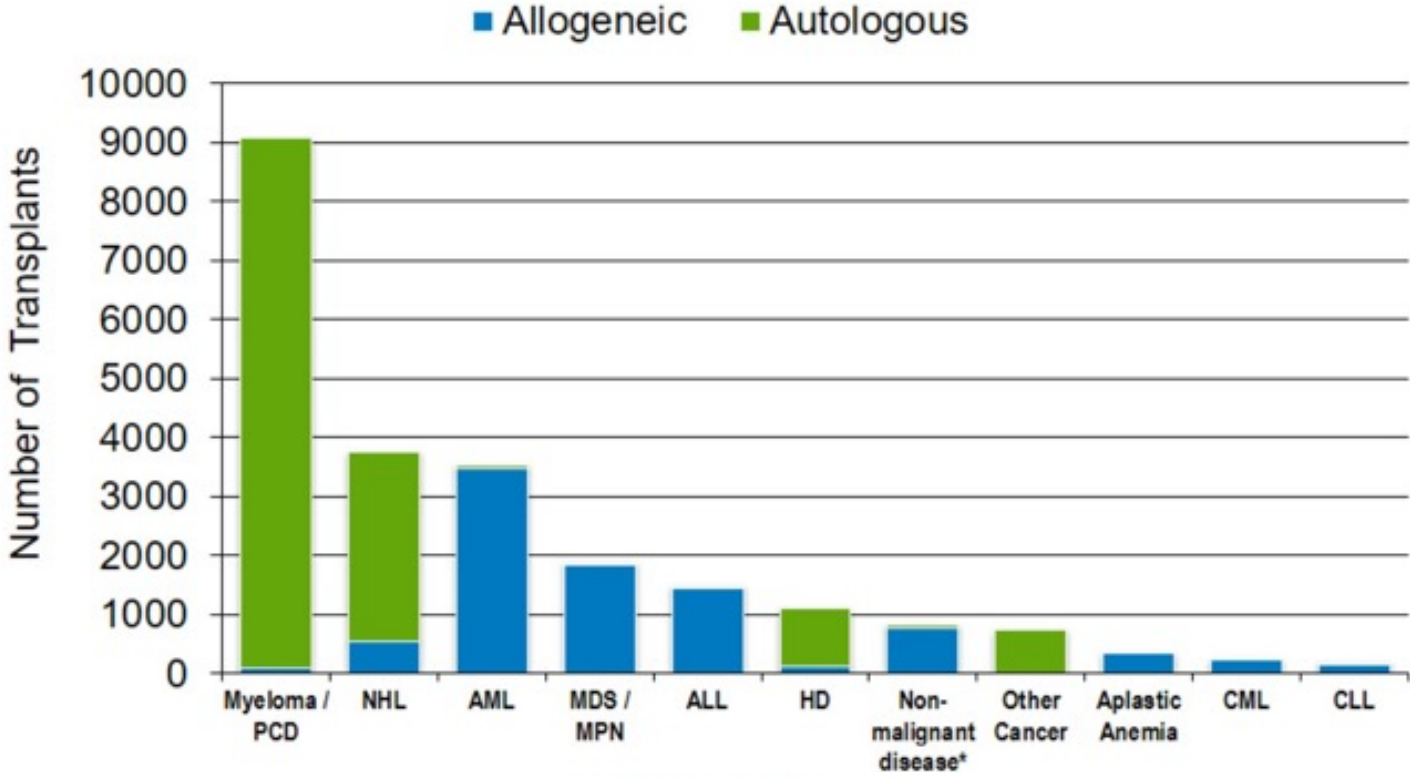
## Autologous transplantation

- **Cancers**
  - Multiple myeloma
  - Non-Hodgkin's lymphoma
  - Hodgkin's disease
  - Acute myeloid leukemia
  - Neuroblastoma
  - Ovarian cancer
  - Germ-cell tumors
- **Other diseases**
  - Autoimmune disorders
  - Amyloidosis

## Allogeneic transplantation

- **Cancers**
  - Acute myeloid leukemia
  - Acute lymphoblastic leukemia
  - Chronic myeloid leukemia
  - Myelodysplastic syndromes
  - Myeloproliferative disorders
  - Non-Hodgkin's lymphoma Hodgkin's disease
  - Chronic lymphocytic leukemia
  - Multiple myeloma
  - Juvenile chronic myeloid leukemia
- **Other diseases**
  - Aplastic anemia
  - Paroxysmal nocturnal hemoglobinuria  
Fanconi's anemia
  - Blackfan–Diamond anemia
  - Thalassemia major
  - Sickle cell anemia
  - Severe combined immunodeficiency
  - Wiskott–Aldrich syndrome
  - Inborn errors of metabolism

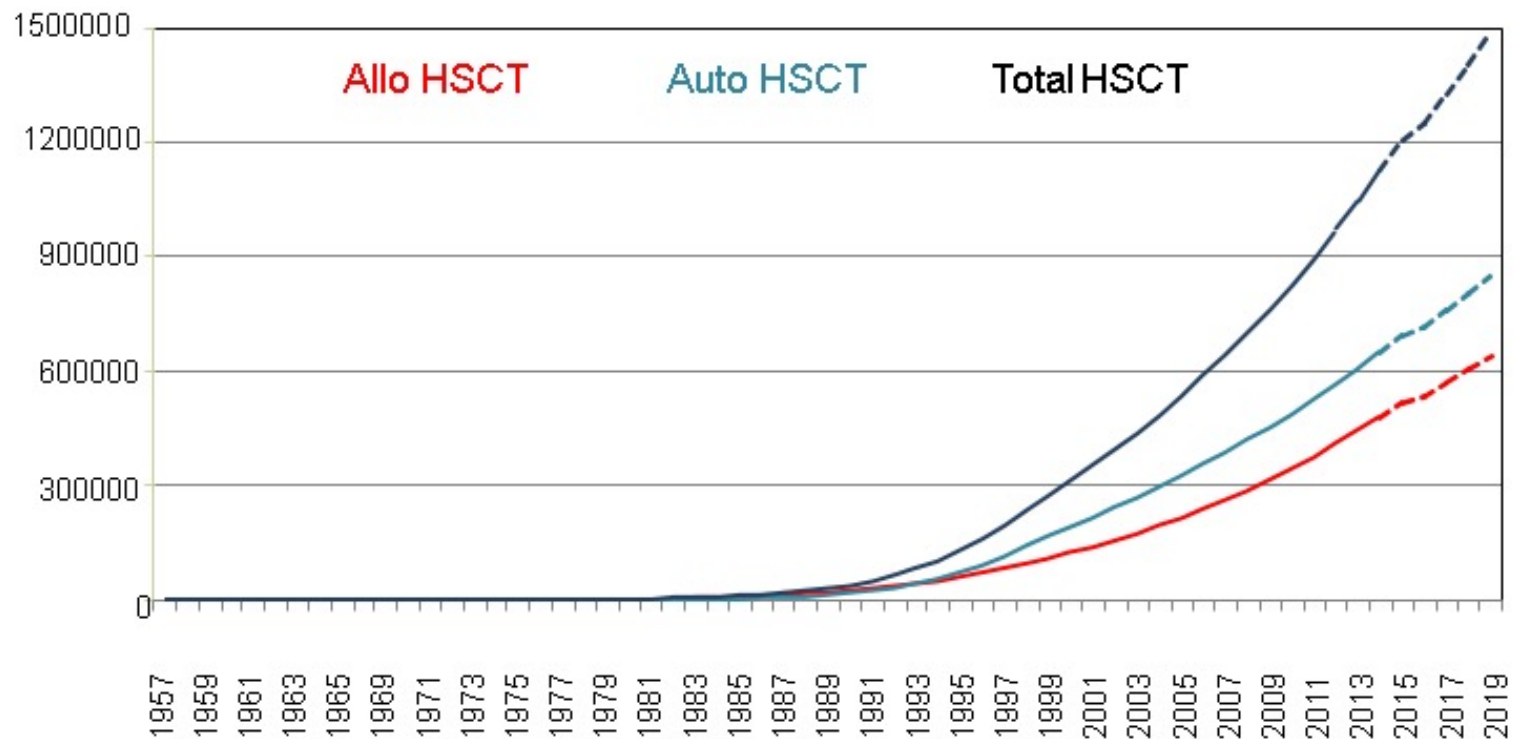
# Indications for hematopoietic cell transplant in the US, 2018



\*excludes aplastic anemia.

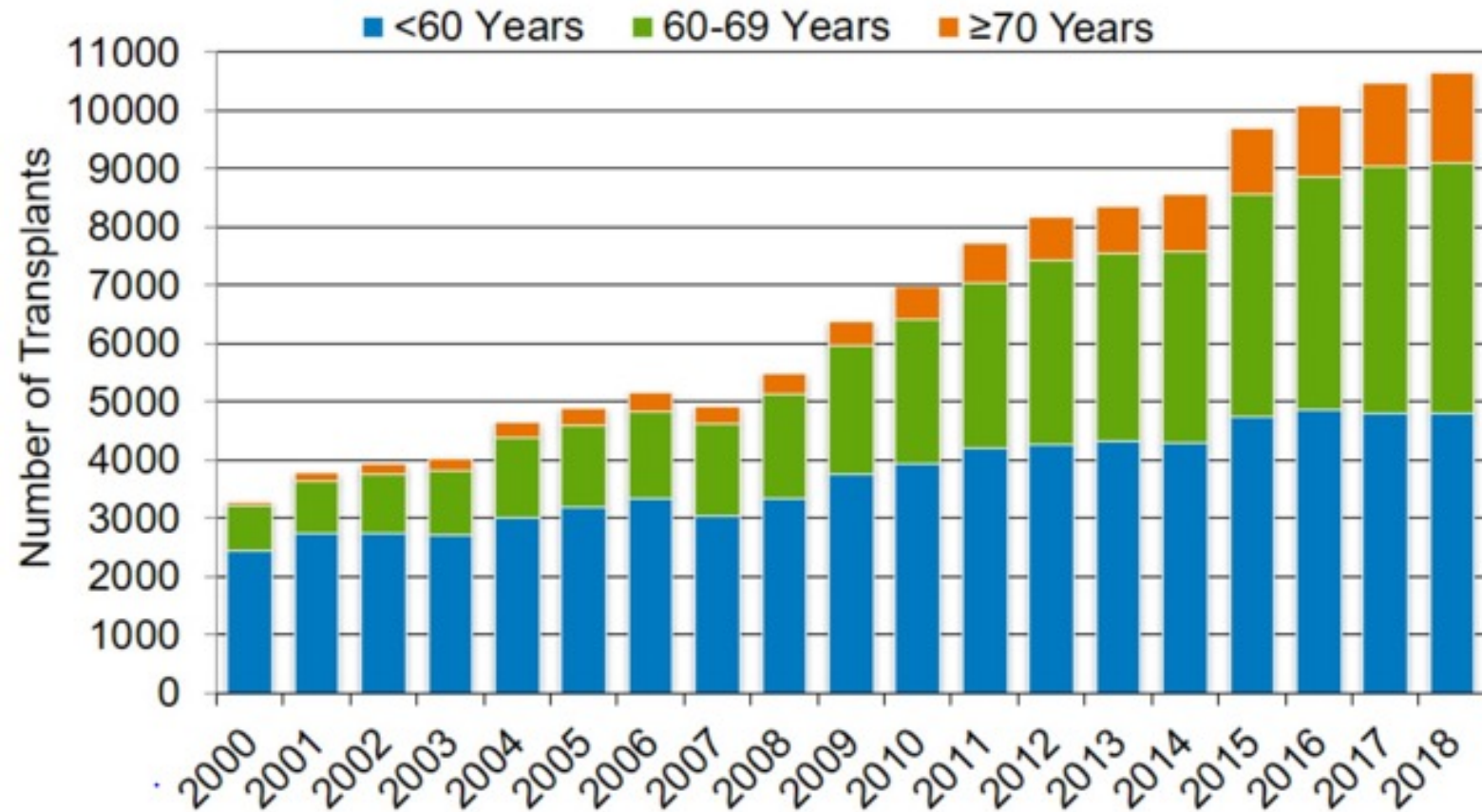


# WBMT global survey: predicted activity 2020



Worldwide Network for Blood and Marrow Transplantation  
NGO in official relations with World Health Organization

# Trends in autologous HCT in the US by recipient age

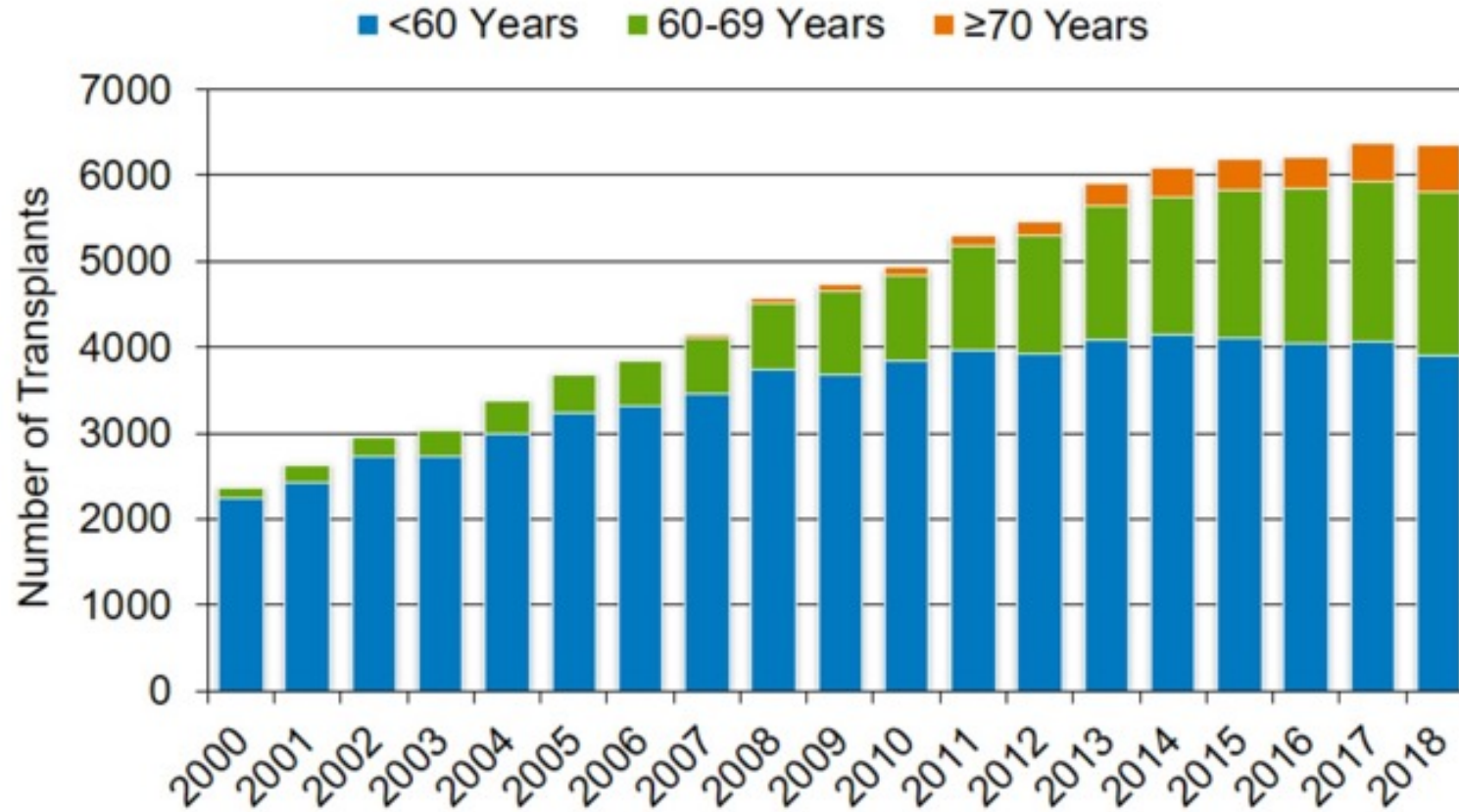


^Transplants for NHL, HD, MM

CIBMTR Summary Slides, 2019

# Trends in allogeneic HCT in the US by recipient age

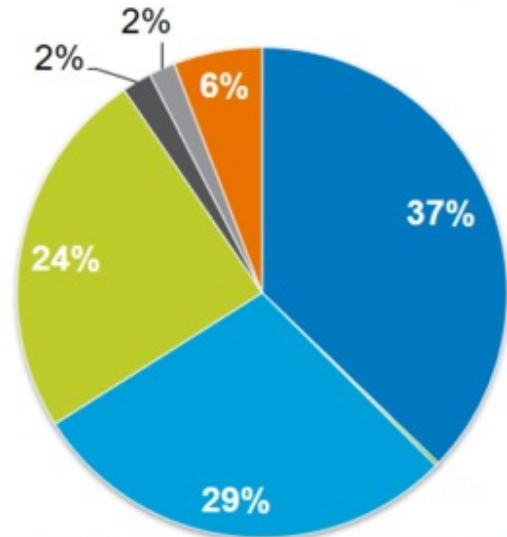
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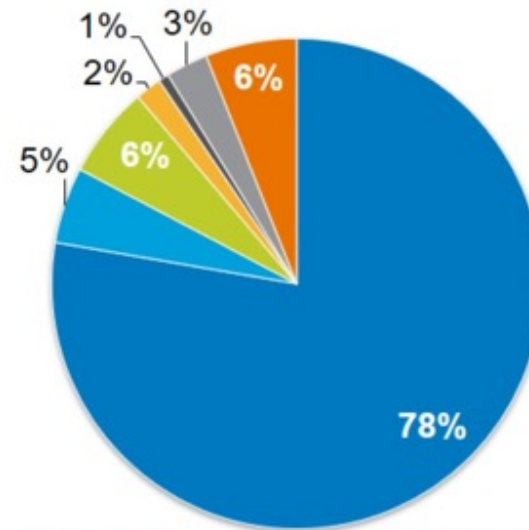
# Mortality after auto-HCT: relapse

Died within 100 days post-transplant



- Primary Disease
- Infection
- Hemorrhage
- Unknown
- Graft Rejection
- Organ Failure
- Other

Died at or beyond 100 days post-transplant\*



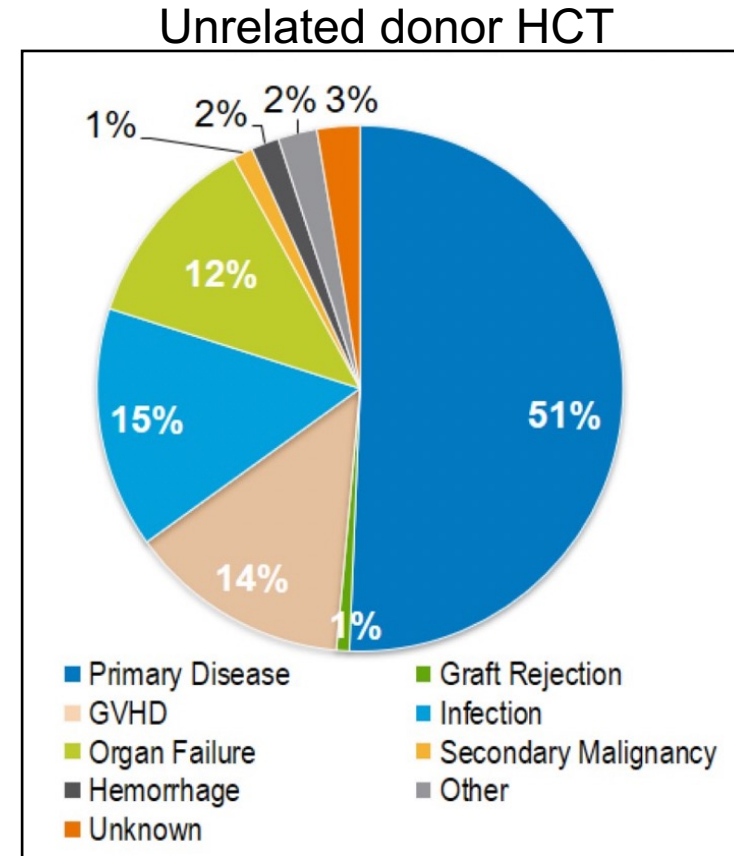
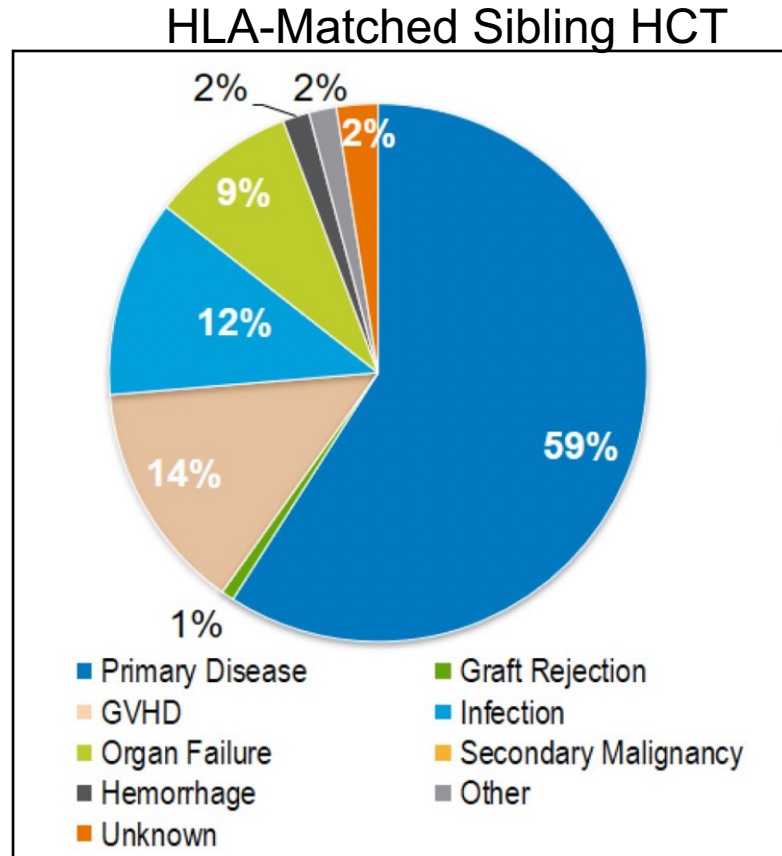
- Primary Disease
- Infection
- Secondary Malignancy
- Other
- Graft Rejection
- Organ Failure
- Hemorrhage
- Unknown

\*Data reflects 3-year mortality

CIBMTR Summary Slides, 2019

# Mortality after Allo-HCT: Relapse, GVHD and Infections

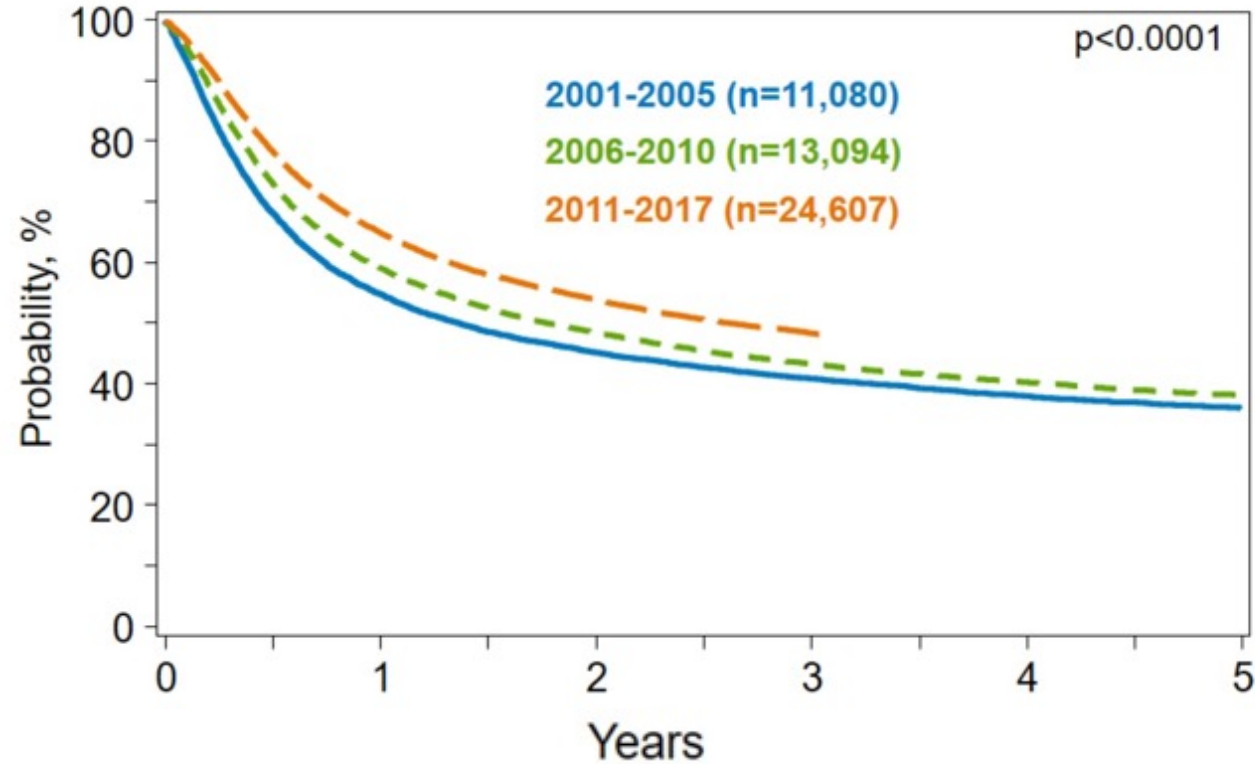
## Cause of death at or beyond 100 days post-HCT



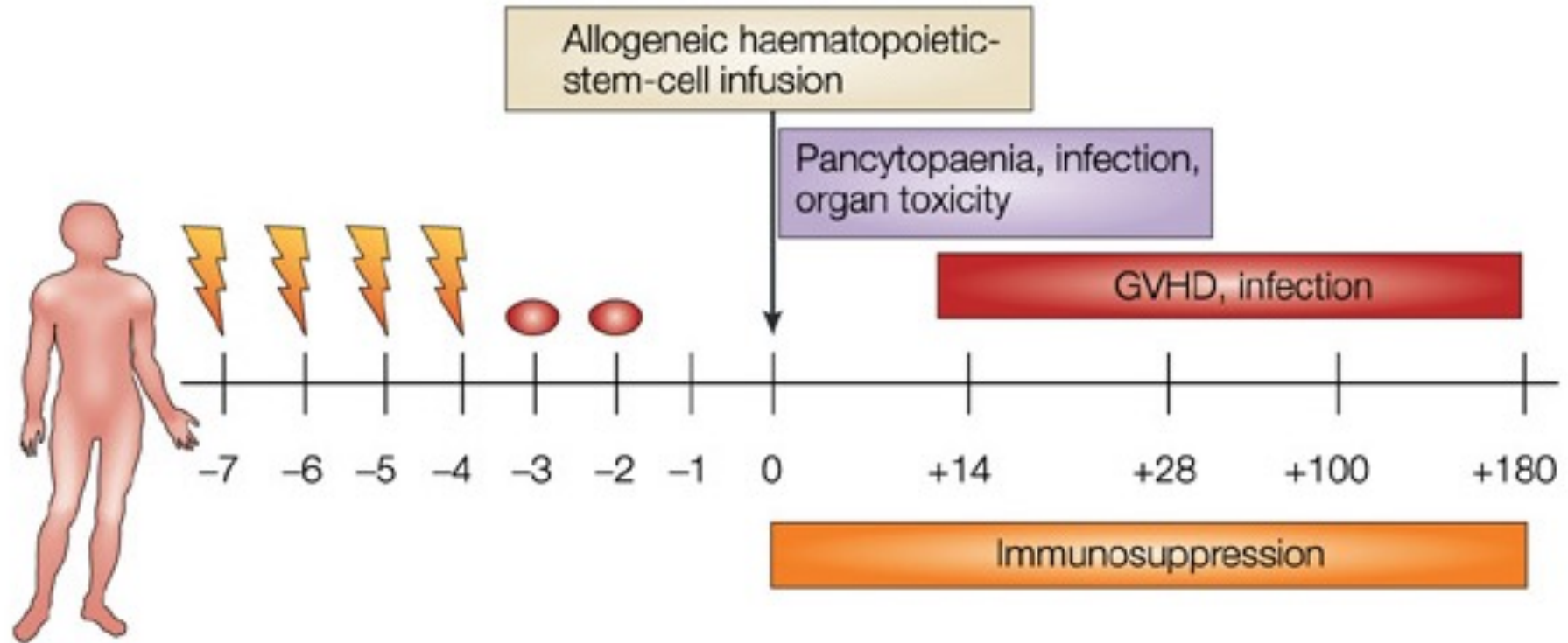
*D'Souza et al., BBBMT, 2020 (CIBMTR)*

# Trends in survival after allogeneic HCT for AML $\geq 18$ , 2001-2017

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# Allo-HSCT in a timeline



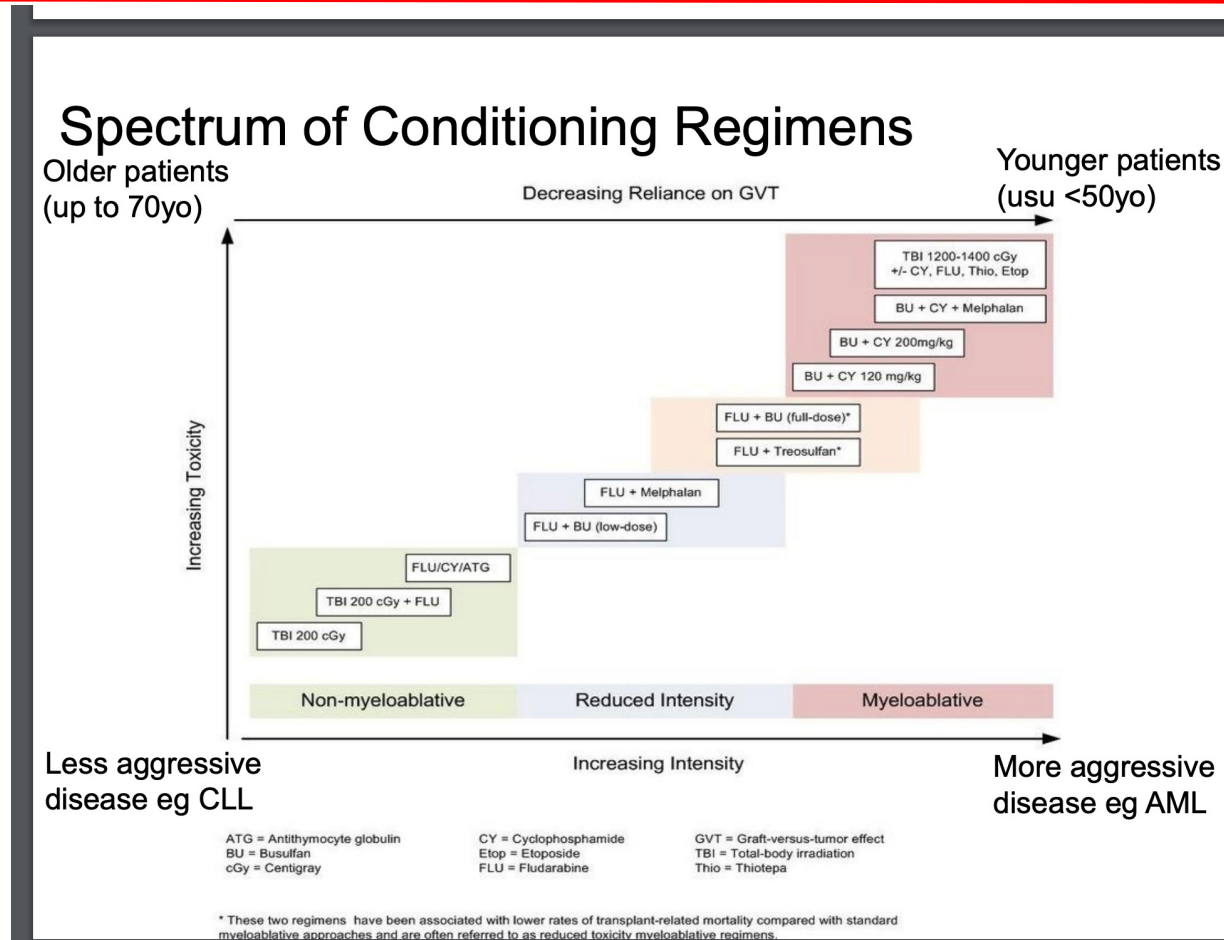
*Bleakley & Riddell, Nat Rev Cancer 2004*

# Conditioning before allo-HCT

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- 2 goals (in case of malignant diseases):
  - Prevent graft rejection
  - Decrease tumor burden
- Myeloablative (MA) or High-dose Regimens:
  - Ablate hematopoiesis
  - No autologous hematologic recovery, hematopoietic stem cell support necessary
- Nonmyeloablative regimens:
  - No hematopoietic stem cell support necessary
  - Tolerance for allograft
- Reduced-intensity Conditioning (RIC) Regimens:
  - Do not fit the definition for myeloablative or nonmyeloablative conditioning
  - No/very slow autologous hematologic recovery, hematopoietic stem cell support necessary
  - Dose of alkylating agent or TBI is generally reduced, by at least 30% (when compared to MA regimens)

# Choice of a conditioning regimen



Adapted from [aci.health.nsw.gov.au/](http://aci.health.nsw.gov.au/)

# Determinants of Engraftment

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## **Host:**

- Intensive prior therapy causing recipient immune suppression
- Pre-existing HLA-antibodies

## **Donor:**

- HLA-matching most important
- Cell dose also potential issue especially in CBT

## **Conditioning:**

- More intensive conditioning regimens & ATG can decrease rejection.

# Chimerism

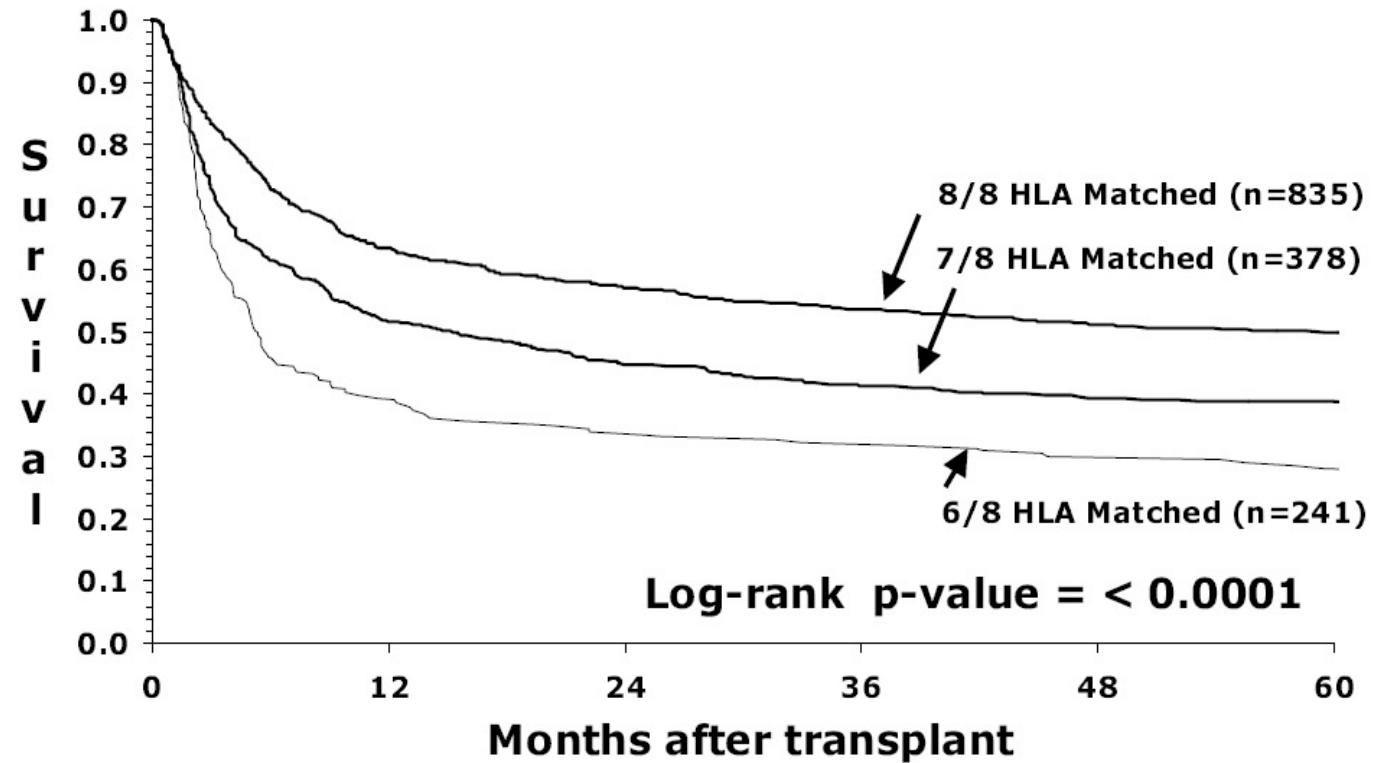
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Definition: the presence of donor hematopoietic cells in a transplant recipient

- **Full chimerism:** only the donor alleles are detected in the recipient.
- **Mixed chimerism:** a mixture of donor and recipient alleles are present.
- **Graft failure:** only recipient alleles are detectable.



# Better HLA match correlates with superior Overall Survival

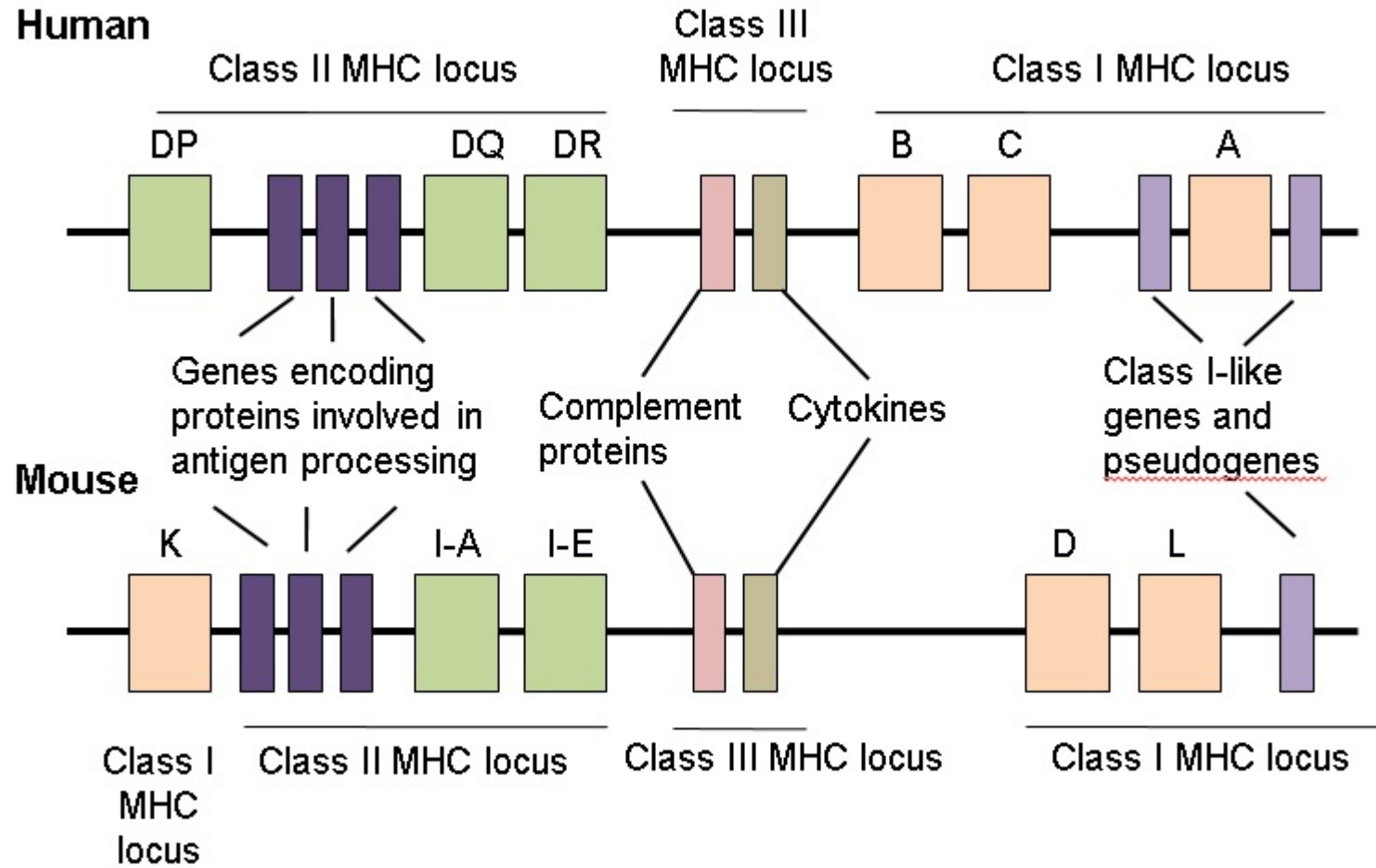


Underlying disease:

- AML
- ALL
- MDS
- CML

Lee SJ, et al. (2007) *Blood*.

# Schematics of human and mouse MHC loci



# HLA polymorphisms

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HLA molecules:

- conserved in regions that bind the TCR and co-receptors
- extensive diversity in their peptide binding regions
- diversity leads to dramatic differences in peptide binding and antigen presentation

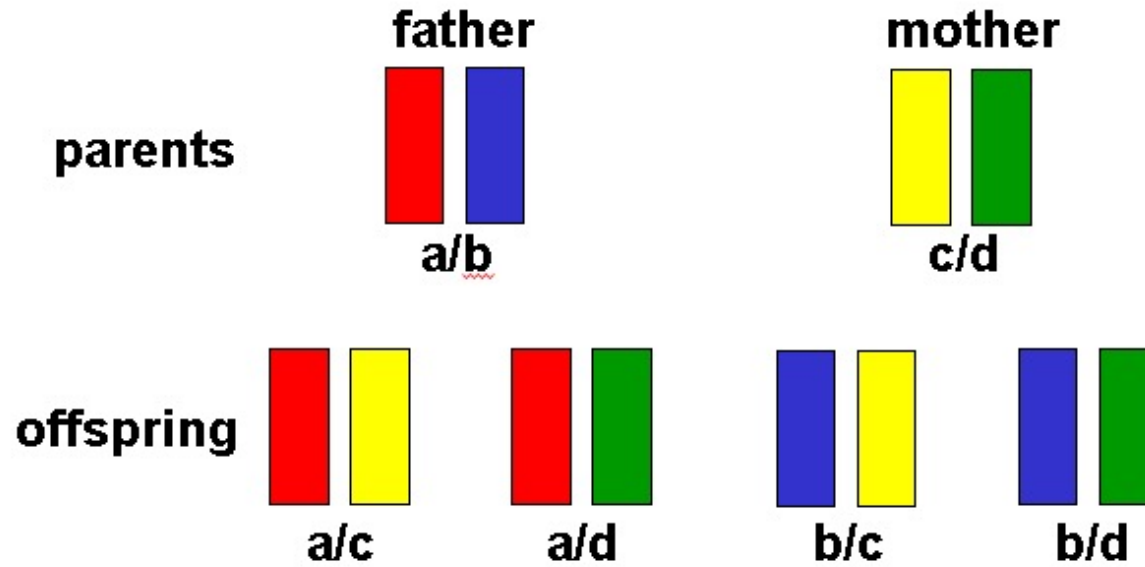
*Jin P and Wang E, J Transl Med, 2003*

	Number of alleles
HLA Class I Alleles	21,040
HLA Class II Alleles	7,898
HLA Alleles	938
Other non-HLA Alleles	479

<http://hla.alleles.org/nomenclature/stats.html>, as of February 2021

# Inheritance patterns of codominant HLA alleles

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Probability of matching:

	# matched haplotypes		
	0	1	2
Parent-> child	0	100	0
Sib -> Sib	25	50	25

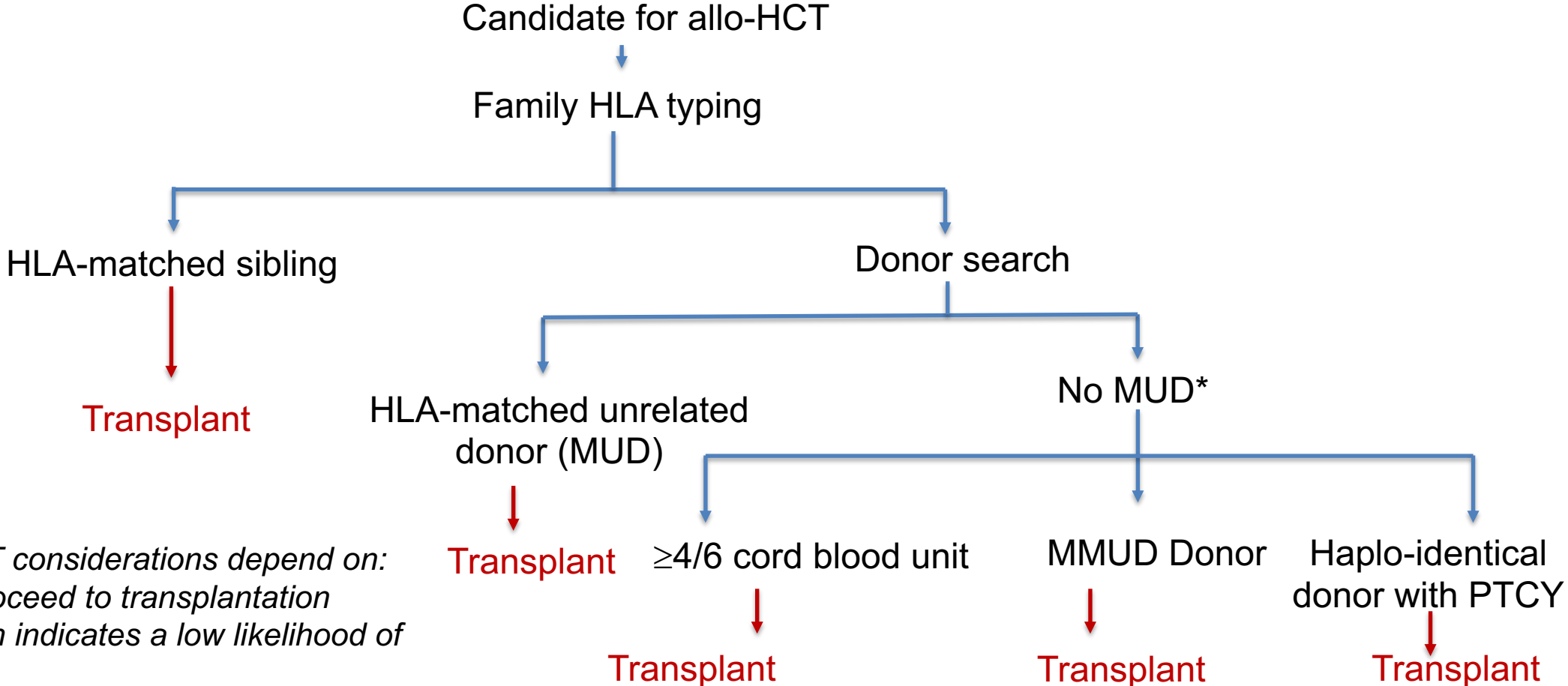
# HLA typing

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- Serologic typing: used for antigen matching
  - Detects HLA proteins using serologic antibody-based assays
  - Can be used for typing within families
  - Largely replaced by molecular typing
- Molecular typing\*: used for allele matching
  - Detects HLA genes by DNA sequencing
  - Necessary for HLA matching in unrelated donor transplants
  - Preferred for HLA matching related donor transplants
  - *High resolution*: defines sets of alleles that encode the same protein sequence for the HLA molecule's antigen binding site (eg HLA-A\*02:1010)
  - *Low resolution*: provides information regarding the allele group, but not the specific HLA protein (eg, HLA-A\*02)

*\*American Red Cross – Blood services*

# Match and Typing Recommendations: Source selection



*\*UCT and haplo-HCT considerations depend on:*

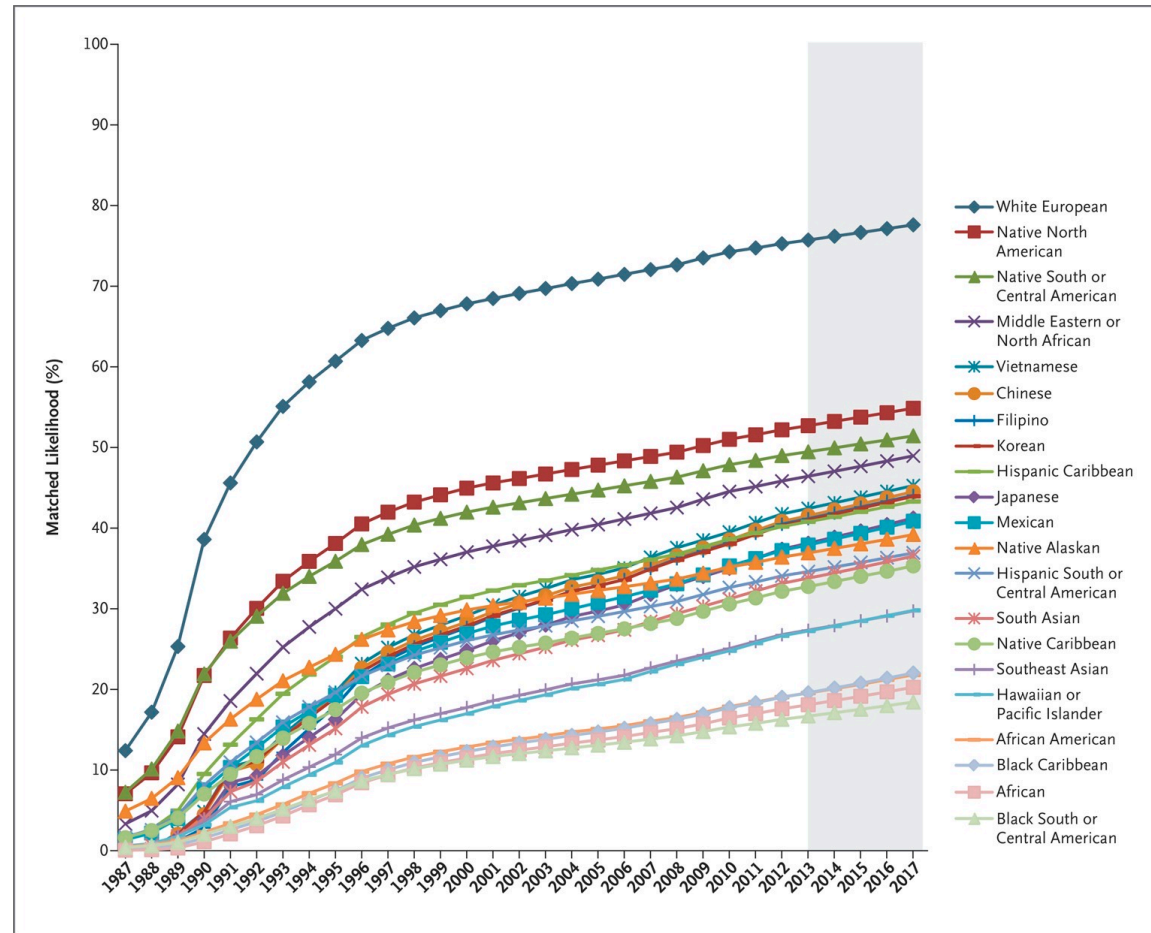
- *urgent need to proceed to transplantation*
- *preliminary search indicates a low likelihood of finding an MUD.*

# Donors for Allogeneic Bone Marrow Transplantation

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- Only 30% of patients have an HLA-identical sibling
- 30-80% have a matched unrelated donor
- Mean interval from search to transplant: 4 months
- <20% of donor searches result in a transplant
- More than 27 million donors are registered in the international database ([www.bmdw.org](http://www.bmdw.org))

# Likelihood of Finding an 8/8 HLA Match by Year End, Based on Current Donor Availability and with Recruitment Trends Extended to 2017



Gragert L, et al. *N Engl J Med.* 2014

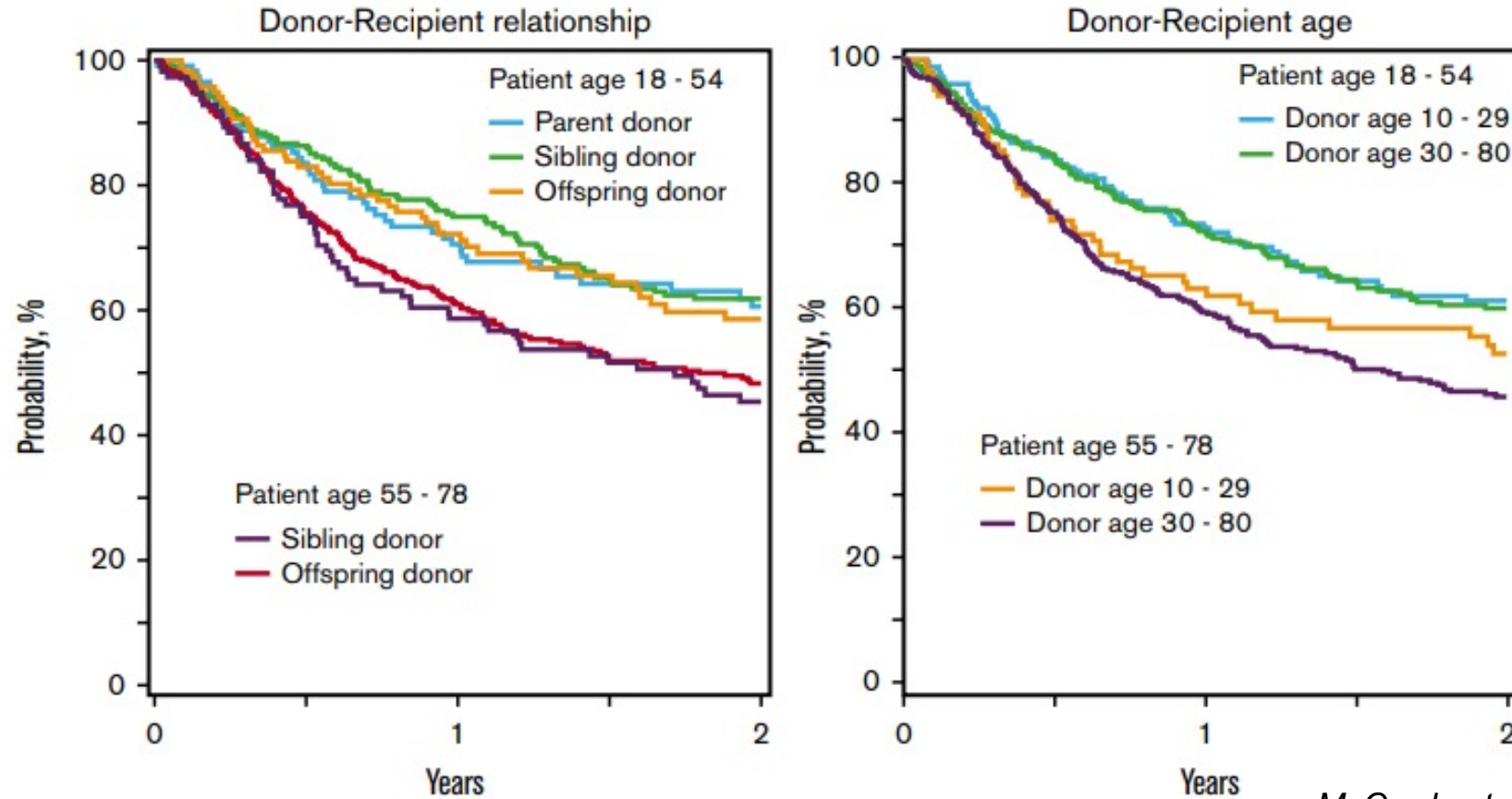


# Allograft characteristics

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	<b>Cord blood</b>	<b>Matched sibling</b>	<b>Unrelated</b>	<b>Haplo/PT-Cy</b>
Acquisition	Fastest (<2 weeks)	Intermediate (2-4 weeks)	Slowest (4-8 weeks)	Intermediate (2-4 weeks)
Availability	Almost universal	30%	30-80%	>80%
Cost	Highest	Low	High	Low
GVHD	Int-high (low cGVHD)	Low-Int	Intermediate	Int (low cGVHD)
Immune reconstitution*	Poor	Best	Good	Intermediate

# Haploidentical donor age is the only factor predictive for survival



McCurdy et al. Blood Advances 2018

# Graft-versus-Host Disease is the most common life-threatening complication after allo-HCT

- ~50% of patients after allo-HCT despite prophylaxis
- **Donor T cell-mediated** attack on host tissues against
  - MHC
  - minor histocompatibility antigens
  - tumor antigens
- **Acute GVHD**
  - <100 days after allo-HCT (mostly 3-12 weeks)
  - mainly skin, liver and intestinal tract
- **Chronic GVHD**
  - >100 days after allo-HCT (mostly  $\geq$  6 months)
  - every organ (“autoimmune like” syndrome)

Early Acute GVHD of the Skin



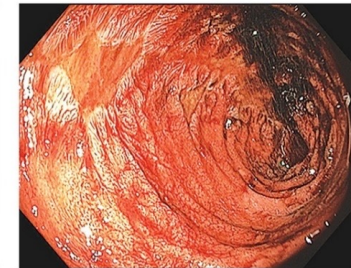
Advanced Acute GVHD of the Skin



Early Acute GVHD of the Intestine



Advanced Acute GVHD of the Intestine



## Risk factors

- HLA mismatch
- Unrelated donor
- Sex
- PBSC
- Conditioning regimen intensity

*Zeiser and Blazar, NEJM, 2017*

# Key Event in acute GVHD Pathophysiology is the Interaction of alloreactive Donor T cells with Recipient APCs

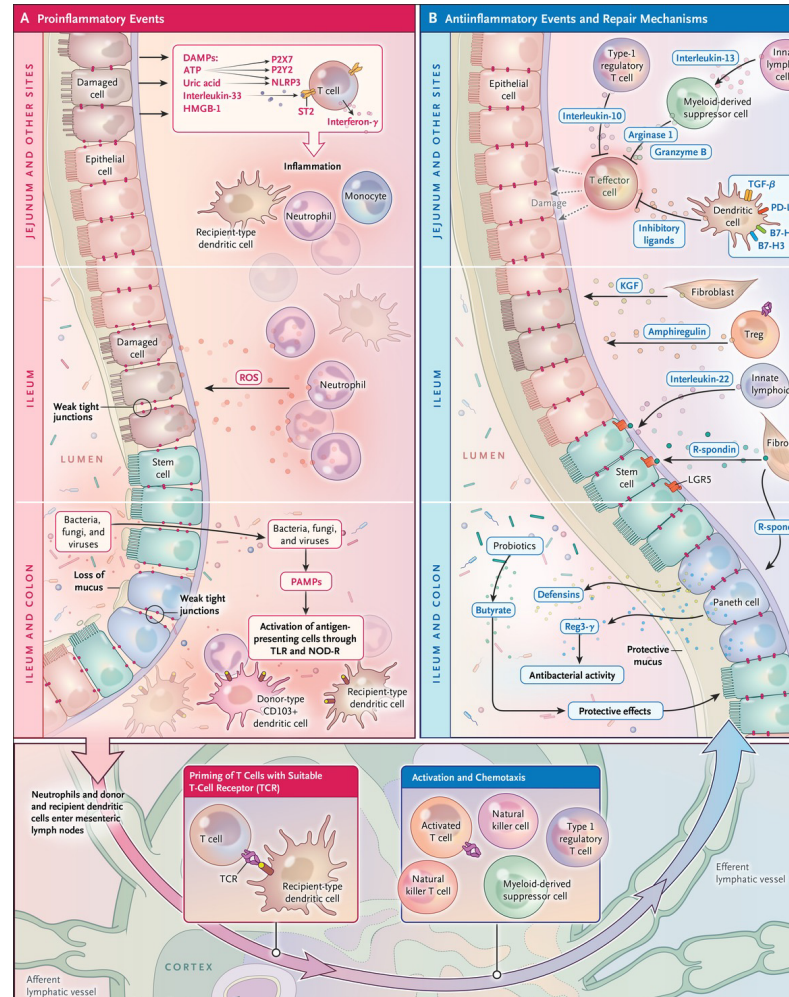
## Phase I: Initiation

- Tissue damage by conditioning regimen
- Neutrophils (ROS production) and monocytes infiltration
- PAMP translocation and DAMP release
- Host APC activation



## Phase II: Immune priming

- Donor T cell activation and expansion



## Phase III: Effector responses

- Donor T cell differentiation (Th1, Th17)
- Cytokine production (INF $\gamma$ , IL-17)
- Tissue destruction

Infection  
Microbiome  
Altered mechanisms of tissue repair and protection

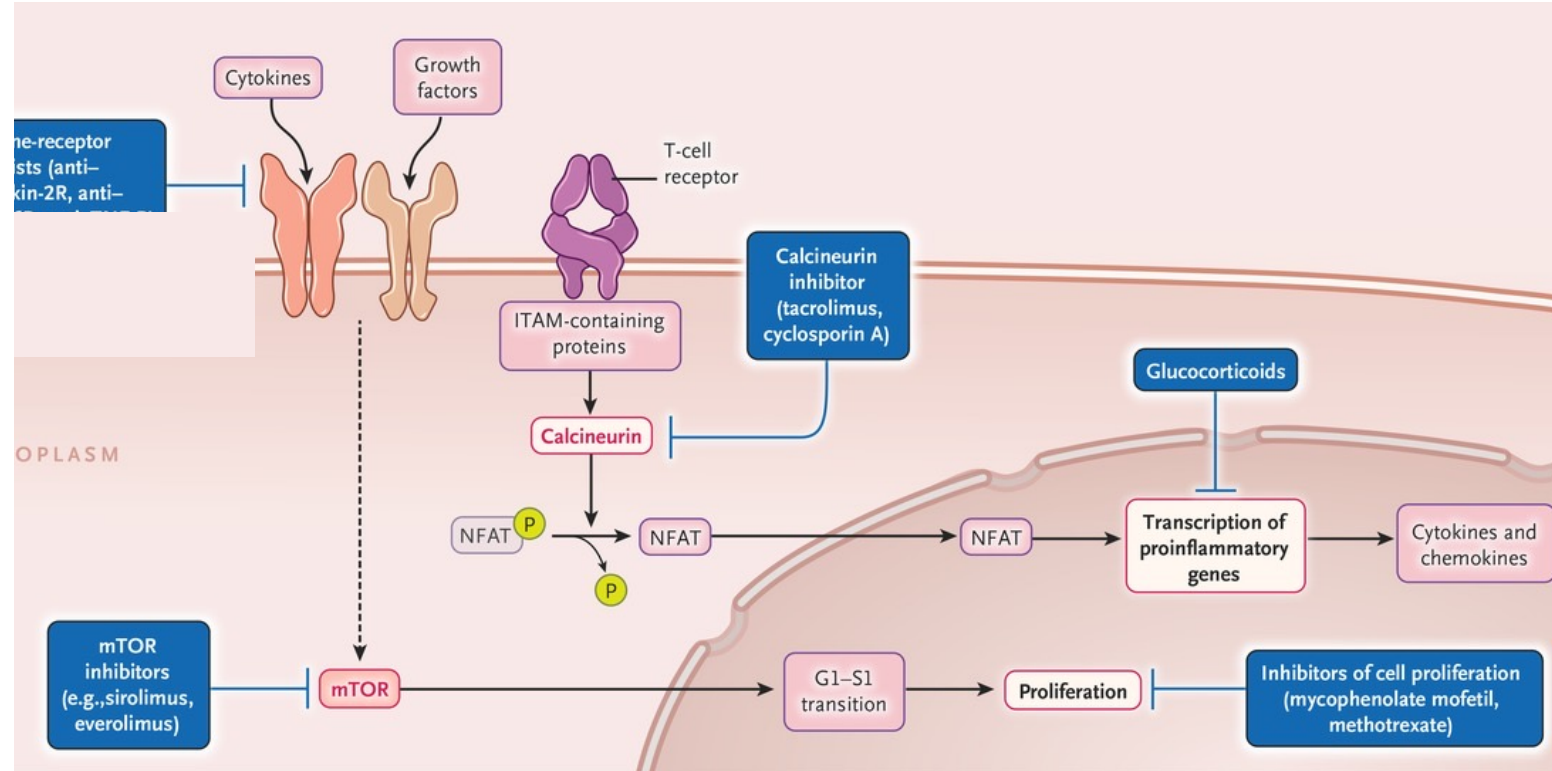
# Immunosuppressive Strategies for the Prevention or Treatment of Acute GVHD

## GVHD prophylaxis

- Pharmaceutical
  - Calcineurin inhibitor (CSA, tacrolimus)
  - Antimetabolite (MTX, MMF)
  - mTOR inhibitor (sirolimus)
- Graft manipulation
  - *ex vivo* T cell depletion (CD34+ selection)
  - *in vivo* T cell depletion (ATG, Alemtuzumab, PT-CY)

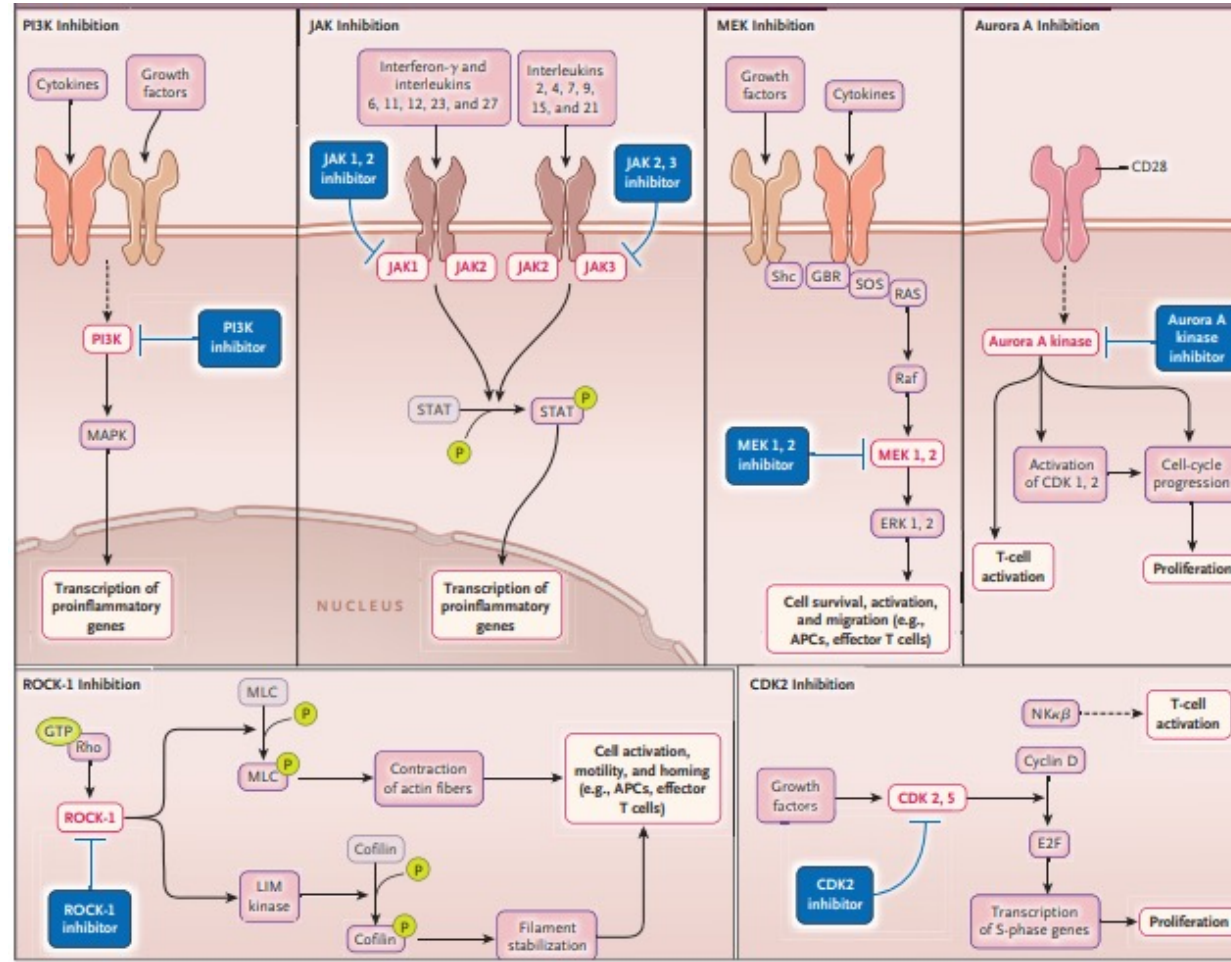
## GVHD treatment

- 1<sup>st</sup> line: Steroids



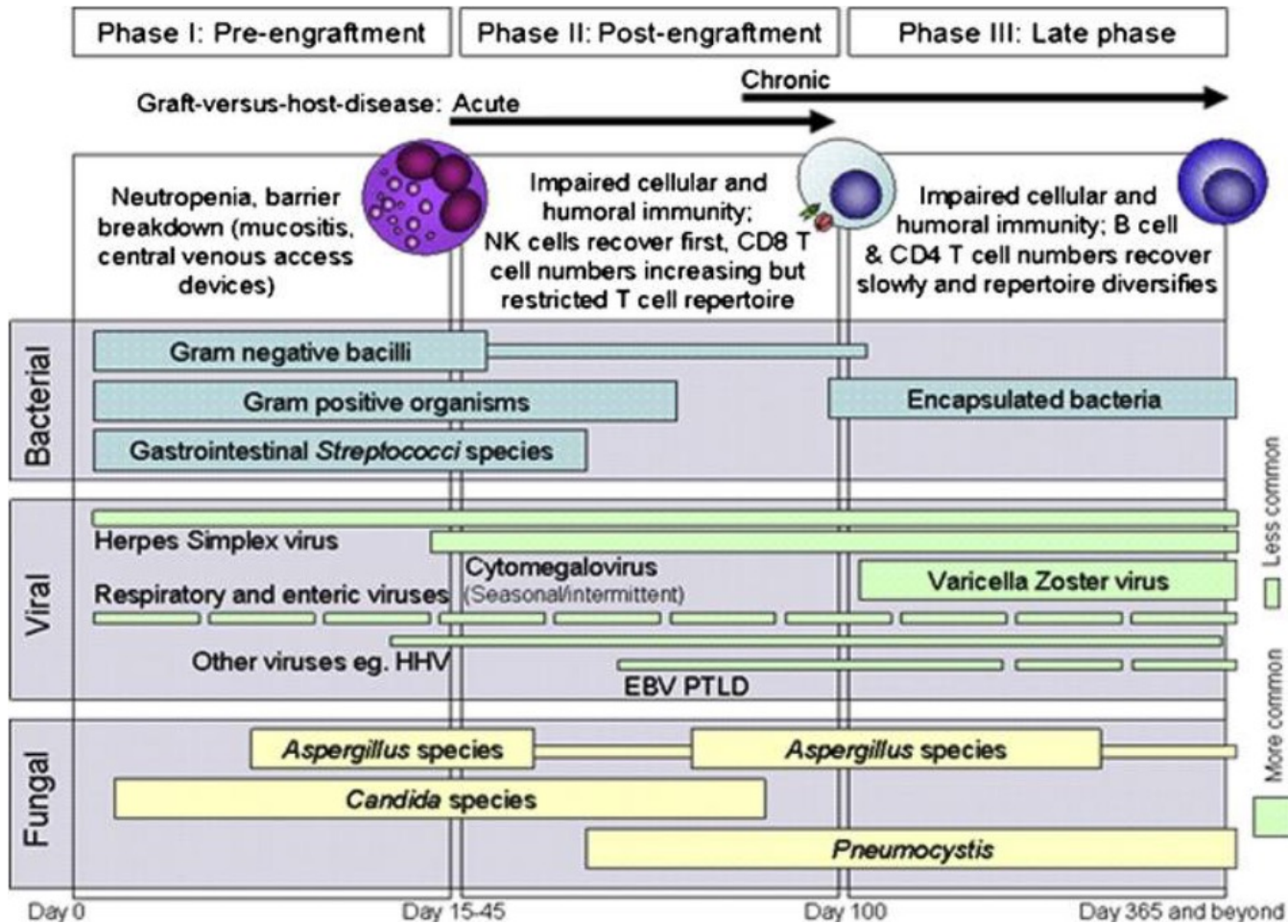
Randomized, phase III, multicenter trial comparing different GVHD prophylaxis strategies are ongoing (BMT CTN #1301, BMT CTN #1703)

# Immunosuppressive Strategies for the Prevention or Treatment of Acute GVHD

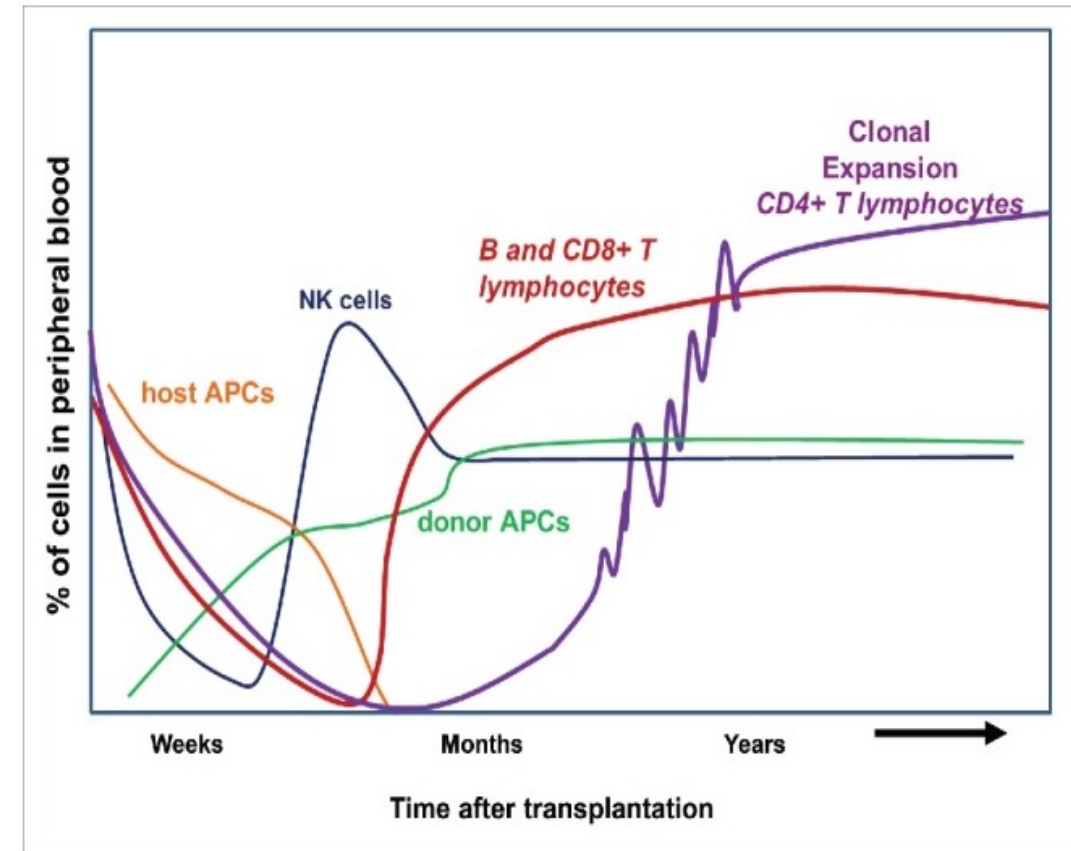


Zeiser, *New England Journal of Medicine*, 2017

# Timeline of infections after HSCT



Tomblyn et al., *Biol Blood Marrow Transplant*, 2009



Mehta and Rezvani, *Virulence*, 2016

# Relapse After Allo-HCT: Limited Treatment Options

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## Principles of Post-Allo Relapse

- May occur in the blood, bone marrow, CNS, or extramedullary sites (~10% relapses extramedullary)
- Detection of MRD by flow cytometry post-transplant often portends relapse

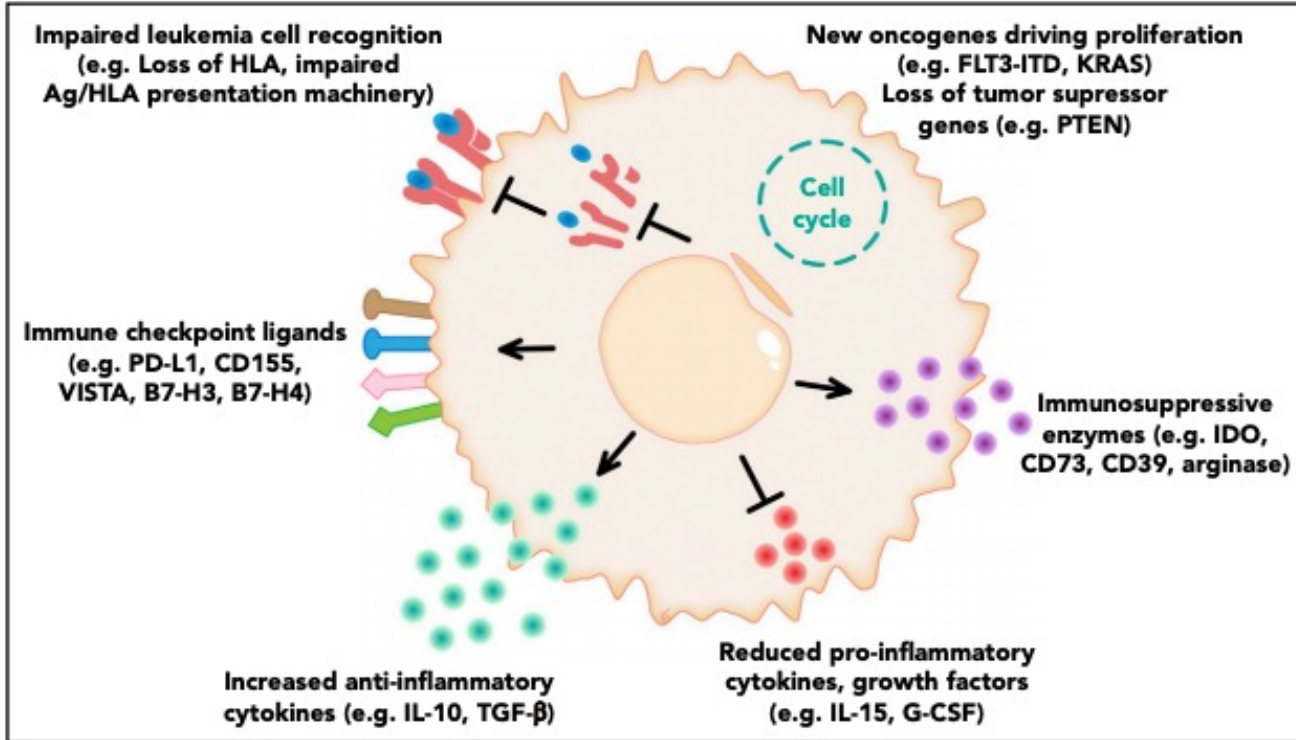
## Treatment options

- Clinical trial
- Donor lymphocyte infusion
- Salvage chemo or targeted therapy (guided by mutation profile)
- 2<sup>nd</sup> Allo-HCT (typically only if patient achieves remission)
- Checkpoint blockade (limited data)

*Vago L, Hematology Am Soc Hematol Edu Program, 2019  
DeWolf & Tallman. Blood, 2020.  
Davids et al. NEJM, 2016.*



# Immunologic Mechanisms of Relapse



- Genomic loss of HLA haplotype (HLA-loss)
  - 30% of haploidentical transplants (*Vago et al. NEJM 2009*)
  - 5-15% of unrelated transplants (*Taffalori et al. Blood 2012*)
- HLA Class II down-regulation (*Chrisopher et al. NEJM 2018*)
- Increased expression of inhibitory ligands on leukemic blasts (*Toffalori et al. Nature Medicine 2019*)
- T cell exhaustion in the bone marrow (*Noviello et al. Nature Communications 2019*)

*Vago & Zeiser, Blood, 2019*

# Donor Lymphocyte Infusion (DLI)

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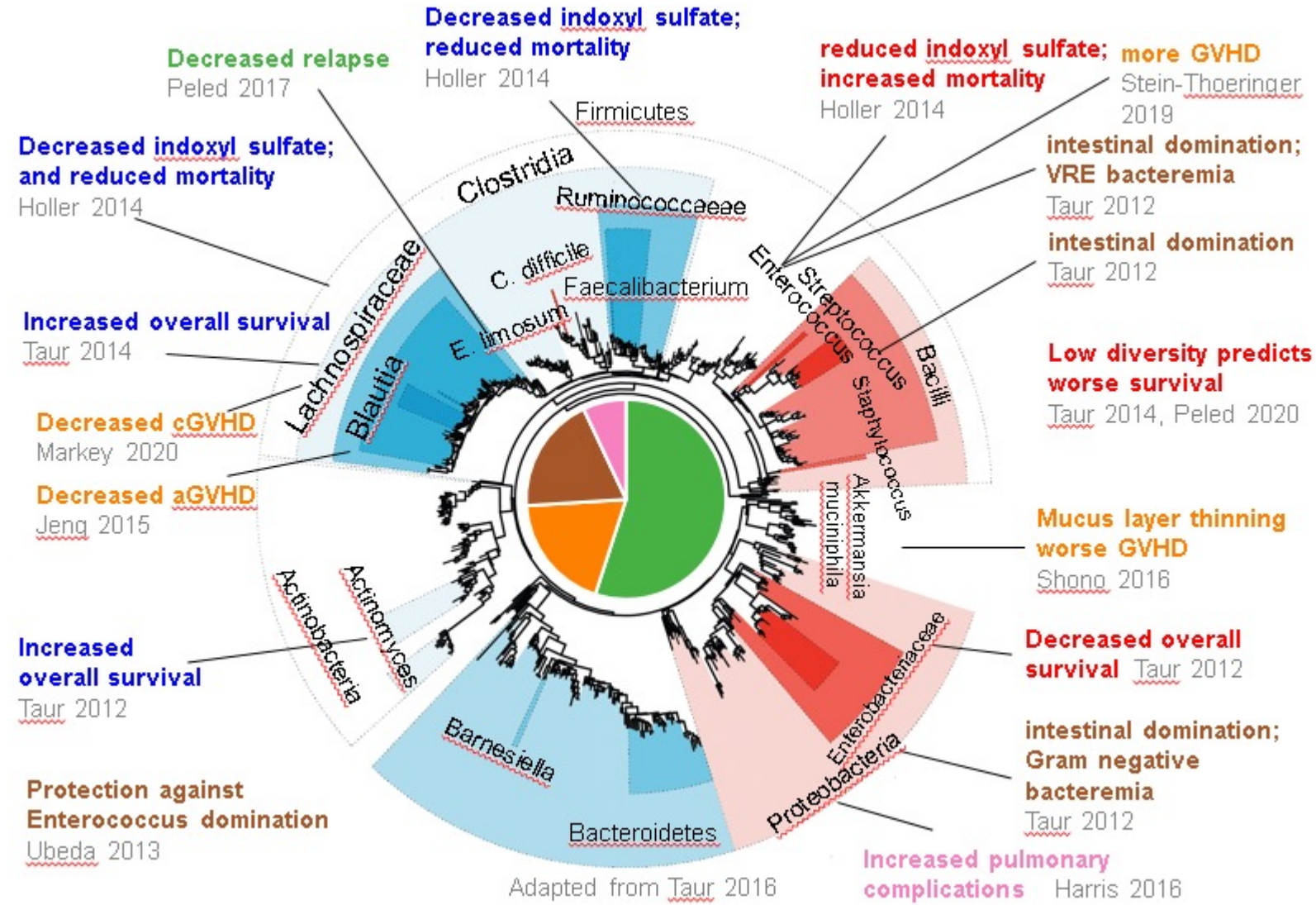
- Post-transplant infusion of donor-derived polyclonal T product from the donor aiming to augment GVL effects
  - *Given for confirmed low-level relapse (or relapse prevention).*
  - *Usually no prior GVHD >> supporting the idea that fresh T cells should be safe from a GVHD induction point of view.*
  - *Less immune suppression on board than at d0*
  - *OR (in the case of CD34 selected graft or other TCD method) this is the first introduction of mature donor T.*
  - *Up to 63% response; But max reported OS >> 31% at 2 years*

# Donor CD19 Chimeric Antigen Receptor cells after Allo-HCT

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- CD19 CAR T cells induce disease remission in patients with relapsed or refractory B cell malignancies
- Primarily used in the autologous setting
- Investigational studies have assessed their use in the donor context following allo-HCT

# Gut bacteria associated with allo-HCT outcomes: Overall Survival, Infection, GVHD, Organ Toxicity, Relapse



# Summary

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- Auto and Allo HCT are increasingly being used for non-malignant and malignant indications
- Major complications of Auto HCT
  - Conditioning toxicity
  - Infections
  - Relapse
- Major complications of Allo HCT
  - Conditioning toxicity
  - Infections
  - GVHD
  - Relapse

# Funding

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## NIH:

- **NIA:**P01 AG052359-04
  - **NHLBI:** R01 HL123340-06  
R01 HL125571-05  
R01 HL147584-02
  - **NCI:**P01 CA023766-40  
P30 CA008748-54  
R01 CA228308-03  
R01 CA228358-03
  - **NIAID:** U01 AI124275-05
- **The Susan and Peter Solomon Family Fund**
  - **The Lymphoma Foundation**
  - **Parker Institute for Cancer Immunotherapy**
  - **Tri-Institutional Stem Cell Initiative**
  - **Cycle for Survival**
  - **Starr Cancer Consortium**



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